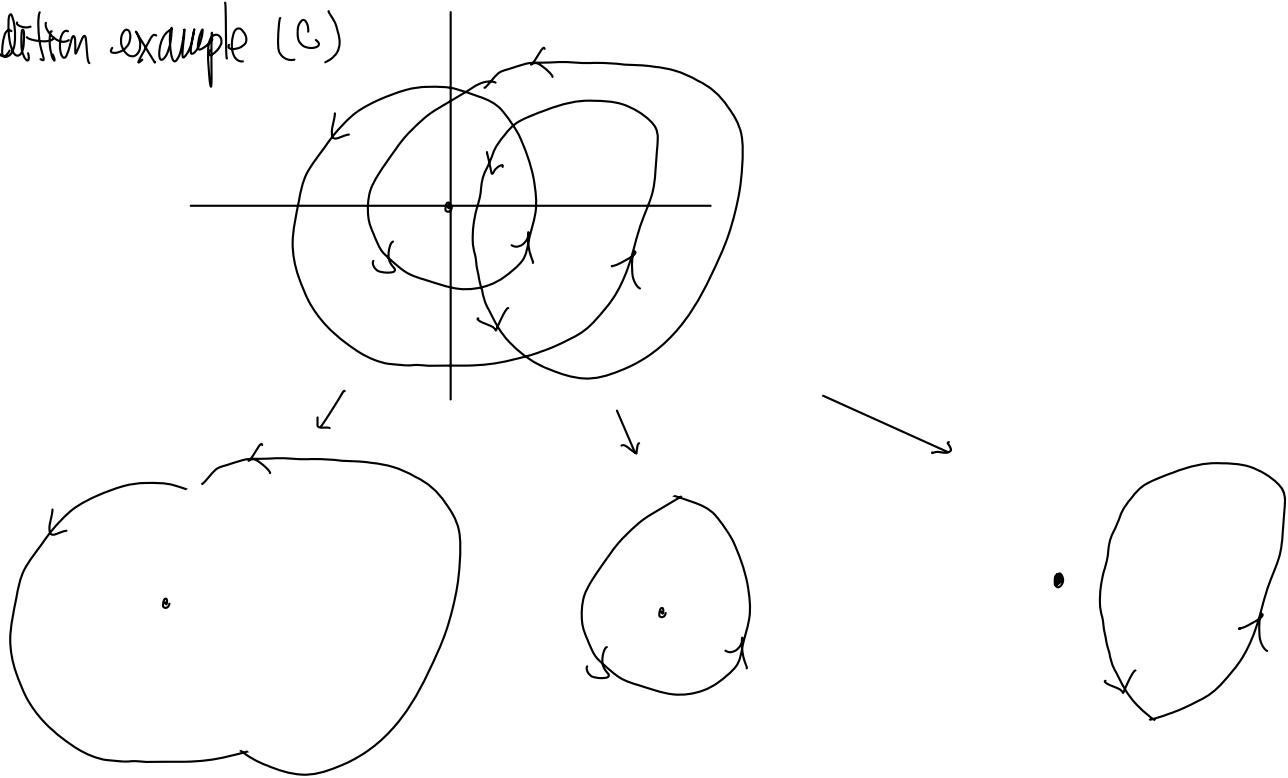


Addition example (c)



$$\oint_{C_1} \dots = 2\pi$$

$$\oint_{C_2} \dots = 2\pi$$

$$\oint_{C_3} \dots = 0$$

Hence  $\oint_C \vec{F} \cdot d\vec{r} = 2\pi + 2\pi + 0 = 4\pi$

(optional ex! : think of some examples with  $-2\pi$  )

# Surface Area & Integral

$$\vec{r}(t) \in \mathbb{R}^3, t \in [a, b]$$

Def 14 Parametric Surface (Surface with parametrization)

A parametric surface (or a parametrization of a surface)

in  $\mathbb{R}^3$  is a continuous mapping of 2-variables into  $\mathbb{R}^3$ :

$$\vec{r}(u, v) = x(u, v) \hat{i} + y(u, v) \hat{j} + z(u, v) \hat{k}$$

And it is called smooth if

- (1)  $\vec{r}$  is  $C^1$  (i.e.  $x_u, x_v, y_u, y_v, z_u, z_v$  are continuous)
- (2)  $\vec{r}_u \times \vec{r}_v \neq \vec{0} \quad \forall u, v$

where

$$\vec{r}_u = \frac{\partial \vec{r}}{\partial u} = \frac{\partial x}{\partial u} \hat{i} + \frac{\partial y}{\partial u} \hat{j} + \frac{\partial z}{\partial u} \hat{k}$$

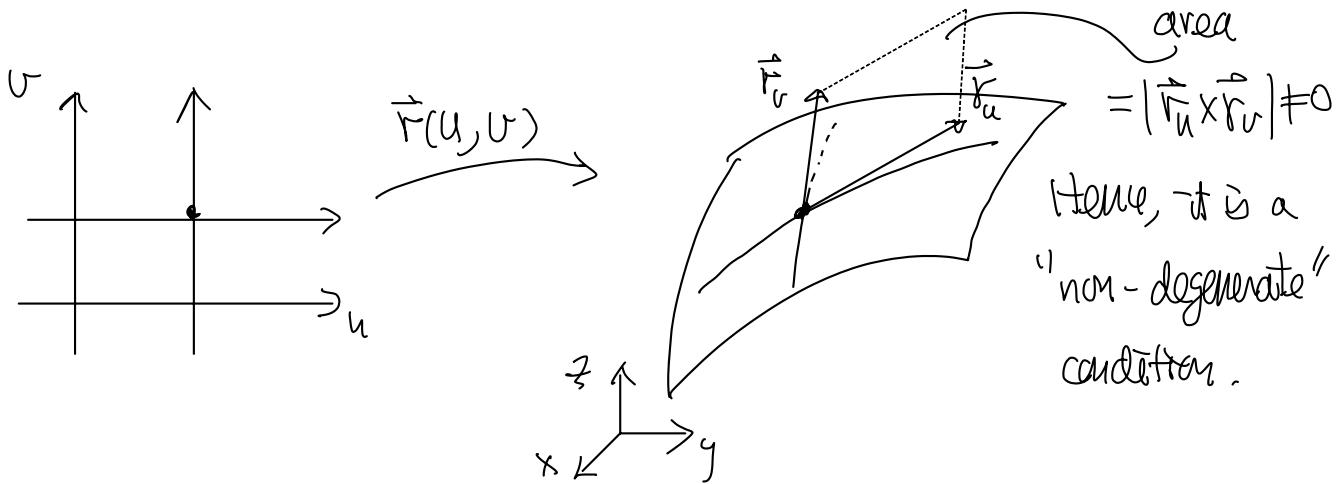
$$\vec{r}_v = \frac{\partial \vec{r}}{\partial v} = \frac{\partial x}{\partial v} \hat{i} + \frac{\partial y}{\partial v} \hat{j} + \frac{\partial z}{\partial v} \hat{k}$$

$$\left( \begin{array}{l} \vec{r}_u = x_u \hat{i} + y_u \hat{j} + z_u \hat{k} \\ \vec{r}_v = x_v \hat{i} + y_v \hat{j} + z_v \hat{k} \end{array} \right)$$

Note: Condition (2)  $\Rightarrow \vec{r}_u, \vec{r}_v$  are linearly independent

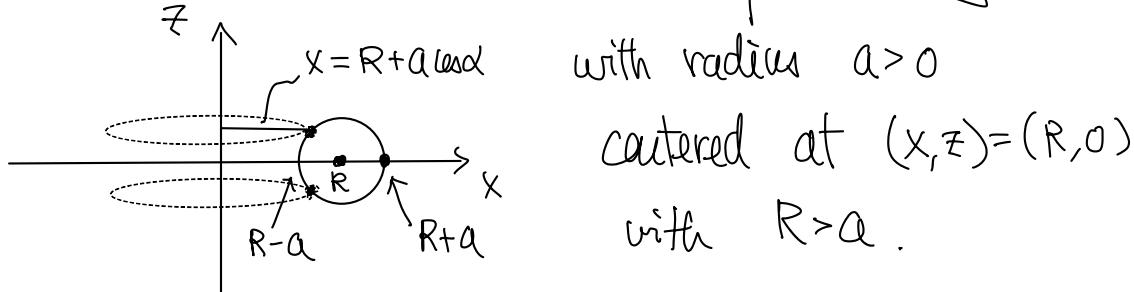
$\Rightarrow \text{Span}\{\vec{r}_u, \vec{r}_v\}$  is in fact a 2-dim'l subspace.

$\Rightarrow$  "surface" cannot be degenerated to a curve or a point.

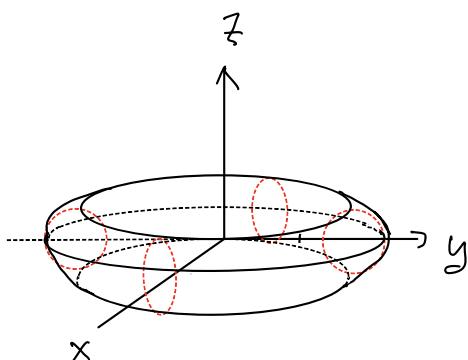


### eg51 (Torus)

Consider the circle on the  $xz$ -plane (ie.  $y=0$ )



A parametrization is  $\begin{cases} x = R + a \cos \alpha \\ z = a \sin \alpha \end{cases} \quad \alpha \in [0, 2\pi]$



rotating the circle, we have  
a Torus

Then the parametrization of the Torus is

$$\begin{cases} x = (R + a \cos \alpha) \cos \theta \\ y = (R + a \cos \alpha) \sin \theta \\ z = a \sin \alpha \end{cases}, \quad \begin{array}{l} \alpha \in [0, 2\pi] \\ \theta \in [0, 2\pi] \end{array}$$

Ex: Check that it is a smooth surface:

It is clearly  $C^1$ , need to check

$$(x_\alpha, y_\alpha, z_\alpha) \times (x_\theta, y_\theta, z_\theta) \neq \vec{0}$$

(See next example)

Note: This torus can also be described as

$$(\sqrt{x^2+y^2} - R)^2 + z^2 = a^2 \quad (\text{Ex!})$$

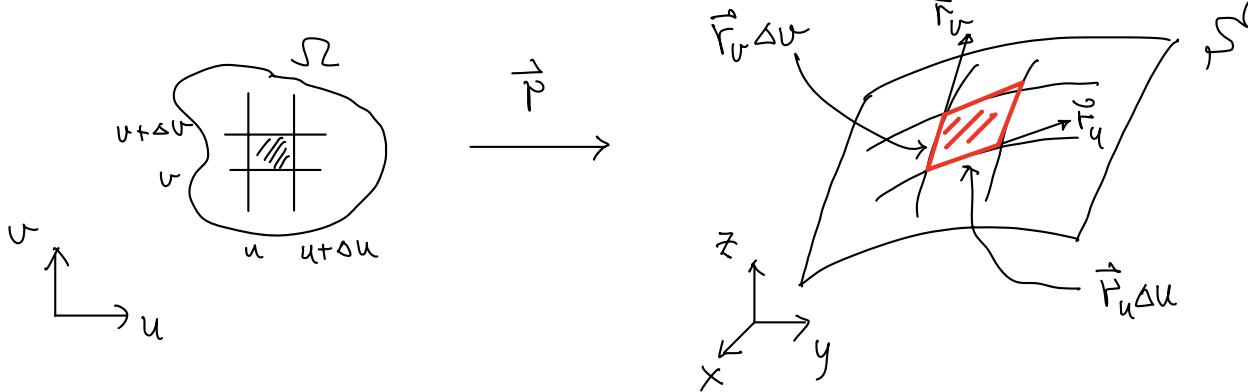
## Surface Area

Recall: for  $\vec{a}, \vec{b} \in \mathbb{R}^3$

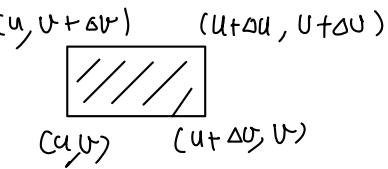
$$|\vec{a} \times \vec{b}| = \|\vec{a} \times \vec{b}\| = \text{Area}(\vec{b} / \vec{a})$$

Let  $\vec{r}(u, v)$  be a parametrization of a surface  $S$  with  $(u, v) \in \mathcal{R}$

Consider



$\Rightarrow$  "Area" on the surface corresponding to



$$\text{is approx.} = \text{Area} \left( \vec{r}_u \Delta u \begin{array}{c} \diagup \\ \diagdown \end{array} \vec{r}_v \Delta v \right)$$

$$= |(\vec{r}_u \Delta u) \times (\vec{r}_v \Delta v)|$$

$$= |\vec{r}_u \times \vec{r}_v| \Delta u \Delta v$$

Hence "Area element" of  $S$ , denoted by  $d\sigma$ , is given by

$$d\sigma = |\vec{r}_u \times \vec{r}_v| dudv$$

$$d\sigma = |\vec{r}_u \times \vec{r}_v| dA$$

area element in the  $(u, v)$ -space.

Therefore, we make the following

Def15 : Let  $S \subset \mathbb{R}^3$  be a smooth parametric surface given by  
 $\vec{r}(u, v)$  for  $(u, v) \in \Omega \subset \mathbb{R}^2$ . Then

$$\begin{aligned} \text{Area}(S) &\stackrel{\text{def}}{=} \iint_{\Omega} |\vec{r}_u \times \vec{r}_v| dA \\ &= \iint_{\Omega} \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| dA \end{aligned}$$

$$\left( \text{i.e. } \text{Area}(S) = \iint_{\Omega} d\sigma \right)$$

eg52: Surface area of torus given by ( $R > a > 0$  are constants)

$$\begin{cases} x = (R+a\cos\alpha)\cos\theta \\ y = (R+a\cos\alpha)\sin\theta \\ z = a\sin\alpha \end{cases}, \quad \begin{array}{l} \alpha \in [0, 2\pi] \\ \theta \in [0, 2\pi] \end{array}$$

Soln:

$$\vec{r}(\alpha, \theta) = (R+a\cos\alpha)\cos\theta \hat{i} + (R+a\cos\alpha)\sin\theta \hat{j} + a\sin\alpha \hat{k}$$

$$\begin{cases} \vec{r}_\alpha = -a\sin\alpha \cos\theta \hat{i} - a\sin\alpha \sin\theta \hat{j} + a\cos\alpha \hat{k} \\ \vec{r}_\theta = -(R+a\cos\alpha)\sin\theta \hat{i} + (R+a\cos\alpha)\cos\theta \hat{j} \end{cases}$$

$$\& \vec{r}_\alpha \times \vec{r}_\theta = -a(R+a\cos\alpha)\cos\theta \cos\alpha \hat{i} \\ -a(R+a\cos\alpha)\sin\theta \cos\alpha \hat{j} \quad (\text{check!}) \\ -a(R+a\cos\alpha)\sin\alpha \hat{k}$$

$$\Rightarrow |\vec{r}_\alpha \times \vec{r}_\theta| = a(R+a\cos\alpha) > 0 \quad (\text{check!})$$

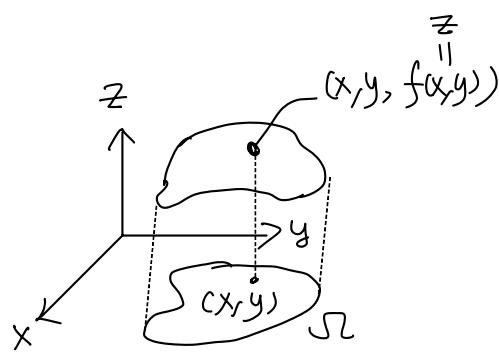
( So, the surface is "smooth" )

$$\begin{aligned} \text{Area(Torus)} &= \iint_{\Sigma} |\vec{r}_\alpha \times \vec{r}_\theta| dA \\ &= \int_0^{2\pi} \int_0^{2\pi} a(R+a\cos\alpha) d\alpha d\theta \\ &= 4\pi^2 R a \quad (\text{check!}) \quad \cancel{\text{X}} \end{aligned}$$

## Surface area of a graph

$$z = f(x, y), (x, y) \in \Sigma$$

Choose the following "natural" parametrization of the graph



$$\vec{r}(x, y) = x \hat{i} + y \hat{j} + f(x, y) \hat{k}$$

$$\Rightarrow \begin{cases} \vec{r}_x = \hat{i} + f_x \hat{k} \\ \vec{r}_y = \hat{j} + f_y \hat{k} \end{cases}$$

$$\vec{r}_x \times \vec{r}_y = \begin{vmatrix} \hat{i} & \hat{j} & \hat{k} \\ 1 & 0 & f_x \\ 0 & 1 & f_y \end{vmatrix} = -f_x \hat{i} - f_y \hat{j} + \hat{k}$$

$$\Rightarrow |\vec{r}_x \times \vec{r}_y| = \sqrt{f_x^2 + f_y^2 + 1} = \sqrt{1 + |\vec{f}|^2} \geq 1 \quad \left( \begin{array}{l} \text{never zero} \\ \Rightarrow \text{"smooth" provided} \\ f \in C^1 \end{array} \right)$$

Thm II: The surface area of a  $C^1$  graph  $S$  given by

$$z = f(x, y), (x, y) \in \Sigma \subset \mathbb{R}^2$$

$$\text{Area}(S) = \iint_{\Sigma} \sqrt{1 + |\vec{f}|^2} dA = \iint_{\Sigma} \sqrt{1 + f_x^2 + f_y^2} dA$$

(Similarly for  $x = f(y, z)$  or  $y = f(x, z)$ )

## Implicit Surface (Level surface)

Suppose  $S$  is given by  $F(x, y, z) = c$

$$\text{i.e. } S = F^{-1}(c)$$

(Note:  $F$  is a function of 3-variables, not vector field)

eg53 :  $F(x, y, z) = x^2 + y^2 + z^2$

Is  $F^{-1}(0)$  a surface?

Soln: No, since  $F^{-1}(0) = \{(0, 0, 0)\}$  is a point, not "surface".

Remark : If  $\vec{\nabla}F \neq \vec{0}$  at a point, then IFT implies that

$S = F^{-1}(c)$  is a "surface" ( $c = \text{value of } F \text{ at that point}$ )

near that point (in fact, a graph!)

eg53 (cont'd)  $\vec{\nabla}F = 2x\hat{i} + 2y\hat{j} + 2z\hat{k}$

$$\vec{\nabla}F = \vec{0} \Leftrightarrow (x, y, z) = (0, 0, 0)$$

Hence if  $c > 0$ , then  $\forall (x, y, z) \in F^{-1}(c)$ , we have

$$\vec{\nabla}F(x, y, z) \neq \vec{0}. \quad (F^{-1}(\text{negative}) = \emptyset)$$

$\Rightarrow S = F^{-1}(c)$  is a surface  $\forall c > 0$ .

(What are these surfaces?)

Terminology :  $S = F^{-1}(c)$  is said to be smooth

- (1)  $F$  is  $C^1$  on  $S$ , and
- (2)  $\vec{\nabla}F \neq \vec{0}$  on  $S$ .

How to compute surface area for a smooth level surface

$$S = F^{-1}(c) ?$$

By  $\vec{\nabla}F \neq \vec{0}$ , at least one of the partial derivative  
 $F_x, F_y$  or  $F_z$  is nonzero.

Let assume  $F_z = \frac{\partial F}{\partial z} \neq 0$  (the other cases are similar)

$$\text{IFT} \Rightarrow S = F^{-1}(c) = \{F(x, y, z) = c\}$$

can be written (locally) as a graph

$$z = f(x, y) \quad (\text{near a pt.})$$

$$\text{i.e. } F(x, y, f(x, y)) = c,$$

$\forall (x, y)$  in some domain  $\Omega \subset \mathbb{R}^2$  s.t.  $(x, y, f(x, y))$  near "the pt"

$$\text{Then Chain rule} \Rightarrow \begin{cases} f_x = -\frac{F_x}{F_z} \\ f_y = -\frac{F_y}{F_z} \end{cases} \quad (F_z \neq 0)$$

$$\begin{aligned} \text{Hence Area}(S) &= \iint_{\Omega} \sqrt{1 + |\vec{\nabla}f|^2} dA = \iint_{\Omega} \sqrt{1 + \frac{F_x^2}{F_z^2} + \frac{F_y^2}{F_z^2}} dA \\ &= \iint_{\Omega} \frac{\sqrt{F_x^2 + F_y^2 + F_z^2}}{|F_z|} dA \end{aligned}$$

Thm 12 If  $S = F^{-1}(c)$  is a smooth level surface such that

$F_z \neq 0$ , and can be represented by an implicit function over a domain  $\Omega$ .

Then  $\text{Area}(S) = \iint_{\Omega} \frac{|\vec{\nabla}F|}{|F_z|} dA = \iint_{\Omega} \frac{|\vec{\nabla}F|}{|F_z|} dx dy$

(Similar for the cases that  $F_x \neq 0$  or  $F_y \neq 0$ )

Eg 54: Find surface area of the paraboloid

$$x^2 + y^2 - z = 0 \quad \text{below } z = 4$$

(This is in fact a graph, but we do it using method of level surface)

Soln: Let  $F(x, y, z) = x^2 + y^2 - z$

$$\text{For } z = 4, \quad x^2 + y^2 = 4$$

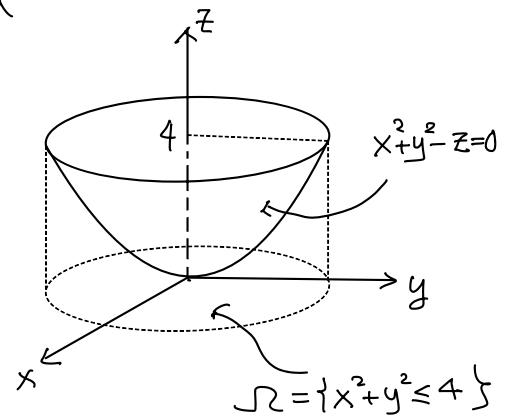
$$\Rightarrow \text{projected region } \Omega = \{(x, y) : x^2 + y^2 \leq 4\}$$

$$\vec{\nabla}F = 2x\hat{i} + 2y\hat{j} - \hat{k}$$

$$\therefore F_z = -1 \neq 0, \quad \forall (x, y) \in \Omega$$

$$\Rightarrow \text{Surface Area} = \iint_{\Omega} \frac{|\vec{\nabla}F|}{|F_z|} dA = \iint_{\Omega} \frac{\sqrt{4x^2 + 4y^2 + 1}}{|-1|} dx dy$$

$$= \iint_{\Omega} \sqrt{4x^2 + 4y^2 + 1} dx dy = \frac{\pi}{6} \left[ (\sqrt{17})^3 - 1 \right]$$



X

## Ref 16 Surface Integral (of a function)

Suppose  $G: S \rightarrow \mathbb{R}$  is a continuous function on a surface  $S$ ,

parametrized by  $\vec{r}(u, v)$ ,  $(u, v) \in R$  (region  $R$ ). Then the

integral of  $G$  on  $\Sigma$  is

Note : In the cases of graph or level surface, we have

$$(1) \quad \iint_S G \, d\sigma = \iint_{(x,y)} G(x,y, f(x,y)) \sqrt{1 + |\vec{\nabla} f|^2} \, dx \, dy \quad (\text{for } z = f(x,y))$$

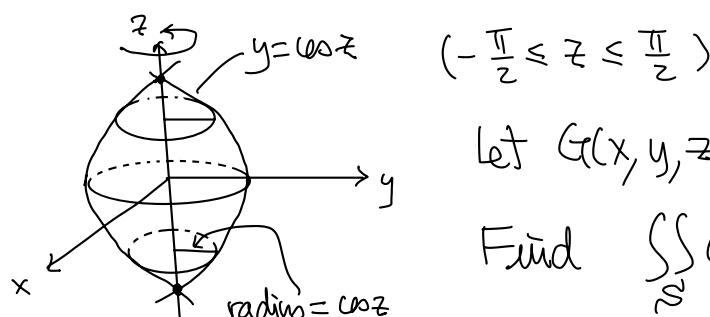
$$(ii) \iint_S G d\sigma = \iint_{(x,y)} G(x,y,z) \frac{|\vec{\nabla} F|}{|F_z|} dx dy$$

(for  $F(x,y,z) = c, F_z \neq 0$ )

$\uparrow$

(may be difficult to find  $\sigma$ : region &  $z$  in terms of  $(x,y)$ )

eg56 (a surface of revolution of the curve  $y = \cos z$ )



Let  $G(x, y, z) = \sqrt{1-x^2-y^2}$  be a function on  $S$

Find  $\int \int G \, d\Omega$ .

Soln:  $S$  can be parametrized by

$$\begin{cases} x = \cos z \cos \theta \\ y = \cos z \sin \theta \\ z = z \end{cases} \quad \begin{aligned} \theta &\in [-\pi, \pi] \\ z &\in [-\frac{\pi}{2}, \frac{\pi}{2}] \quad (\text{Solve from } y = \cos z = 0) \end{aligned}$$

$$\text{i.e. } \vec{r}(\theta, z) = (\omega z \cos \theta) \hat{i} + (\omega z \sin \theta) \hat{j} + \hat{z}$$

(Note that there is an exceptional set of "1-dim" so that  $\tilde{F}$  is not one-to-one, or not smooth corresponds to  $\theta = \pi$  or  $-\pi$ ,  $z = -\frac{\pi}{2} \alpha \frac{\pi}{2}$ )

$$\left\{ \begin{array}{l} \vec{F}_\theta = -m\vec{z} \sin\theta \hat{i} + m\vec{z} \cos\theta \hat{j} \\ \vec{r}_z = -\sin\theta \cos\theta \hat{i} - \sin\theta \sin\theta \hat{j} + \hat{k} \end{array} \right. \quad (\text{check!})$$

$$\vec{r}_\theta \times \vec{r}_z = (\cos \theta \hat{i} + \sin \theta \hat{j}) \times (\sin z \hat{i} + \cos z \hat{k}) \quad (\text{check!})$$

$$\Rightarrow |\vec{r}_\theta \times \vec{F}_z| = \sqrt{\omega^2 z (1 + \sin^2 z)} = \omega z \sqrt{1 + \sin^2 z} \quad (\text{check!})$$

( $\cos z \geq 0$  since  $z \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ )

$$\text{Then } \iint_S G \, d\sigma = \int_{-\pi}^{\pi} \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} G(\vec{r}(\theta, z)) |\vec{r}_\theta \times \vec{r}_z| \, dz \, d\theta$$

$$= \int_{-\pi}^{\pi} \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \sqrt{1 - \cos^2 z} \cos z \sqrt{1 + \sin^2 z} dz d\theta$$

$$= 4\pi \int_0^{\frac{\pi}{2}} \sin z \cos z \sqrt{1 + \sin^2 z} dz$$

$$= \dots = \frac{4\pi}{3} (2\sqrt{2}-1) \quad \cancel{\text{!}} \quad (\text{check!})$$