

Quaternion-Based Dictionary Learning and Saturation-Value Total Variation Regularization for Color Image Restoration

Chaoyan Huang¹, Michael K. Ng², Tingting Wu³, and Tiejong Zeng⁴

Abstract—Color image restoration is a critical task in imaging sciences. Most variational methods regard the color image as a Euclidean vector or the direct combination of three monochrome images and completely ignore the inherent color structures within channels. To better describe the relationship of color channels, we represent the color image as the so-called pure quaternion matrix. Note that the celebrated dictionary learning method has attracted considerable attention for image recovery in the past decade. Following this idea, we propose a novel quaternion-based color image recovery method. This model combines the advantages of dictionary learning and the total variation method for color image restoration. The new strategy used in the proposed model manages to handle the color image restoration problem in the quaternion space. Moreover, the new proposed model can be easily solved by the classical alternating direction method of multipliers (ADMM) algorithm. Numerical results demonstrate clearly that the performance of our proposed dictionary learning method is better than some state-of-the-art color image dictionary learning and total variation methods in terms of some criteria and visual quality.

Index Terms—Dictionary learning, image restoration, pure quaternion, total variation.

I. INTRODUCTION

COLOR image restoration aims to recover a clean image from the degraded observation. In the processing of generating, transmission, and storage, the color image will be unavoidably degraded by noise and blur. As images are essential

Manuscript received 14 April 2021; revised 13 July 2021; accepted 18 August 2021. Date of publication 27 August 2021; date of current version 9 August 2022. This work was supported in part by the National Key R&D Program of China under Grant 2021YFE0203700, in part by NSFC/RGC N_CUHK 415/19, RGC 14300219, 14302920, and 14301121, in part by the CUHK Direct grant for Research under Grants 4053405 and 4053460, in part by the Graduate Student Scientific Research Innovation Project of Jiangsu Province, 2020, under Grant KYCX20_0788, in part by HKRGC GRF under Grants 12300218, 12300519, 17201020, and 17300021, in part by the Natural Science Foundation of China under Grants 61971234 and 11501301, in part by the 1311 Talent Plan of NUPT, the QingLan Project for Colleges and Universities of Jiangsu Province. The associate editor coordinating the review of this manuscript and approving it for publication was Dr. Jialie (Jerry) Shen. (Corresponding author: Tingting Wu.)

Chaoyan Huang and Tingting Wu are with the School of Science, Nanjing University of Posts and Telecommunications, Nanjing 210003, China (e-mail: 1019081805@njupt.edu.cn; wutt@njupt.edu.cn).

Michael K. Ng is with the Department of Mathematics, The University of Hong Kong, Hong Kong (e-mail: mng@maths.hku.hk).

Tiejong Zeng is with the Department of Mathematics, The Chinese University of Hong Kong Shatin, Hong Kong (e-mail: zeng@math.cuhk.edu.hk).

Color versions of one or more figures in this article are available at <https://doi.org/10.1109/TMM.2021.3107162>.

Digital Object Identifier 10.1109/TMM.2021.3107162

in various fields such as biomedical imaging, microscopy, astronomical imaging, and multimedia processing, it is critical to restoring the sharp image from the degraded observation.

The color image processing is the extension of gray image restoration. Since color image restoration is a typical ill-posed problem, recovering the degraded image is rather challenging. In the literature, there are many excellent methods for gray image restoration. Rudin, Osher, and Fatemi [1] proposed the total variation (TV)-based method to handle the image denoising problem. Later, the TV-based model was extended by many researchers to tackle the image restoration problem, such as [2], [3]. In [4], the reliable image recovery results were generated by the dictionary learning (DL)-based method. Zeng *et al.* [5] applied the DL-based method for image denoising and yielded competitive results. The DL-based method, first introduced by Olshausen and Field [6] and continued by Elad *et al.* [7]–[9], has been applied to various tasks of image processing, such as image restoration [10], [11], image inpainting [12], [13], image recognition [14], [15], image compression [16], image classification [17], and object detection [18]. Recently, the machine learning-based method has received significant attention in image processing and has good results in image restoration. For example, Jin *et al.* [19] proposed a flexible deep convolutional neural networks (CNN) framework for image restoration. Mou *et al.* [20] restored the image with the collaborative attention network (COLA-Net). In [21], Pappas, Romano, and Elad built a nice connection between CNN and DL, which provided a new interpretation of CNN. Moreover, [22] also gave the theoretical guarantees for convolutional sparse coding. However, the integration of the DL-based method with the most recent advanced tools in image processing is still an open question.

As an important branch of image processing, DL aims at searching for a suitable dictionary where the trained data has sparse representation [23]–[26]. By minimizing the reconstruction error of the training data, the dictionary learning method can take full advantage of the sample information [27], [28]. By giving the following assumption: an image patch $\mathbf{u}_0 \in \mathbb{R}^m$ is approximately sparse under some dictionary $\mathbf{D} \in \mathbb{R}^{m \times k}$; the linear measurement $\mathbf{g}_0 = \mathbf{u}_0 + \mathbf{b}_0$ where \mathbf{b}_0 is the noise following some statistical distributions; estimating the original image patch \mathbf{u}_0 as $\mathbf{u}_0 \approx \mathbf{D}\mathbf{a}$. Then we can get \mathbf{u}_0 by solving

$$\min_{\mathbf{a}} \|\mathbf{a}\|_0, \text{ s.t. } \|\mathbf{g}_0 - \mathbf{D}\mathbf{a}\|_2^2 \leq \epsilon, \quad (1)$$

where $\|\cdot\|_0$ denotes the ℓ_0 -norm which counts the number of non-zero elements; $\|\cdot\|_2$ represents the ℓ_2 norm, i.e., $\|x\|_2 = \sqrt{\sum_{i=1}^l x_i^2}$ with $x = \{x_1, x_2, \dots, x_l\}$; \mathbf{a} represents the sparse coefficient vector; and $\epsilon \geq 0$ is a parameter with respect to the noise level. Once we have obtained the solution \mathbf{a} of (1), with the given dictionary \mathbf{D} , the original image patch \mathbf{u}_0 can be estimated by $\mathbf{u}_0 = \mathbf{D}\mathbf{a}$.

The choice of the dictionary \mathbf{D} has a significant influence on the performance of the image restoration. The dictionary \mathbf{D} can be either given or learned from observed images [29], [30]. For the predetermined dictionary, such as curvelets, wavelets, and discrete cosine transforms (DCT), their computational performances are always faster than the learned ones. However, the predetermined dictionary is not adapted to the degraded images [4]. A trained dictionary containing the prior information can better fit the images and significantly improve recovery quality [31], [32]. Among the learned dictionaries, Ma, Yu, and Zeng [33] learned the dictionary from the corresponding degraded image and led to competitive performance in impulse noise removal. Chen *et al.* [34] learned a compact dictionary from original contaminated samples for face recognition. In [35], the authors applied a set of image patches to train a dictionary for spectral computed tomography (CT) material decomposition. In [36], the dictionary is trained using image patches for image denoising under mixed noise. The methods above have good results in their tasks. However, the dictionary can contain more prior information. Inspired by the deep learning-based method, we train the dictionary by using a set of color images.

Considering the color information of an image is indispensable, and color images have wide applications in our lives. Many researchers turned to tackle color image restoration. However, most of them simply apply the techniques of the gray image to color image restoration. The dictionary learning model can well handle the gray image in [7] but generate color distribution when denoising the color image in [9]. Therefore, simply applying the gray image-based method to the color image seems not suitable. Pei and Cheng [37] claimed that there are inner-relationships between the red, green, and blue (RGB) channels of color images. They represented the color image with a quaternion matrix and had better results than those used in the gray image. Recently, many works paid a lot of attention to quaternion representation. Li, Zhou, and Zhang [38] used the quaternion non-local total variation regularizer for color image denoising. Their results are better than the real-valued non-local total variation-based methods. In [39] and [40], the authors extended the low-rank matrix approximation into the quaternion domain and achieved better color image denoising results than the real-valued low rank-based methods. Xu *et al.* [41] applied the DL with quaternion for color image denoising, inpainting, and super-resolution. They achieved good results by representing color images with the quaternion matrix. A quaternion has three imaginary parts and one real part. We consider that representing a color image with three imaginary parts is better than simply regarding the color image as a union of three independent channels.

Although the dictionary method achieves good results, there is still room for improvement, i.e., they cannot preserve image edges well [33]. Moreover, the simple patch-based approach will

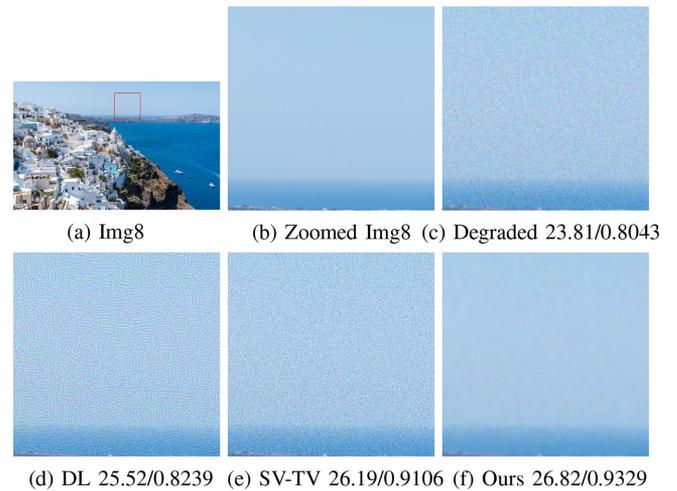


Fig. 1. The comparison of different restoration methods with visual results and PSNR/SSIM values. (a) Original image; (b) The zoomed part of Img8; (c) The zoomed part of the degraded image with Gaussian blur (15,1) and Gaussian noise level $\sigma = 12.75$; The zoomed part of images reconstructed by: (d) the dictionary learning method; (e) the SV-TV method; (f) our method.

introduce artifacts in the deblurring problem [42], [43]. Since the TV regularizer has attractive properties, i.e., convex and better sharp edge preservation [44]–[46], the TV- ℓ_1 model has been widely applied to image deblurring and denoising tasks [47], [48]. Recently, Jia, Ng, and Wang [49] proposed a TV model in HSV color space, named SV-TV, which can remove blur and noise effectively. Their study offers some important insights into our research. A brief review of the SV-TV regularizer is given in Section II.B.

In this paper, we propose a new color image restoration method with quaternion-based dictionary learning and SV-TV regularizers. As aforementioned, dictionary learning with quaternion representation can better preserve the inherent structure of the color image. Moreover, the total variation regularizer in HSV color space has better performance. Many excellent approaches have considered color image restoration with the TV-based method, non-local means-based method, or the DL-based method. However, the combination of both TV and quaternion-based DL has not been addressed in color image restoration. Moreover, due to the complexity, the quaternion-based techniques have rarely been applied in color image restoration. In this paper, we first apply the quaternion-based dictionary learning method with the SV-TV regularizer to deblur and denoise an image simultaneously. Inspired by the machine learning-based method, we train the dictionary with a large dataset. The trained dictionary can be seen as a prior. Furthermore, we do not need to train the dictionary corresponding to the input image, which saves the restoration time dramatically compared with those dictionary learning-based methods. Notably, the proposed combination model can be regarded as a general and flexible framework. Indeed, whenever necessary, one can also adopt other advanced regularizers or blocks to generate better results. In this paper, we focus on image restoration by combining dictionary learning and SV-TV regularizers for efficiency. Fig. 1 shows the comparison of different restoration methods. It is clear that the DL-based method generates great artifacts in Fig. 1(d). For the

SV-TV method, we find that some noises remained in Fig. 1(e). Our approach combines these two regularizers for color image restoration and generates a great result in Fig. 1(f). Hence, the image that we recovered has the highest numerical result and visual quality.

Indeed, the sparse representation prior is pretty good at recovering the local features in the image, and the TV term helps stabilize the restored results. Combining pure quaternion-based DL and TV regularizers, we propose a new color image restoration method. The main contributions of our method are as follows:

- Unlike the traditional color image processing, which regards the color image as the vector or the combination of monochrome images and completely ignores the inherent color structures, we represent a color image with the pure quaternion. Both denoising and deblurring are conducted to recover a color image. Due to the special calculation rules, the inner relationship among the multiple channels can be well preserved.
- We propose a new image restoration method combining quaternion-based DL and SV-TV regularizers to recover the degraded color images and achieve more competitive color image restoration results than existing methods.
- For the numerical implementation, we train a dictionary with a large dataset and then fix the trained dictionary. Based on this strategy, there is no need to train a new corresponding dictionary for each image. Meanwhile, our pre-learned dictionary can also fit the degraded images in the processing of image restoration.

Additionally, the proposed method can be used in many real applications, including multimedia computing [50], image restoration [51], [52], video enhancement [53].

The paper is organized as follows. Section II reviews the basic concept of the quaternion, the SV-TV regularization, and the classical alternating direction method of multipliers (ADMM) algorithm. The proposed method is displayed in Section III, and experimental results are in Section IV. Finally, the conclusions follow in Section V.

II. QUATERNION AND SV-TV REGULARIZATION

A. Quaternion

The quaternion matrix is proven to be a better representation for color image restoration than the real-valued matrix [54]. The quaternion was first introduced by Hamilton [55]. Assume that \mathbb{H} is a quaternion space, let $\mathbf{u}(x, y) \in \mathbb{H}$ be a quaternion, then

$$\mathbf{u}(x, y) = u_0(x, y) + u_1(x, y)\mathbf{i} + u_2(x, y)\mathbf{j} + u_3(x, y)\mathbf{k},$$

where $u_0(x, y) \in \mathbb{R}$ is the real part, $u_1(x, y)$, $u_2(x, y)$, $u_3(x, y) \in \mathbb{R}$ are the imaginary parts, and \mathbf{i} , \mathbf{j} , \mathbf{k} are three fundamental quaternion units satisfying the following quaternion rules

$$\begin{aligned} \mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1, \\ \mathbf{ij} = -\mathbf{ji} = \mathbf{k}, \mathbf{jk} = -\mathbf{kj} = \mathbf{i}, \mathbf{ki} = -\mathbf{ik} = \mathbf{j}. \end{aligned}$$

Similar to complex space, if the real part $u_0(x, y) = 0$, then $\mathbf{u}(x, y)$ is called a pure quaternion. As the color image has three

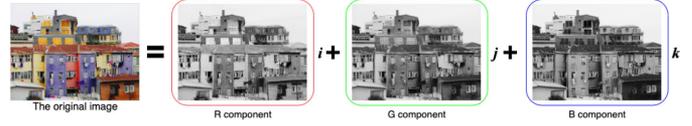


Fig. 2. Color image with quaternion representation.

channels (R, G, B), we can represent a color image with the imaginary parts of the quaternion, i.e., denoting the color image as the pure quaternion

$$\mathbf{u}(x, y) = u_r(x, y)\mathbf{i} + u_g(x, y)\mathbf{j} + u_b(x, y)\mathbf{k},$$

here (x, y) refers to the pixel location of a color image \mathbf{u} , and $u_r(x, y)$, $u_g(x, y)$, $u_b(x, y)$ are the RGB channels, respectively. Fig. 2 shows how the quaternion represents the color image.

B. SV-TV Regularization

Rudin, Osher, and Fatemi first proposed the TV regularization [1] for gray image restoration, which can preserve the edge of images. The TV term can suppress artifacts generated by the patch-based methods [56]. Given an observed image \mathbf{g} , the TV model can be written as

$$\mathbf{u} = \arg \min_{\mathbf{u}} \eta \|\nabla \mathbf{u}\|_1 + \frac{1}{2} \|\mathbf{g} - \mathbf{H} \star \mathbf{u}\|_2^2, \quad (2)$$

where \mathbf{u} is the latent image, \mathbf{H} is a given blur operator, \star is the convolutional operation, η is the positive parameter that balance the different terms, $\frac{1}{2} \|\mathbf{g} - \mathbf{H} \star \mathbf{u}\|_2^2$ is the fidelity term,

$$\|\nabla \mathbf{u}\|_1 = \sum_{i=1}^m \sum_{j=1}^n \sqrt{(\mathbf{d}_x \mathbf{u})_{ij}^2 + (\mathbf{d}_y \mathbf{u})_{ij}^2}$$

is the TV regularization term, here two discrete differential operators are introduced as

$$\begin{cases} (\mathbf{d}_x \mathbf{u})_{ij} = \mathbf{u}(i, j) - \mathbf{u}(i-1, j), \\ (\mathbf{d}_y \mathbf{u})_{ij} = \mathbf{u}(i, j) - \mathbf{u}(i, j-1). \end{cases}$$

The SV-TV regularization is introduced by Jia *et al.* [49], which is the extension of TV regularization. The SV-TV can be expressed as

$$\min_{\mathbf{u}} \eta \text{SV-TV}(\mathbf{u}) + \frac{1}{2} \|\mathbf{g} - \mathbf{H} \star \mathbf{u}\|_2^2, \quad (3)$$

where

$$\begin{aligned} \text{SV-TV}(\mathbf{u}) = \sum_{i=1}^m \sum_{j=1}^n \left(\sqrt{|(\mathbf{d}_x \mathbf{u})_{ij}|_s^2 + |(\mathbf{d}_y \mathbf{u})_{ij}|_s^2} \right. \\ \left. + \alpha \sqrt{|(\mathbf{d}_x \mathbf{u})_{ij}|_v^2 + |(\mathbf{d}_y \mathbf{u})_{ij}|_v^2} \right), \quad (4) \end{aligned}$$

here $\alpha > 0$ is the weight of the value component. The norm $|\cdot|_s$ and $|\cdot|_v$ are defined as

$$\begin{aligned} |(\mathbf{d}_x \mathbf{u})_{ij}|_s &= \frac{1}{3} \|\mathbf{C}(\mathbf{d}_x \mathbf{u})_{ij}^T\|_2, \\ |(\mathbf{d}_y \mathbf{u})_{ij}|_s &= \frac{1}{3} \|\mathbf{C}(\mathbf{d}_y \mathbf{u})_{ij}^T\|_2, \\ |(\mathbf{d}_x \mathbf{u})_{ij}|_v &= \frac{1}{\sqrt{3}} |(\mathbf{d}_x u_r)_{ij} + (\mathbf{d}_x u_g)_{ij} + (\mathbf{d}_x u_b)_{ij}|, \\ |(\mathbf{d}_y \mathbf{u})_{ij}|_v &= \frac{1}{\sqrt{3}} |(\mathbf{d}_y u_r)_{ij} + (\mathbf{d}_y u_g)_{ij} + (\mathbf{d}_y u_b)_{ij}|, \end{aligned} \quad (5)$$

where $\mathbf{C} = \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix}$. We refer the interested readers

to [49] for a more comprehensive review of the SV-TV regularizer. Our proposed model applies the SV-TV regularizer to denote the piecewise-constant image part, such that the artifacts accompanied with the patch-based method can be removed.

C. ADMM Algorithm

The alternating direction method of multipliers (ADMM) algorithm [57]–[59] aims to solve the problem with the following form

$$\min_x f(x) + h(Bx). \quad (6)$$

Here, $f(x)$ and $h(Bx)$ are two convex functions, B is a constant. Let $z = Bx$, the augmented Lagrangian of (6) is

$$L_\delta(x, z; y) = f(x) + h(z) + \langle y, Bx - z \rangle + \frac{\delta}{2} \|Bx - z\|_2^2,$$

where y is called the Lagrange multiplier and δ is the penalty parameter. The ADMM algorithm consists of the iterations as follows

$$\begin{aligned} x &= \arg \min_x f(x) + \langle y, Bx - z \rangle + \frac{\delta}{2} \|Bx - z\|_2^2, \\ z &= \arg \min_z h(z) + \langle y, Bx - z \rangle + \frac{\delta}{2} \|Bx - z\|_2^2, \\ y &= y + \delta(Bx - z). \end{aligned}$$

By alternating updates x and z subproblems and the Lagrange multiplier y , the optimal solution x with guaranteed convergence is obtained. One can have a detailed comprehending in [60], the Matlab examples and related works can be found in https://stanford.edu/boyd/papers/admm_distr_stats.html.

III. OUR METHOD

In this section, we first give the proposed method. Then provide the strategy of training the dictionary. The numerical algorithm of the proposed model is also presented. Finally, we give the computation complexity of the proposed algorithm.

TABLE I
NOTATIONS USED THROUGH THE PAPER

\mathbf{u}_0	the image patch
\mathbf{D}	the dictionary
\mathbf{b}_0	the Gaussian noise
\mathbf{a}	the sparse coefficient vector
\mathbf{a}_{ij}	the sparse coefficient for the patch located at (i, j)
\mathbf{A}	the set of \mathbf{a}_{ij}
ϵ	the parameter with respect to noise level
\mathbb{H} and \mathbb{R}	the quaternion space and the real space
$u_0(x, y)$	the real part of a quaternion function
$u_t(x, y)$	the imaginary part of a quaternion function, $t = 1, 2, 3$
$u_k(x, y)$	the RGB part of an image, $k = r, g, b$
$\mathbf{i}, \mathbf{j}, \mathbf{k}$	the fundamental quaternion units
$\mathbf{u}(x, y)$	the quaternion function
\mathbf{u}	the latent image
\mathbf{g}	the observed image
η, α, λ	the positive parameter that balance the different term
$\ \cdot\ _t$	the ℓ_t -norm, $t = 0, 1, 2$
∇	the gradient operator
$\ \nabla \mathbf{u}\ _1$	the TV term
\star	the convolutional operation
\mathbf{H}	the blur operator
$(\mathbf{d}_x \mathbf{u})_{ij}$	discrete differential operator of x orientation in location (i, j)
$(\mathbf{d}_y \mathbf{u})_{ij}$	discrete differential operator of y orientation in location (i, j)
$ \cdot _s$ and $ \cdot _v$	the s -norm and the v -norm
\mathbf{P}, \mathbf{P}_0 and \mathbf{P}_0^T	orthogonal matrices and the conjugate transpose of \mathbf{P}_0
\mathbf{J}	the singular value matrix of \mathbf{C}
\mathbf{q}	the linear transformation of \mathbf{u}
\mathbf{q}_t	three components of \mathbf{q} , $t = 1, 2, 3$
ρ_{ij}	the positive weight for patch at location (i, j)
\mathcal{R}_{ij}	the extract operator in location (i, j)
$\tilde{\mathbf{H}}, \tilde{\mathbf{g}}, \tilde{\mathbf{D}}, \tilde{\mathbf{a}}_{ij}$	the corresponding linear transformation with \mathbf{P}
$\mathbf{w}_t^x, \mathbf{w}_t^y$	auxiliary variables in ADMM algorithm, $t = 1, 2, 3$
τ_t^x, τ_t^y	Lagrangian multipliers $t = 1, 2, 3$
β	the penalty parameter

A. Proposed Scheme

Given an observed image \mathbf{g} and the blur operator \mathbf{H} , the proposed model is given as

$$\begin{aligned} \min_{\mathbf{a}_{ij}, \mathbf{u}} \quad & \lambda \|\mathbf{g} - \mathbf{H} \star \mathbf{u}\|_2^2 + \eta \text{SV-TV}(\mathbf{u}) \\ & + \sum_{i,j} \rho_{ij} \|\mathbf{a}_{ij}\|_0 + \sum_{i,j} \|\mathbf{D} \mathbf{a}_{ij} - \mathcal{R}_{ij} \mathbf{u}\|_2^2, \end{aligned} \quad (7)$$

where λ and η are two positive parameters, \mathbf{u} is the ideal latent image. The second term SV-TV(\mathbf{u}) is the regularizer proposed in the HSV color space. The last two terms are the dictionary learning model, where ρ_{ij} is the positive patch-specific weight, the binary matrix \mathcal{R}_{ij} extracts the local patch in an image, \mathbf{D} is a pre-learned dictionary, and \mathbf{a}_{ij} is the sparse coefficient vector. The set of \mathbf{a}_{ij} is denoted as \mathbf{A} . Fig. 3 summarized our approach with the pre-learned dictionary. Table I gives a list of notations used in this paper.

B. Learning the Dictionary With K-QSVD Algorithm

The last two terms in (7) are corresponding to the above-mentioned sparsity assumption (1) (for some dictionary). In the dictionary learning part, the training images are collected from the training set of the DIV2K Dataset [61]. We download 800 training images and crop them into small patches of size 8×8 . We then learned a dictionary from these image patches with the

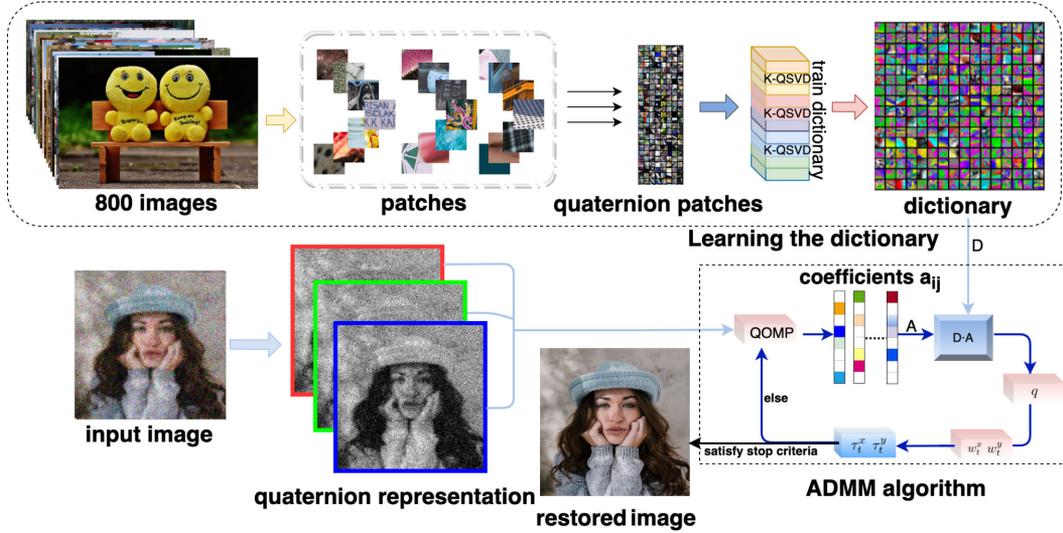


Fig. 3. The flowchart of our method.

generalized k-means clustering for quaternion singular value decomposition (K-QSVD) algorithm¹ [41]. The learned dictionary \mathbf{D} is with the size of $64 \times 256 \times 4$. It takes 291.75 seconds in Matlab R2020a under macOS Catalina 10.15.4 with a 1.40 GHz CPU and 8 GB memory. The number of nonzero entries in \mathbf{a}_{ij} is called the sparsity level of \mathbf{a}_{ij} . In this paper, the sparsity level is set to be 5, which is the default value in the K-QSVD algorithm. After the dictionary is learned, we fix it for all image restoration. Fig. 3 contains the processing of dictionary training.

C. Numerical Algorithm of the Proposed Model (7)

To better solve the proposed model, we first perform a linear transformation. Since the component of SV-TV (4) satisfies the (5). Given the orthogonal decomposition of \mathbf{C} , i.e., $\mathbf{C} = \mathbf{P}_0^T \mathbf{J} \mathbf{P}_0$, where \mathbf{P}_0 is the orthogonal matrix and

$$\mathbf{J} = \begin{bmatrix} 3 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \mathbf{P}_0 = \begin{bmatrix} \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{6}} & \frac{-2}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \end{bmatrix}, \quad (8)$$

following (8), by doing the linear transformation of \mathbf{u} , we have

$$\mathbf{q} = \begin{bmatrix} \mathbf{q}_1(x, y) \\ \mathbf{q}_2(x, y) \\ \mathbf{q}_3(x, y) \end{bmatrix} = \mathbf{P} \mathbf{u} = \mathbf{P} \begin{bmatrix} u_r(x, y) \\ u_g(x, y) \\ u_b(x, y) \end{bmatrix}, \quad (9)$$

here

$$\mathbf{P} = \begin{bmatrix} \frac{1}{\sqrt{2}} \mathbf{I} & \frac{-1}{\sqrt{2}} \mathbf{I} & \mathbf{0} \\ \frac{1}{\sqrt{6}} \mathbf{I} & \frac{1}{\sqrt{6}} \mathbf{I} & \frac{-2}{\sqrt{6}} \mathbf{I} \\ \frac{1}{\sqrt{3}} \mathbf{I} & \frac{1}{\sqrt{3}} \mathbf{I} & \frac{1}{\sqrt{3}} \mathbf{I} \end{bmatrix},$$

and \mathbf{I} is the identity matrix. With the fixed \mathbf{P} , by doing the linear transformation, we set $\tilde{\mathbf{H}} = \mathbf{P} \mathbf{H} \mathbf{P}^T$, $\tilde{\mathbf{g}} = \mathbf{P} \mathbf{g}$, $\tilde{\mathbf{D}} = \mathbf{P} \mathbf{D}$,

¹The code of the K-QSVD algorithm can be found in [Online]. Available: <https://lichengunc.github.io/>.

$\tilde{\mathbf{a}}_{ij} = \mathbf{a}_{ij}$. The objective function (7) is reformulated as

$$\min_{\tilde{\mathbf{a}}_{ij}, \mathbf{q}} \lambda \|\tilde{\mathbf{H}} \star \mathbf{q} - \tilde{\mathbf{g}}\|_2^2 + \eta \text{SV-TV}(\mathbf{q}) + \sum_{i,j} \rho_{ij} \|\tilde{\mathbf{a}}_{ij}\|_0 + \sum_{i,j} \|\tilde{\mathbf{D}} \tilde{\mathbf{a}}_{ij} - \mathcal{R}_{ij} \mathbf{q}\|_2^2, \quad (10)$$

where

$$\text{SV-TV}(\mathbf{q}) = \sum_{i=1}^m \sum_{j=1}^n (\alpha \sqrt{(\mathbf{d}_x \mathbf{q}_3)_{ij}^2 + (\mathbf{d}_y \mathbf{q}_3)_{ij}^2} + \sqrt{(\mathbf{d}_x \mathbf{q}_1)_{ij}^2 + (\mathbf{d}_x \mathbf{q}_2)_{ij}^2 + (\mathbf{d}_y \mathbf{q}_1)_{ij}^2 + (\mathbf{d}_x \mathbf{q}_2)_{ij}^2}). \quad (11)$$

Following previous works [41], [49], we can establish the optimization scheme based on the ADMM approach [57]–[59]. According to II-C, by introducing auxiliary variables \mathbf{w}_t^x , \mathbf{w}_t^y , Lagrangian multipliers τ_t^x and τ_t^y ($t = 1, 2, 3$), and a penalty parameter $\beta > 0$, we have the augmented Lagrangian of the proposed model as follows

$$\begin{aligned} L_\beta(\tilde{\mathbf{a}}_{ij}, \mathbf{q}, \mathbf{w}_t^x, \mathbf{w}_t^y; \tau_t^x, \tau_t^y) &= \sum_{i=1}^m \sum_{j=1}^n \left(\lambda \|\tilde{\mathbf{H}} \star \mathbf{q} - \tilde{\mathbf{g}}\|_2^2 + \rho_{ij} \|\tilde{\mathbf{a}}_{ij}\|_0 + \|\tilde{\mathbf{D}} \tilde{\mathbf{a}}_{ij} - \mathcal{R}_{ij} \mathbf{q}\|_2^2 \right. \\ &+ \eta \left(\sqrt{|\mathbf{w}_1^x|_{ij}|^2 + |\mathbf{w}_2^x|_{ij}|^2} + |\mathbf{w}_1^y|_{ij}|^2 + |\mathbf{w}_2^y|_{ij}|^2 \right) \\ &+ \alpha \sqrt{|\mathbf{w}_3^x|_{ij}|^2 + |\mathbf{w}_3^y|_{ij}|^2} \left. \right) + \sum_{t=1}^3 (\langle \tau_t^x, (\mathbf{w}_t^x - \mathbf{d}_x \mathbf{q}_t)_{ij} \rangle \\ &+ \langle \tau_t^y, (\mathbf{w}_t^y - \mathbf{d}_y \mathbf{q}_t)_{ij} \rangle + \frac{\beta}{2} \|(\mathbf{w}_t^x - \mathbf{d}_x \mathbf{q}_t)_{ij}\|_2^2 \\ &+ \frac{\beta}{2} \|(\mathbf{w}_t^y - \mathbf{d}_y \mathbf{q}_t)_{ij}\|_2^2). \end{aligned} \quad (12)$$

Then the solution is derived by alternatively solving the following three subproblems.

- $\tilde{\mathbf{a}}_{ij}$ -subproblem

$$\min_{\tilde{\mathbf{a}}_{ij}} \sum_{i=1}^m \sum_{j=1}^n (\rho_{ij} \|\tilde{\mathbf{a}}_{ij}\|_0 + \|\tilde{\mathbf{D}}\tilde{\mathbf{a}}_{ij} - \mathcal{R}_{ij}\mathbf{q}\|_2^2), \quad (13)$$

following (1), given an observed image \mathbf{g} , the corresponding coefficient vectors \mathbf{a}_{ij} can be obtained. We apply the quaternion orthogonal matching pursuit (QOMP) algorithm² to get \mathbf{a}_{ij} .

- \mathbf{q} -subproblem

$$\begin{aligned} \min_{\mathbf{q}} \lambda \|\tilde{\mathbf{H}} \star \mathbf{q} - \tilde{\mathbf{g}}\|_2^2 + \sum_{i=1}^m \sum_{j=1}^n \|\tilde{\mathbf{D}}\tilde{\mathbf{a}}_{ij} - \mathcal{R}_{ij}\mathbf{q}\|_2^2 \\ + \sum_{t=1}^3 (\langle \tau_t^x, (\mathbf{w}_t^x - \mathbf{d}_x\mathbf{q}_t)_{ij} \rangle + \langle \tau_t^y, (\mathbf{w}_t^y - \mathbf{d}_y\mathbf{q}_t)_{ij} \rangle \\ + \frac{\beta}{2} \|(\mathbf{w}_t^x - \mathbf{d}_x\mathbf{q}_t)_{ij}\|_2^2 + \frac{\beta}{2} \|(\mathbf{w}_t^y - \mathbf{d}_y\mathbf{q}_t)_{ij}\|_2^2), \end{aligned} \quad (14)$$

then the \mathbf{q} -subproblem has a close form solution

$$\mathbf{q} = \frac{2\lambda\tilde{\mathbf{H}}^T\tilde{\mathbf{g}} + 2\sum_{i,j}\mathcal{R}_{ij}^T\tilde{\mathbf{D}}\tilde{\mathbf{a}}_{ij} + \Xi}{2\lambda\tilde{\mathbf{H}}^T\tilde{\mathbf{H}} + 2\sum_{i,j}\mathcal{R}_{ij}^T\mathcal{R}_{ij} + \beta(\mathbf{d}_x^T\mathbf{d}_x + \mathbf{d}_y^T\mathbf{d}_y)}, \quad (15)$$

where $\Xi = \sum_{t=1}^3 (\tau_t^x\mathbf{d}_x + \tau_t^y\mathbf{d}_y + \beta(\mathbf{d}_x^T\mathbf{w}_t^x + \mathbf{d}_y^T\mathbf{w}_t^y))$.

- \mathbf{w}_t^x and \mathbf{w}_t^y -subproblem ($t = 1, 2, 3$)

It is a typical problem that can be solved by the soft shrinkage algorithm [62]. According to the soft shrinkage algorithm, we have the solution of \mathbf{w}_t^x and \mathbf{w}_t^y as follows

$$\begin{aligned} \mathbf{w}_1^x &= \max(s_1 - \frac{\eta}{\beta}, 0) \frac{\mathbf{d}_1^x - \mathbf{w}_1^x/\beta}{s_1}, \\ \mathbf{w}_1^y &= \max(s_1 - \frac{\eta}{\beta}, 0) \frac{\mathbf{d}_1^y - \mathbf{w}_1^y/\beta}{s_1}, \\ \mathbf{w}_2^x &= \max(s_1 - \frac{\eta}{\beta}, 0) \frac{\mathbf{d}_2^x - \mathbf{w}_2^x/\beta}{s_1}, \\ \mathbf{w}_2^y &= \max(s_1 - \frac{\eta}{\beta}, 0) \frac{\mathbf{d}_2^y - \mathbf{w}_2^y/\beta}{s_1}, \\ \mathbf{w}_3^x &= \max(s_2 - \frac{\eta\alpha}{\beta}, 0) \frac{\mathbf{d}_3^x - \mathbf{w}_3^x/\beta}{s_2}, \\ \mathbf{w}_3^y &= \max(s_2 - \frac{\eta\alpha}{\beta}, 0) \frac{\mathbf{d}_3^y - \mathbf{w}_3^y/\beta}{s_2}, \end{aligned} \quad (16)$$

where

$$\begin{aligned} s_1 &= \sqrt{\sum_{t=1}^2 (\mathbf{d}_x - \frac{\mathbf{w}_t^x}{\beta})^2 + (\mathbf{d}_y - \frac{\mathbf{w}_t^y}{\beta})^2}, \\ s_2 &= \sqrt{(\mathbf{d}_x - \frac{\mathbf{w}_3^x}{\beta})^2 + (\mathbf{d}_y - \frac{\mathbf{w}_3^y}{\beta})^2}. \end{aligned} \quad (17)$$

- τ_t^x and τ_t^y -subproblem ($t = 1, 2, 3$)

²The code of the QOMP algorithm can be found in [Online]. Available: <https://lichengunc.github.io/>.

Algorithm 1: Our algorithm for image Restoration.

Initialization Represent color image with quaternion matrix. Given the pre-learned dictionary \mathbf{D} and the orthogonal matrix \mathbf{P} . Set $\mathbf{u} = \mathbf{g}$. Choose parameters $\lambda, \eta, \alpha, \beta$. Set the maximum iteration T .

for $t = 1$ to T **do**

 Update $\tilde{\mathbf{a}}_{ij}$ with (13);
 Update \mathbf{q} using (15);
 Update \mathbf{w}_t^x and \mathbf{w}_t^y using (16);
 Update τ_t^x and τ_t^y using (18);
 $t = t + 1$.

end for

return $\mathbf{u} = \mathbf{P}^{-1}\mathbf{q}$.



Fig. 4. Ground-truth images.

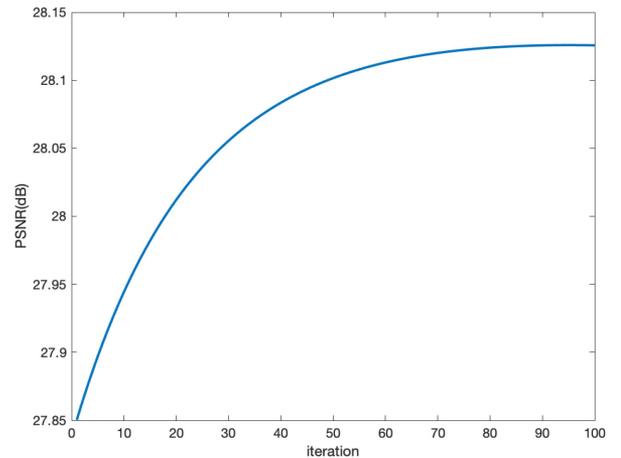


Fig. 5. PSNR values of results recovered from Img6 with 'degraded=fspecial('Gaussian,'15,1)+noise' by the proposed method.

The Lagrange multipliers are updated as follows.

$$\tau_t^x = \tau_t^x + \beta(\mathbf{w}_t^x - \mathbf{d}_x), \quad \tau_t^y = \tau_t^y + \beta(\mathbf{w}_t^y - \mathbf{d}_y). \quad (18)$$

By iterating \mathbf{a}_{ij} , \mathbf{q} , \mathbf{w}_t^x , and \mathbf{w}_t^y -subproblems and Lagrange multipliers τ_t^x and τ_t^y ($t = 1, 2, 3$) with Eqs. (13)-(17), the optimal solution \mathbf{q} is obtained. Since \mathbf{P} is an orthogonal matrix, we can get the solution \mathbf{u} from (9). Our optimization scheme is summarized in Algorithm 1.

D. Computation Complexity

The computation complexity also plays an important role in determining the final performance of the proposed framework. Suppose that we have M samples with dimension $m \times n \times 3$

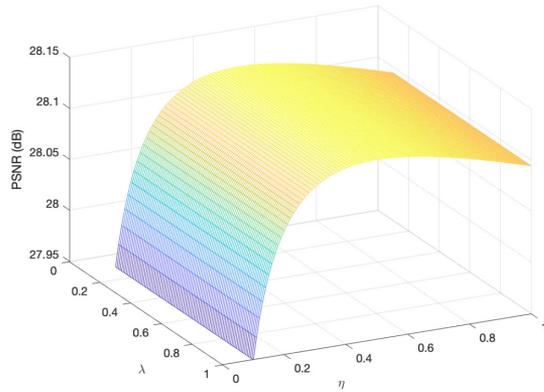
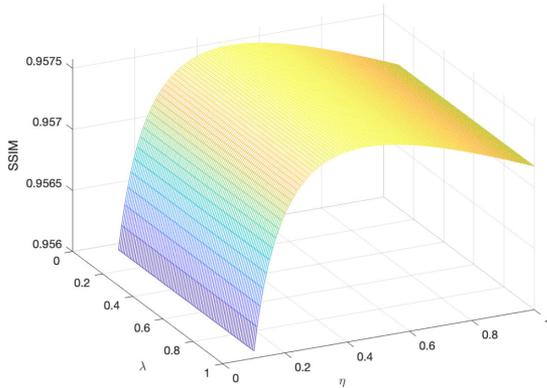

 (a) PSNR values along with η and λ .

 (b) SSIM values along with η and λ .

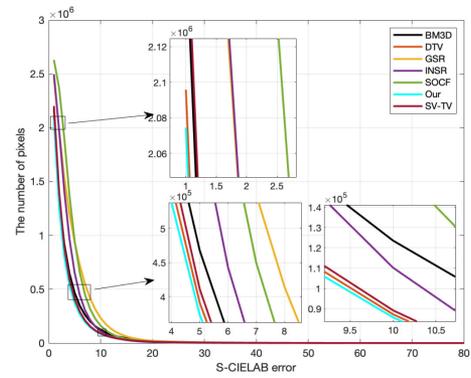
 Fig. 6. Restoration results of *Img6* with different parameters.

corresponding to color patches. The dictionary size is $m \times n \times 3 \times K$, where K is the number of atoms. The sparsity constraint is $3L$ for the sparse code of each color channel. As a result, according to [41], the complexity of the QOMP algorithm is $\mathcal{O}(LM(\frac{14 \times m \times n}{3})^3)$, the complexity of the K-QSVD algorithm is $\mathcal{O}(LM(\frac{14 \times m \times n}{3})^3)$. The iteration of the proposed method in Algorithm 1 is T . In every iteration, we need to update \tilde{a}_{ij} with the QOMP algorithm. Overall, the complexity of our method as a whole is $\mathcal{O}(TLM(\frac{14 \times m \times n}{3})^3)$.

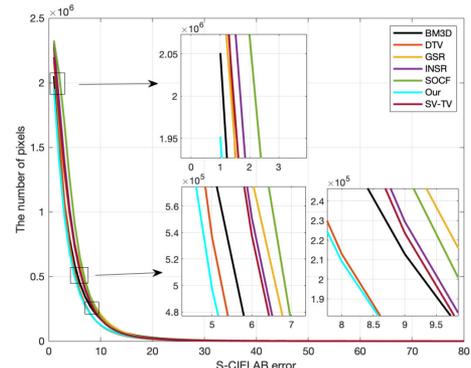
IV. EXPERIMENTAL RESULTS

To demonstrate the effectiveness of our quaternion-based dictionary learning and total variation method, we present the numerical and visual results in this section. We compare the proposed method with TV-based models (DTV [63], SV-TV [49]), DL-based methods (GSR [64], INSR [65]), sharpening operator combined with framelet model (SOCF [66]), and the classic image processing method (BM3D [67]) for image restoration³.

³The code of SV-TV [49] and SOCF [66] were provided by the corresponding authors; the code of DTV [63] was downloaded from [Online]. Available: <https://sites.google.com/site/thunsukeono/publications>; the code of GSR [64] was downloaded from [Online]. Available: <https://github.com/jianzhangs/GSR>; the code of INSR [65] was downloaded from [Online]. Available: https://github.com/WanglifufuCV/INSR_Deblur-SR; the code of BM3D [67] was downloaded from [Online]. Available: <https://webpages.tuni.fi/foi/GCF-BM3D/>.



(a) Results with ‘degraded=fspecial(‘gaussian’,15,1)+noise’.



(b) Results with ‘degraded=fspecial(‘gaussian’,15,1.5)+noise’.

Fig. 7. The average S-CIELAB errors between the ground-truth images and the restored results.

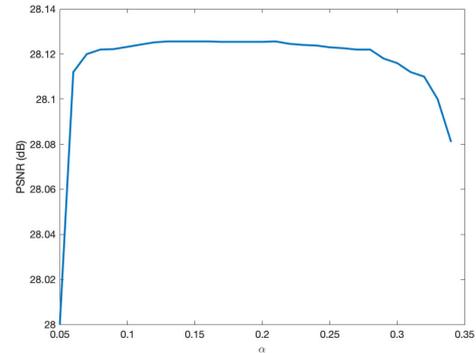
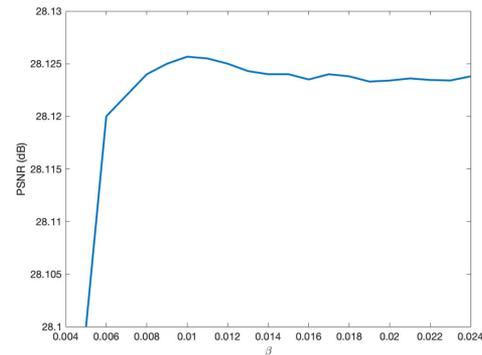

 (a) PSNR values along with parameter α .

 (b) PSNR values along with parameter β .

 Fig. 8. Parameters analysis. PSNR results of *Img6* with ‘degraded=fspecial(‘Gaussian’,15,1)+noise’ by the proposed method.

TABLE II
PSNR AND SSIM VALUES OF COLOR IMAGE RESTORATION WITH GAUSSIAN KERNEL (15,1) AND NOISE LEVEL $\sigma = 12.75$

Images\Methods	Degraded		SOCF [66]		BM3D [67]		DTV [63]		SV-TV [49]		GSR [64]		INSR [65]		Ours	
	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM
Img1	25.70	0.8489	30.56	0.9556	<u>32.76</u>	<u>0.9788</u>	32.17	0.9480	32.24	0.9461	32.62	0.9662	31.93	0.9068	33.17	0.9826
Img2	27.37	0.8655	29.20	0.9583	<u>35.02</u>	<u>0.9927</u>	34.41	0.9519	34.39	0.9492	34.31	0.9802	34.99	0.9875	35.87	0.9929
Img3	25.54	0.7683	29.03	0.9084	30.19	0.9368	<u>31.84</u>	0.9492	<u>31.23</u>	<u>0.9461</u>	31.78	0.9325	31.82	0.9300	32.05	0.9357
Img4	23.54	0.6445	25.19	0.8094	25.63	<u>0.8934</u>	25.46	0.8996	<u>26.12</u>	0.8345	25.08	0.7963	25.36	0.7718	26.24	0.8996
Img5	22.96	0.7030	24.19	0.8282	24.01	0.8365	24.77	<u>0.8790</u>	<u>24.87</u>	0.8602	24.12	0.7932	24.86	0.8324	25.09	0.8872
Img6	24.38	0.7076	26.50	0.8094	26.95	0.8758	26.55	0.8519	26.62	0.8563	26.92	0.8914	<u>27.23</u>	0.9025	28.11	<u>0.8932</u>
Img7	25.05	0.6266	28.19	0.8439	28.28	0.8453	28.55	0.9100	28.78	0.9302	29.04	0.8619	<u>29.11</u>	0.8632	29.99	<u>0.9193</u>
Img8	23.81	0.8043	25.66	0.8883	25.83	0.8902	<u>26.29</u>	<u>0.9243</u>	26.19	0.9106	25.56	0.8696	25.52	0.8239	26.82	0.9329
Img9	24.71	0.7764	27.37	0.9050	27.96	0.9249	28.05	<u>0.9363</u>	28.33	0.8994	<u>28.95</u>	<u>0.9324</u>	28.97	0.9217	28.94	0.9370
Img10	24.57	0.7761	26.98	0.8857	27.78	0.8976	26.49	0.8820	26.67	0.9210	28.03	0.9025	<u>28.18</u>	<u>0.9339</u>	28.77	0.9551
Img11	24.52	0.6752	26.94	0.8329	<u>27.60</u>	0.8355	26.53	<u>0.9111</u>	26.84	0.9228	26.65	0.8767	26.77	0.7613	28.59	0.9030
Img12	23.87	0.7996	25.72	0.8767	26.15	0.8771	<u>26.68</u>	<u>0.8914</u>	26.64	0.8852	25.27	0.8582	25.12	0.8042	26.87	0.8915
Img13	24.22	0.6981	26.36	0.8547	26.64	0.8792	<u>27.03</u>	<u>0.9044</u>	27.00	0.8637	26.01	0.8292	25.81	0.8144	27.67	0.9052
Img14	24.14	0.6897	26.61	0.8766	<u>27.64</u>	0.9217	27.19	<u>0.9200</u>	27.60	0.8252	27.03	0.8950	27.12	0.8641	27.69	0.9192
Img15	24.93	0.5607	27.86	0.7826	28.48	0.8264	29.23	0.8576	29.22	0.8002	<u>29.81</u>	0.8195	29.73	0.8311	29.82	<u>0.8537</u>
Aver.	25.09	0.7295	27.92	0.8758	29.30	0.9036	29.10	<u>0.9118</u>	29.19	0.8997	29.51	0.9058	<u>29.59</u>	0.8892	30.29	0.9336

TABLE III
PSNR AND SSIM VALUES OF COLOR IMAGE RESTORATION WITH GAUSSIAN KERNEL (15,1.5) AND NOISE LEVEL $\sigma = 12.75$

Images\Methods	Degraded		SOCF [66]		BM3D [67]		DTV [63]		SV-TV [49]		GSR [64]		INSR [65]		Ours	
	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM	PSNR	SSIM
Img1	25.41	0.8445	30.59	0.9642	<u>32.66</u>	0.9806	31.94	0.9745	32.32	0.9725	32.64	0.9674	31.95	0.8809	32.72	<u>0.9771</u>
Img2	25.48	0.8824	29.93	0.9734	<u>34.73</u>	0.9918	33.56	<u>0.9897</u>	34.75	<u>0.9897</u>	33.88	0.9809	33.85	0.8983	34.95	0.9885
Img3	25.02	0.7428	28.87	0.9073	30.72	0.9150	29.08	0.8886	30.38	0.9145	<u>31.00</u>	<u>0.9154</u>	30.87	0.8538	31.18	0.9397
Img4	22.21	0.6002	23.78	0.8138	23.99	0.8562	24.56	0.8928	<u>24.79</u>	0.8559	23.87	0.7513	23.67	0.6482	24.91	<u>0.8568</u>
Img5	21.81	0.6549	23.09	0.8067	23.11	0.8077	23.04	<u>0.8491</u>	<u>23.45</u>	0.8428	22.56	0.7519	22.88	0.6960	23.53	0.8532
Img6	23.32	0.6621	25.60	0.8344	27.04	0.8774	26.63	0.8419	<u>27.32</u>	0.8812	27.31	0.8620	27.12	<u>0.8893</u>	27.33	0.8937
Img7	24.33	0.5859	27.20	0.8233	28.66	<u>0.8530</u>	28.35	0.8185	28.68	0.8348	28.80	0.8353	<u>29.01</u>	0.8141	29.20	0.8631
Img8	22.71	0.7632	24.59	0.8667	24.60	0.8602	25.04	0.8973	<u>25.17</u>	<u>0.8983</u>	23.77	0.8005	23.77	0.8005	25.65	0.9074
Img9	23.72	0.7449	26.17	0.8946	27.55	<u>0.9192</u>	27.54	0.9012	27.04	0.8773	<u>29.81</u>	0.8195	28.59	0.8632	29.91	0.9274
Img10	23.66	0.7433	26.03	0.8753	27.55	<u>0.8989</u>	27.35	0.8748	27.72	0.9025	28.00	0.8966	<u>28.26</u>	0.8605	28.27	0.8875
Img11	23.50	0.6214	25.64	0.7985	27.41	0.8335	26.17	0.7936	<u>27.84</u>	0.8426	27.88	0.8339	27.64	<u>0.8604</u>	27.49	0.8733
Img12	23.27	0.7763	25.32	0.8685	<u>25.72</u>	0.8695	25.28	0.8791	25.30	<u>0.8794</u>	23.61	0.7856	23.61	0.7856	26.03	0.8795
Img13	23.04	0.6549	25.11	0.8449	<u>25.26</u>	0.8505	24.94	<u>0.8752</u>	24.82	0.8708	24.16	0.7811	24.27	0.6947	25.58	0.8789
Img14	22.84	0.6603	24.77	0.8688	26.89	0.9189	28.60	0.8958	26.97	0.8522	<u>30.03</u>	0.8950	29.76	0.9023	30.34	0.8884
Img15	24.29	0.5248	27.29	0.7916	28.83	<u>0.8348</u>	28.58	0.8069	29.06	0.8198	<u>29.81</u>	0.8195	28.99	0.7946	29.83	0.8626
Aver.	23.64	0.6975	26.27	0.8621	27.30	0.8686	27.66	<u>0.8944</u>	27.71	0.8823	<u>27.81</u>	0.8464	27.62	0.8162	28.46	0.8983

The numerical quality of the restored images is measured by S-CIELAB error⁴ [68], PSNR (peak signal-to-noise ratio), and SSIM (structural similarity index measure) [69]. All test experiments are conducted in MATLAB R2020a under macOS Catalina 10.15.4 with a 1.40 GHz CPU and 8 GB memory.

A. Parameter Setting

The Dataset DIV2K⁵ [61] has a training set and a test set. There are 800 images in the training set and 200 images in the test set. We make use of images⁶ in Fig. 4 taken from the test set of the DIV2K Dataset to test the proposed model for color image restoration. We simulate the degraded images by convoluting the original image in Fig. 4 with different Gaussian blur levels (Matlab language `degraded=fspecial('Gaussian',15,1)`, and `fspecial('Gaussian',15,1.5)`). Furthermore, we add the Gaussian noise to model the unavoidable noise degradation in image

processing. For Gaussian blur (15,1), we set $\lambda = 0.5$, $\eta = 0.5$, $\alpha = 0.22$, $\beta = 0.01$ for our method. For Gaussian blur (15,1.5), we set $\eta = 0.4$. The parameters of other methods are the default values or the corresponding values described in their articles and codes. We break the ADMM iteration when the relative error of the successive iterates is less than or equal to 10^{-5} for all the testing methods.

B. Our Strategy

With the fixed dictionary, by updating the subproblems under the framework of the ADMM algorithm, the final restoration result is obtained. The convergence of Img6 is given in Fig. 5 to illustrate the effectiveness of the proposed model. As the iteration goes, the PSNR (dB) value is going to stability. Due to the proposed model combines different regularizers for color image restoration, we display the analysis of the balance parameters η and λ in a small interval in Fig. 6. In the test, we choose different η and λ by trial and error to produce the best possible result. The PSNR and SSIM curves become quite flat as the parameter η from 0.3 to 0.8 along the η axis, which implies choosing $\eta = 0.5$ ensures the best result of the test Img6. We choose the parameter η in the interval [0.3,0.8] for other test images. The curve along

⁴S-CIELAB code is in [Online]. Available: <http://scarlet.stanford.edu/brian/scielab/scielab.html>.

⁵[Online]. Available: <https://data.vision.ee.ethz.ch/cvl/DIV2K/>.

⁶Image size: Img1, 2, 3, 7, 10, 11, 12, 13 with 2040×1356 ; Img 4, 5 with 2040×1536 ; Img 6 with 2040×1200 ; Img 14 with 2040×1152 ; Img 8 with 2040×1284 ; Img 9 with 1356×2040 ; Img 15 with 2040×2040 .

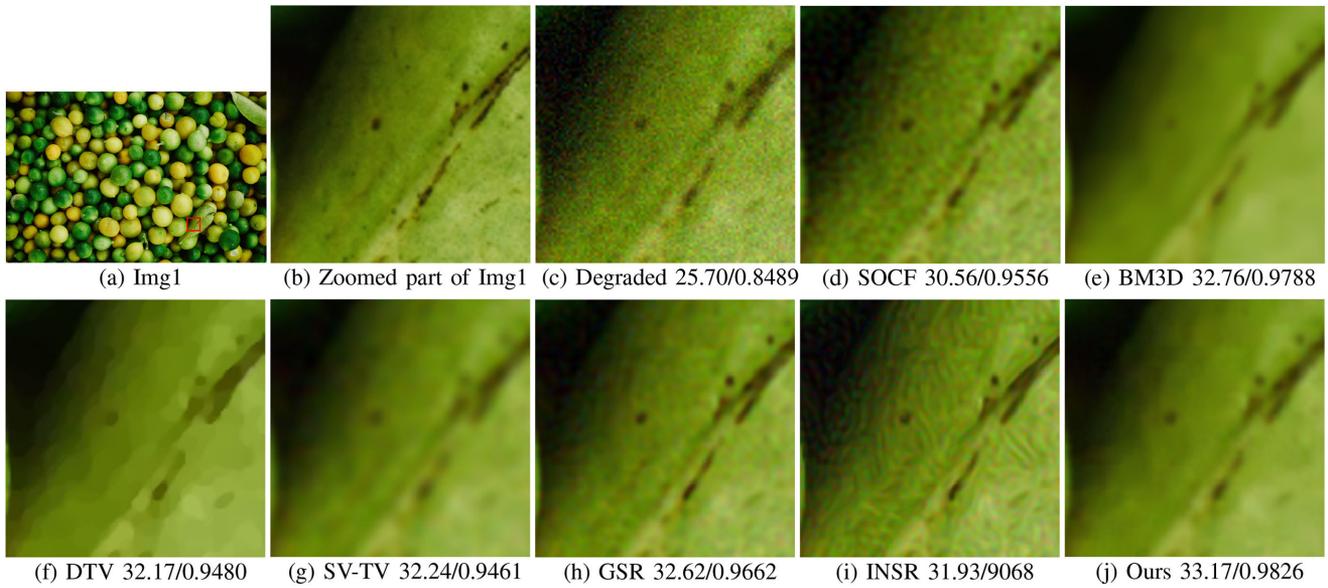


Fig. 9. Color image restoration results on Img1. (a) Original image; (b) The zoomed part of Img1; (c) Gaussian blur (15,1) and Gaussian noise level $\sigma = 12.75$; The zoomed part of restored image reconstructed by: (d) SOCF [66], (e) BM3D [67], (f) DTV [63], (g) SV-TV [49], (h) GSR [64], (i) INSR [65], and (j) Ours.

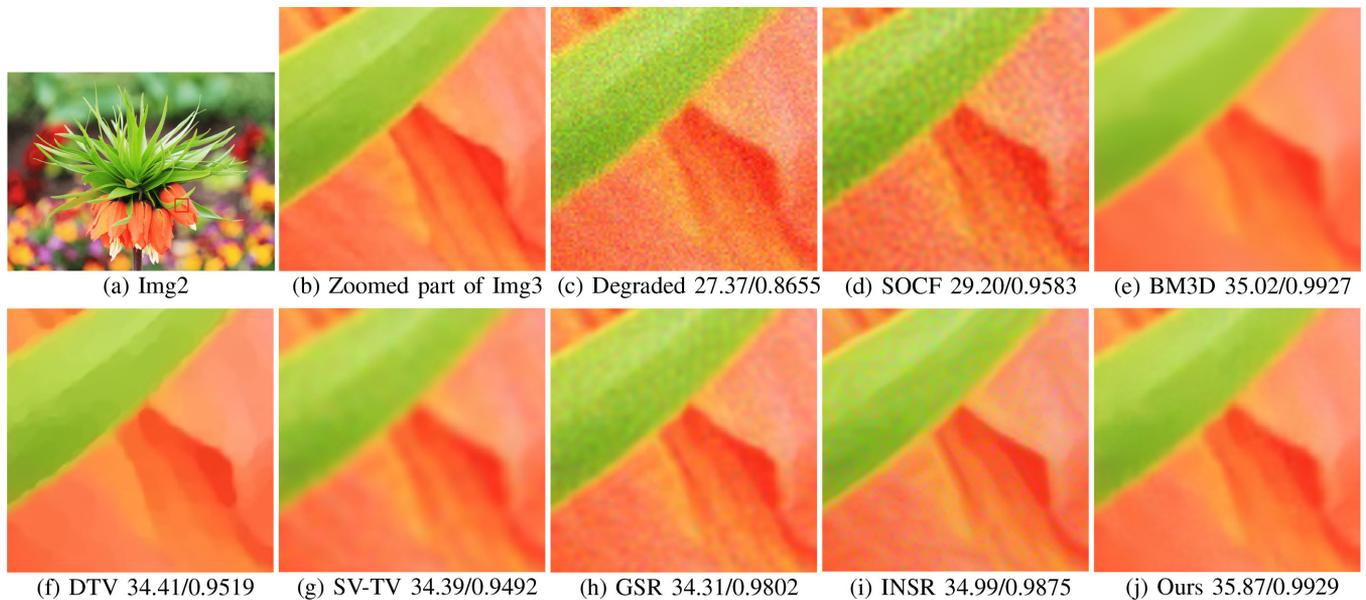


Fig. 10. Color image restoration results on Img2. (a) Original image; (b) The zoomed part of Img2; (c) Gaussian blur (15,1) and Gaussian noise level $\sigma = 12.75$; The zoomed part of restored image reconstructed by: (d) SOCF [66], (e) BM3D [67], (f) DTV [63], (g) SV-TV [49], (h) GSR [64], (i) INSR [65], and (j) Ours.

λ almost has a wider peak in (0.1,0.9], which gives more choices of λ . Here, we find a small interval (0.1,0.9], such that the results are robust to the parameter λ . The analysis of parameters α and β are also conducted in Fig. 8. We set $\alpha \in [0.05, 0.34]$ with step size 0.01 and $\beta \in [0.005, 0.015]$ with step size 0.001. How to select the related parameters automatically is an open question. We leave this as future works.

C. Experimental Results

Since the CIELAB system [70] is an important international standard for measuring color reproduction errors, we test the results with the S-CIELAB error indicator [68]. The S-CIELAB

error evaluates the error between a pair of images. For 15 images in Fig. 4, we find that when the S-CIELAB error becomes 70, all the error pixels number of restored images by the methods we tested equate to zero. We set the error from 0 to 80 so that the S-CIELAB indicator can calculate the error number of these image pairs. Here error = 0 means the two images are the same. As the S-CILEB error goes, the number of error pixels decreases. We plot the average S-CIELAB errors of all 15 images in Fig. 7, which shows the restored image of our method has the least total error pixel number. It becomes clear that the proposed method outperforms other testing methods in terms of the S-CIELAB color metric when the S-CIELAB error less than 10 units.

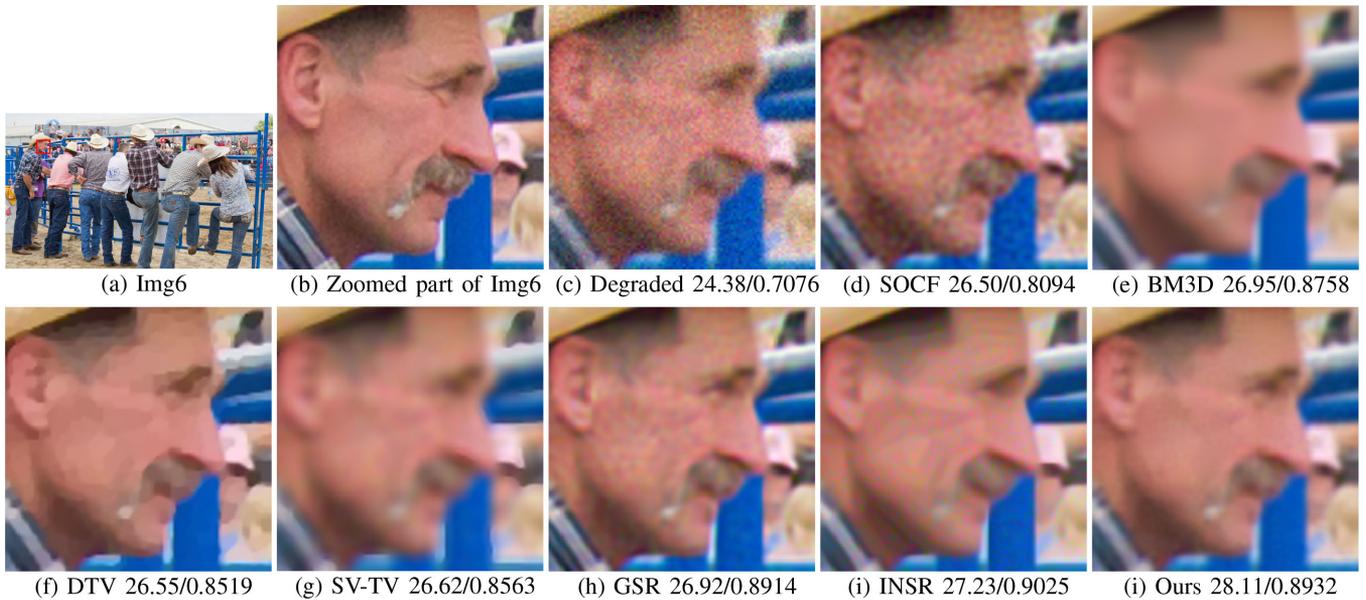


Fig. 11. Color image restoration results on Img6. (a) Original image; (b) The zoomed part of Img6; (c) Gaussian blur (15.1) and Gaussian noise level $\sigma = 12.75$; The zoomed part of restored image reconstructed by: (d) SOCF [66], (e) BM3D [67], (f) DTV [63], (g) SV-TV [49], (h) GSR [64], (i) INSR [65], and (j) Ours.

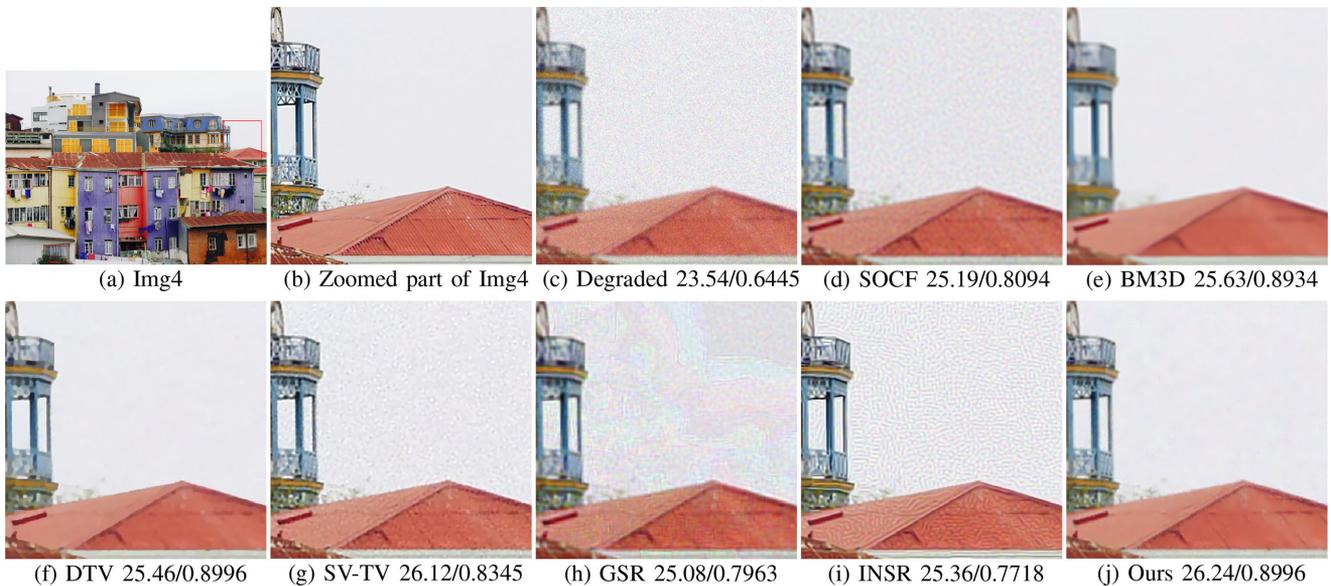


Fig. 12. Color image restoration results on Img4. (a) Original image; (b) The zoomed part of Img4; (c) Gaussian blur (15.15) and Gaussian noise level $\sigma = 12.75$; The zoomed part of restored image reconstructed by: (d) SOCF [66], (e) BM3D [67], (f) DTV [63], (g) SV-TV [49], (h) GSR [64], (i) INSR [65], and (j) Ours.

The numerical results of the SSIM and PSNR values are present in Table II and Table III. The highest PSNR and SSIM values are highlighted in bold and the second-highest ones are underlined. The average PSNR and SSIM values of all compared methods are also computed. We find that most of our results outperform other competing methods' results in terms of the PSNR and SSIM values, which further verifies the advantages of our method. For visual quality, we display the recovered results of our experiments in Fig. 9, Fig. 10, and Fig. 11 for the blur kernel of standard deviation 1 and white Gaussian noise of standard

deviation $\sigma = 12.75$. Fig. 12, Fig. 13, and Fig. 14 show the blur kernel of standard deviation 1.5 and white Gaussian noise of standard deviation $\sigma = 12.75$. The quality of the restored images can be evaluated visually besides the values. From the visual results, we know that the restored images of the SOCF method still have some color spots. The DTV method tends to smooth the textures in the restored images. The SV-TV method is good at handling image noise, while the results show that this method is not very expert in blur removal. For the classical BM3D method, the results seem to oversmooth. As for the dictionary learning

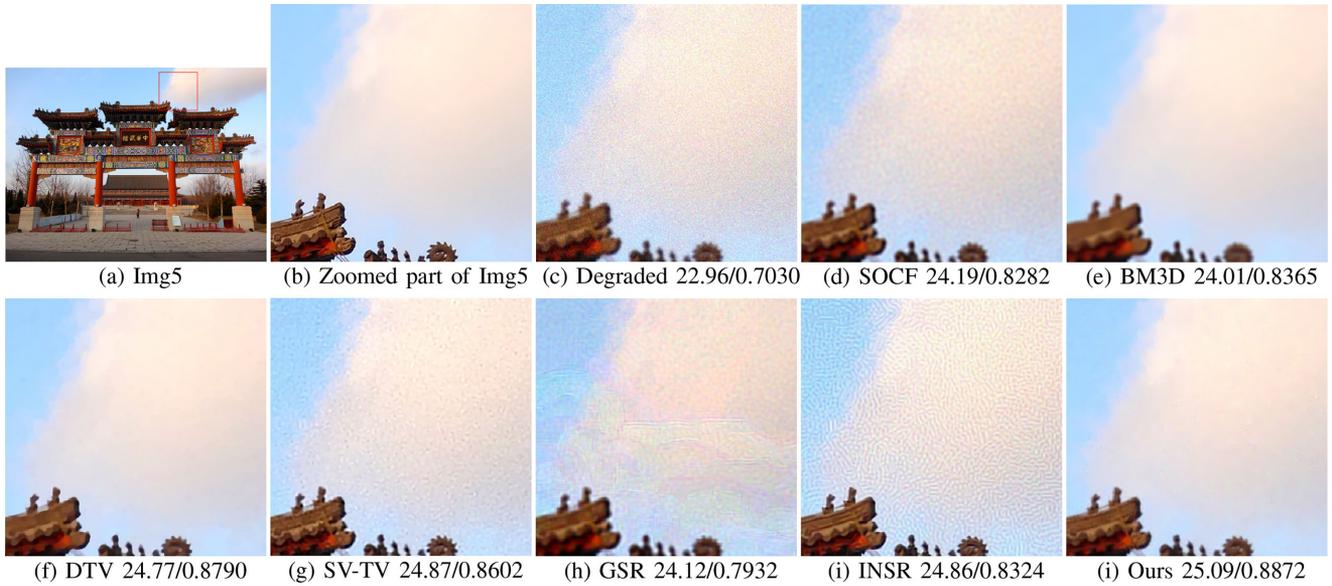


Fig. 13. Color image restoration results on Img5. (a) Original image; (b) The zoomed part of Img5; (c) Gaussian blur (15,1.5) and Gaussian noise level $\sigma = 12.75$; The zoomed part of restored image reconstructed by: (d) SOCF [66], (e) BM3D [67], (f) DTV [63], (g) SV-TV [49], (h) GSR [64], (i) INSR [65], and (j) Ours.

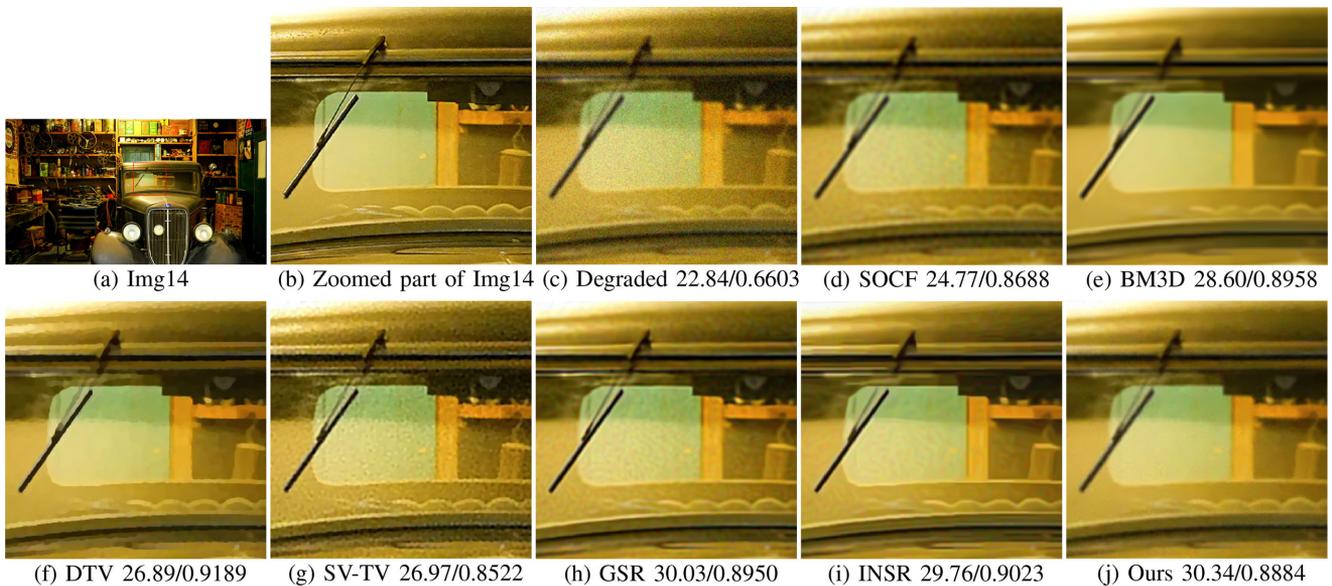


Fig. 14. Color image restoration results on Img14. (a) Original image; (b) The zoomed part of Img14; (c) Gaussian blur (15,1.5) and Gaussian noise level $\sigma = 12.75$; The zoomed part of restored image reconstructed by: (d) SOCF [66], (e) BM3D [67], (f) DTV [63], (g) SV-TV [49], (h) GSR [64], (i) INSR [65], and (j) Ours.

method INSR and GSR, the artifacts can be found clearly. Our method can better restore the degraded images and remove the artifacts generated by the patch-based method.

V. CONCLUSION

In this paper, we propose an effective method that combines pure quaternion dictionary learning and SV-TV regularizers for color image recovery. For color image processing, we represent the color image with the pure quaternion matrix. In this case, the inner relationship of the color image can be well preserved.

The patch-based dictionary learning method always generates some unsatisfied artifacts. We apply SV-TV to overcome these artifacts. Compared with some state-of-the-art image restoration methods, our model can preserve more texture details and avoid the generation of artifacts. In summary, the method we proposed achieves great success in numerical and visual results.

Since the parameters of different test images are quite different, it may not be good enough to tune parameters manually. Hence, how to determine the parameters automatically will be our future work.

REFERENCES

- [1] L. I. Rudin, S. Osher, and E. Fatemi, "Nonlinear total variation based noise removal algorithms," *Physica D: Nonlinear Phenomena*, vol. 60, no. 1-4, pp. 259-268, 1992.
- [2] L. Ma, L. Moisan, J. Yu, and T. Zeng, "A dictionary learning approach for poisson image deblurring," *IEEE Trans. Med. Imag.*, vol. 32, no. 7, pp. 1277-1289, Jul. 2013.
- [3] K. Bredies, K. Kunisch, and T. Pock, "Total generalized variation," *SIAM J. Imag. Sci.*, vol. 3, pp. 492-526, 2010.
- [4] Y. Xu and W. Yin, "A fast patch-dictionary method for whole image recovery," *Inverse Problems Imag.*, vol. 10, no. 2, pp. 563-583, 2016.
- [5] X. Zeng, W. Bian, W. Liu, J. Shen, and D. Tao, "Dictionary pair learning on Grassmann manifolds for image denoising," *IEEE Trans. Image Process.*, vol. 24, no. 11, pp. 4556-4569, Nov. 2015.
- [6] B. A. Olshausen and D. J. Field, "Emergence of simple-cell receptive field properties by learning a sparse code for natural images," *Nature*, vol. 381, no. 6583, pp. 607-609, 1996.
- [7] M. Aharon, M. Elad, and A. Bruckstein, "K-SVD: An algorithm for designing overcomplete dictionaries for sparse representation," *IEEE Trans. Signal Process.*, vol. 54, no. 11, pp. 4311-4322, Nov. 2006.
- [8] M. Elad and M. Aharon, "Image denoising via sparse and redundant representations over learned dictionaries," *IEEE Trans. Image Process.*, vol. 15, no. 12, pp. 3736-3745, Dec. 2006.
- [9] J. Mairal, M. Elad, and G. Sapiro, "Sparse representation for color image restoration," *IEEE Trans. Image Process.*, vol. 17, no. 1, pp. 53-69, Jan. 2008.
- [10] E. Newman and M. E. Kilmer, "Nonnegative tensor patch dictionary approaches for image compression and deblurring applications," *SIAM J. Imag. Sci.*, vol. 13, no. 3, pp. 1084-1112, 2020.
- [11] J. Liu and S. Osher, "Block matching local SVD operator based sparsity and TV regularization for image denoising," *J. Sci. Comput.*, vol. 78, no. 1, pp. 607-624, 2019.
- [12] A. Colomer, V. Naranjo, K. Engan, and K. Skretting, "Retinal vessel inpainting using recursive least square dictionary learning algorithm," in *Proc. Int. Conf. Image Process. Theory, Tools Appl.*, 2015, pp. 429-433.
- [13] Y. Naderahmadian and S. Beheshti, "Generalized adaptive weighted recursive least squares dictionary learning for retinal vessel inpainting," in *Proc. IEEE Stat. Signal Process. Workshop*, 2018, pp. 40-44.
- [14] L. Wang, S. Wang, D. Kong, and B. Yin, "Hardness-aware dictionary learning: Boosting dictionary for recognition," *IEEE Trans. Multimedia*, pp. 1-11, Aug. 2020, doi: [10.1109/TMM.2020.3017916](https://doi.org/10.1109/TMM.2020.3017916).
- [15] S. Zhan, J. Wang, F. M. Yang, and Q. Fang, "Gaussian mixture sparse representation for image recognition based on gabor features and dictionary learning," *Chin. J. Electron.*, vol. 43, no. 3, pp. 523-528, 2015.
- [16] M. Nejati, S. Samavi, N. Karimi, S. M. R. Soroushmehr, and K. Najarian, "Boosted dictionary learning for image compression," *IEEE Trans. Image Process.*, vol. 25, no. 10, pp. 4900-4915, Oct. 2016.
- [17] M. Yang, L. Zhang, X. Feng, and D. Zhang, "Sparse representation based fisher discrimination dictionary learning for image classification," *Int. J. Comput. Vis.*, vol. 109, no. 3, pp. 209-232, 2014.
- [18] X. Huang, W. Feng, and H. Ping, "Moving-object detection based on sparse representation and dictionary learning," *Aasri Procedia*, vol. 1, no. 3, pp. 492-497, 2012.
- [19] Z. Jin *et al.*, "A flexible deep CNN framework for image restoration," *IEEE Trans. Multimedia*, vol. 22, no. 4, pp. 1055-1068, Apr. 2020.
- [20] C. Mou, J. Zhang, X. Fan, H. Liu, and R. Wang, "COLA-Net: Collaborative attention network for image restoration," *IEEE Trans. Multimedia*, pp. 1-11, 2021.
- [21] V. Pappayan, Y. Romano, and M. Elad, "Convolutional neural networks analyzed via convolutional sparse coding," *J. Mach. Learn. Res.*, vol. 18, no. 1, pp. 2887-2938, 2017.
- [22] V. Pappayan, J. Sulam, and M. Elad, "Working locally thinking globally: Theoretical guarantees for convolutional sparse coding," *IEEE Trans. Signal Process.*, vol. 65, no. 21, pp. 5687-5701, Nov. 2017.
- [23] Y. Zheng *et al.*, "Multi-kernel coupled projections for domain adaptive dictionary learning," *IEEE Trans. Multimedia*, vol. 21, no. 9, pp. 2292-2304, Sep. 2019.
- [24] A. Abdi, M. Rahmati, and M. M. Ebadzadeh, "Entropy based dictionary learning for image classification," *Pattern Recognit.*, vol. 110, 2021, Art. no. 107634.
- [25] Y. Naderahmadian, S. Beheshti, and M. A. Tinati, "Correlation based online dictionary learning algorithm," *IEEE Trans. Signal Process.*, vol. 64, no. 3, pp. 592-602, Feb. 2016.
- [26] K. Skretting and K. Engan, "Recursive least squares dictionary learning algorithm," *IEEE Trans. Signal Process.*, vol. 58, no. 4, pp. 2121-2130, Apr. 2010.
- [27] C. Yang, J. Shen, J. Peng, and J. Fan, "Image collection summarization via dictionary learning for sparse representation," *Pattern Recognit.*, vol. 46, pp. 948-961, 2013.
- [28] R. Xu, Y. Xu, and Y. Quan, "Factorized tensor dictionary learning for visual tensor data completion," *IEEE Trans. Multimedia*, vol. 23, pp. 1225-1238, May 2020.
- [29] I. Tosic and P. Frossard, "Dictionary learning," *IEEE Signal Process. Mag.*, vol. 28, no. 2, pp. 27-38, Mar. 2011.
- [30] B. Bao, G. Zhu, J. Shen, and S. Yan, "Robust image analysis with sparse representation on quantized visual features," *IEEE Trans. Image Process.*, vol. 22, no. 3, pp. 860-871, Mar. 2013.
- [31] J. Liu *et al.*, "Image-set based face recognition using K-SVD dictionary learning," *Int. J. Mach. Learn. Cybern.*, vol. 10, pp. 1051-1064, 2019.
- [32] J. Liu, X.-C. Tai, H. Huang, and Z. Huan, "A weighted dictionary learning model for denoising images corrupted by mixed noise," *IEEE Trans. Image Process.*, vol. 22, no. 3, pp. 1108-1120, Mar. 2013.
- [33] L. Ma, J. Yu, and T. Zeng, "Sparse representation prior and total variation-based image deblurring under impulse noise," *SIAM J. Imag. Sci.*, vol. 6, no. 4, pp. 2258-2284, 2013.
- [34] Z. Chen, X. Wu, H. Yin, and J. Kittler, "Noise-robust dictionary learning with slack block-diagonal structure for face recognition," *Pattern Recognit.*, vol. 100, pp. 1-38, 2020.
- [35] W. Wu *et al.*, "Dictionary learning based image-domain material decomposition for spectral CT," *Phys. Med. Biol.*, vol. 65, no. 24, pp. 1-10, 2020.
- [36] H. Zhu and M. K. Ng, "Structured dictionary learning for image denoising under mixed Gaussian and impulse noise," *IEEE Trans. Image Process.*, vol. 29, pp. 6680-6693, May 2020.
- [37] S. Pei and C. Cheng, "A novel block truncation coding of color images using a quaternion-moment-preserving principle," *IEEE Trans. Commun.*, vol. 45, no. 5, pp. 583-595, May 1997.
- [38] X. Li, Y. Zhou, and J. Zhang, "Quaternion non-local total variation for color image denoising," in *Proc. IEEE Int. Conf. Syst., Man Cybern.*, 2019, pp. 1602-1607.
- [39] Y. Chen, X. Xiao, and Y. Zhou, "Low-rank quaternion approximation for color image processing," *IEEE Trans. Image Process.*, vol. 29, pp. 1426-1439, Sep. 2019.
- [40] Y. Yu, Y. Zhang, and S. Yuan, "Quaternion-based weighted nuclear norm minimization for color image denoising," *Neurocomputing*, vol. 332, pp. 283-297, 2019.
- [41] Y. Xu, L. Yu, H. Xu, H. Zhang, and T. Nguyen, "Vector sparse representation of color image using quaternion matrix analysis," *IEEE Trans. Image Process.*, vol. 24, no. 4, pp. 1315-1329, Apr. 2015.
- [42] W. Dong, L. Zhang, G. Shi, and X. Wu, "Image deblurring and super-resolution by adaptive sparse domain selection and adaptive regularization," *IEEE Trans. Image Process.*, vol. 20, no. 7, pp. 1838-1857, Jul. 2011.
- [43] J. K. Choi, C. Bao, and X. Zhang, "PET-MRI joint reconstruction by joint sparsity based tight frame regularization," *SIAM J. Imag. Sci.*, vol. 11, no. 2, pp. 1179-1204, 2018.
- [44] J. Duan, Z. Pan, B. Zhang, W. Liu, and X.-C. Tai, "Fast algorithm for color texture image inpainting using the non-local CTV model," *J. Glob. Optim.*, vol. 62, pp. 853-876, 2015.
- [45] S. Yan, J. Liu, H. Huang, and X.-C. Tai, "A dual EM algorithm for TV regularized Gaussian mixture model in image segmentation," *Inverse Problems Imag.*, vol. 13, pp. 653-677, 2019.
- [46] Y. Zhang and X. Zhang, "PET-MRI joint reconstruction with common edge weighted total variation regularization," *Inverse Problems*, vol. 34, no. 6, pp. 1-22, 2018.
- [47] J. Cai, B. Dong, S. Osher, and Z. Shen, "Image restoration: Total variation, wavelet frames, and beyond," *J. Amer. Math. Soc.*, vol. 25, no. 4, pp. 1033-1089, 2012.
- [48] Y. Dong, T. Görner, and S. Kunis, "An algorithm for total variation regularized photoacoustic imaging," *Adv. Comput. Math.*, vol. 41, no. 2, pp. 423-438, 2015.
- [49] Z. Jia, M. K. Ng, and W. Wang, "Color image restoration by saturation-value total variation," *SIAM J. Imag. Sci.*, vol. 12, no. 2, pp. 972-1000, 2019.
- [50] H. Hai, X. D. Qing, and Q. Ke, "A watermarking-based authentication and image restoration in multimedia sensor networks," *Int. J. High Perform. Comput. Netw.*, vol. 12, no. 1, pp. 65-73, 2018.
- [51] C. Sánchez-Ferreira, L. Coelho, H. V. Ayala, M. C. Farias, and C. H. Llanos, "Bio-inspired optimization algorithms for real underwater image restoration," *Signal Process.: Image Commun.*, vol. 77, pp. 49-65, 2019.

- [52] S. W. Zamir *et al.*, "Learning enriched features for real image restoration and enhancement," in *Proc. Eur. Conf. Comput. Vision (ECCV)*, 2020, pp. 492–511.
- [53] M. Zach and E. Kobler, "Real-world video restoration using Noise2Noise," in *Proc. Joint Austrian Comput. Vis. Robot. Workshop. Verlag der Technischen Universität Graz*, 2020, pp. 145–150.
- [54] Y. Chen, Z. Jia, Y. Peng, and Y. Peng, "Robust dual-color watermarking based on quaternion singular value decomposition," *IEEE Access*, vol. 8, pp. 30 628–30 642, 2020.
- [55] W. R. Hamilton, *Elements of Quaternions*. London: Longmans, Green, & Company, 1866.
- [56] Z. Jin, F. Li, and M. K. Ng, "A variational approach for image decolorization by variance maximization," *SIAM J. Imag. Sci.*, vol. 7, no. 2, pp. 944–968, 2014.
- [57] D. Gabay and B. Mercier, "A dual algorithm for the solution of nonlinear variational problems via finite element approximations," *Comput. Math. Appl.*, vol. 2, pp. 17–40, 1976.
- [58] L. Chen, X. Li, D. Sun, and K.-C. Toh, "On the equivalence of inexact proximal ALM and ADMM for a class of convex composite programming," *Math. Program.*, vol. 185, pp. 111–161, 2021.
- [59] L. Chen, D. Sun, and K.-C. Toh, "A note on the convergence of ADMM for linearly constrained convex optimization problems," *Comput. Optim. Appl.*, vol. 66, no. 2, pp. 327–343, 2017.
- [60] S. Boyd, N. Parikh, E. Chu, B. Peleato, and J. Eckstein, "Distributed optimization and statistical learning via the alternating direction method of multipliers," *Found. Trends Mach. Learn.*, vol. 3, no. 1, pp. 1–122, 2011.
- [61] A. Ignatov *et al.*, "PIRM challenge on perceptual image enhancement on smartphones: Report," in *Proc. Eur. Conf. Comput. Vis. Workshops*, 2019, pp. 1–18.
- [62] Y. Wang, J. Yang, W. Yin, and Y. Zhang, "A new alternating minimization algorithm for total variation image reconstruction," *SIAM J. Imag. Sci.*, vol. 1, pp. 248–272, 2008.
- [63] S. Ono and I. Yamada, "Decorrelated vectorial total variation," *Proc. IEEE Conf. Comput. Vis. Pattern Recognit.*, 2014, pp. 4090–4097.
- [64] J. Zhang, D. Zhao, and W. Gao, "Group-based sparse representation for image restoration," *IEEE Trans. Image Process.*, vol. 23, no. 8, pp. 3336–3351, Aug. 2014.
- [65] N. Wang, W. Shi, C. Fan, and L. Lou, "An improved nonlocal sparse regularization-based image deblurring via novel similarity criteria," *Int. J. Adv. Robot. Syst.*, vol. 15, pp. 1–18, 2018.
- [66] J.-J. Liu, Y. Lou, G. Ni, and T. Zeng, "An image sharpening operator combined with framelet for image deblurring," *Inverse Problems*, vol. 36, no. 045015, pp. 1–27, 2020.
- [67] A. Danielyan, V. Katkovnik, and K. O. Egiazarian, "BM3D frames and variational image deblurring," *IEEE Trans. Image Process.*, vol. 21, no. 4, pp. 1715–1728, Apr. 2012.
- [68] X. Zhang and B. Wandell, "A spatial extension of CIELAB for digital color-image reproduction," *J. Soc. Inf. Display*, vol. 5, pp. 61–63, 1997.
- [69] Z. Wang, A. Bovik, H. R. Sheikh, and E. P. Simoncelli, "Image quality assessment: From error visibility to structural similarity," *IEEE Trans. Image Process.*, vol. 13, no. 4, pp. 600–612, Apr. 2004.
- [70] A. Robertson *et al.*, "CIE recommendations on uniform color spaces, color-difference equations, and metric color terms," *Color Res. Appl.*, vol. 2, no. 1, pp. 5–6, 1977.



Chaoyan Huang received the B.S. degree with the College of Mathematics and Computer Science, Anqing Normal University, Anqing, China, in 2019. She is currently working toward the M.S. degree with the School of Science, Nanjing University of Posts and Telecommunications, Nanjing, China. Her research interests include image processing, inverse problems, and machine learning.



Michael K. Ng received the B.Sc. and M.Phil. degrees from the University of Hong Kong, Hong Kong, in 1990 and 1992, respectively, and the Ph.D. degree from the Chinese University of Hong Kong, Hong Kong, in 1995. He is currently a Chair Professor with the Department of Mathematics, University of Hong Kong. His research interests include bioinformatics, image processing, scientific computing, and data mining.



Tingting Wu received the B.S. and Ph.D. degrees in mathematics from Hunan University, Changsha, China, in 2006 and 2011, respectively. From 2015 to 2018, she was a Postdoctoral Researcher with the School of Mathematical Sciences, Nanjing Normal University, Nanjing, China. From 2016 to 2017, she was a Research Fellow with Nanyang Technological University, Singapore. She is currently an Associate Professor with the School of Science, Nanjing University of Posts and Telecommunications, Nanjing, China. Her research interests include variational

methods for image processing and computer vision, optimization methods and their applications in sparse recovery, and regularized inverse problems.



Tieyong Zeng received the B.S. degree from Peking University, Beijing, China, in 2000, the M.S. degree from École Polytechnique, Palaiseau, France, in 2004, and the Ph.D. degree from the University of Paris XIII, Paris, France, in 2007. He was a Postdoctoral Researcher with ENS de Cachan, Cachan, France, since 2007 to 2008, and an Assistant/Associate Professor with Hong Kong Baptist University, Hong Kong, since 2008 to 2018. He is currently a Professor with the Department of Mathematics, The Chinese University of Hong Kong, Hong Kong. His research interests include image processing, machine learning, and scientific computing.

Kong. His research interests include image processing, machine learning, and scientific computing.