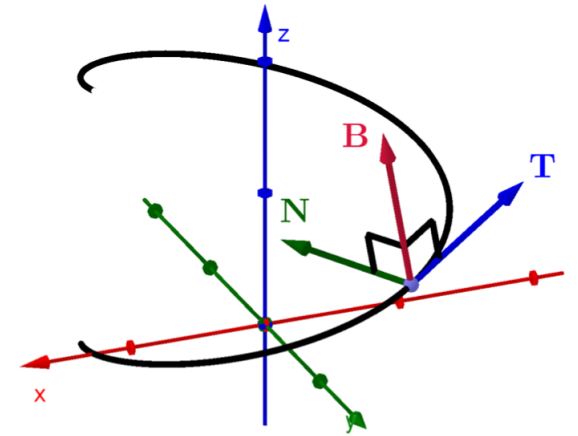


2.4 Frenet frame

Definition 2.4.1 (Binormal). Let $\mathbf{r}(t)$ be a space curve with curvature $\kappa(t) > 0$ for any t . We define the unit **binormal** to the curve by

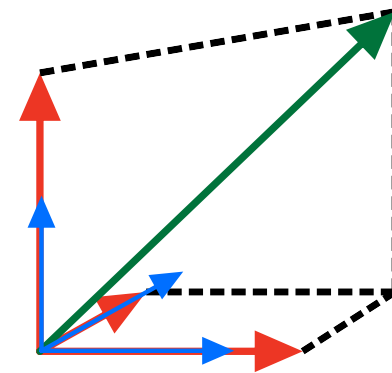
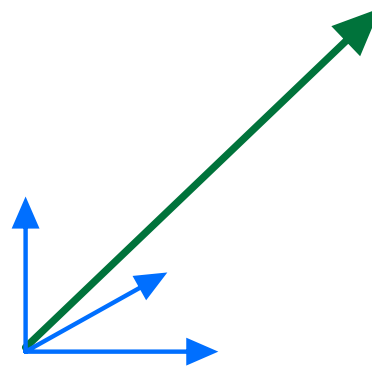
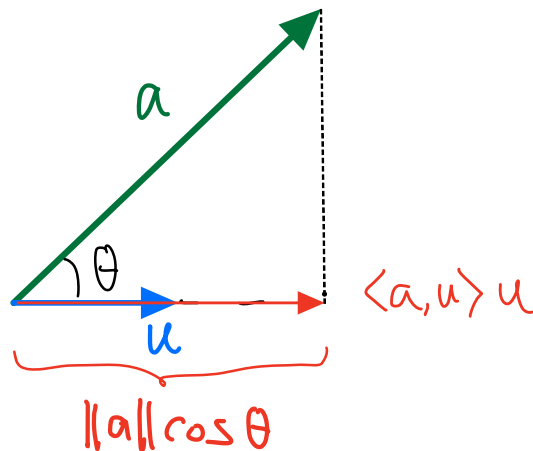
$$\mathbf{B}(t) = \mathbf{T}(t) \times \mathbf{N}(t).$$



$\mathbf{T}, \mathbf{N}, \mathbf{B}$ are pairwise orthogonal unit vector in $\mathbb{R}^3$ (called orthonormal basis)

Observation: If $\mathbf{u}, \mathbf{v}, \mathbf{w} \in \mathbb{R}^3$ are orthonormal, then for any $\mathbf{a} \in \mathbb{R}^3$,

$$\mathbf{a} = \langle \mathbf{a}, \mathbf{u} \rangle \mathbf{u} + \langle \mathbf{a}, \mathbf{v} \rangle \mathbf{v} + \langle \mathbf{a}, \mathbf{w} \rangle \mathbf{w} \quad (\text{coefficients are unique})$$



$$\langle \mathbf{a}, \mathbf{u} \rangle = \|\mathbf{a}\| \|\mathbf{u}\| \cos \theta = \|\mathbf{a}\| \cos \theta$$

Q Let $\vec{r}(s)$ be parametrized by arclength. Express T', N', B' in terms of T, N, B

$$\textcircled{1} \quad T' = \kappa N = 0T + \kappa N + 0B \quad N = \frac{T'}{\|T'\|} \quad \kappa = \frac{\|T'\|}{\|r'\|} = \|T'\|$$

$$\textcircled{2} \quad N' = \langle N', T \rangle T + \langle N', N \rangle N + \langle N', B \rangle B$$

$$\langle N, N \rangle \equiv 1 \Rightarrow \langle N, N \rangle' = 0 \Rightarrow 2\langle N', N \rangle = 0 \Rightarrow \langle N', N \rangle = 0$$

$$\langle N, T \rangle \equiv 0 \Rightarrow \langle N, T \rangle' = 0 \Rightarrow \langle N', T \rangle + \langle N, T' \rangle = 0$$

$$\Rightarrow \langle N', T \rangle = -\langle N, T' \rangle = -\langle N, \kappa N \rangle = -\kappa \langle N, N \rangle = -\kappa$$

$$\langle N', B \rangle = ? \quad \text{New definition:}$$

Definition 2.4.2 (Torsion). Let $\mathbf{r}(t)$ be a space curve with curvature $\kappa(t) > 0$ for any t . The **torsion** of the curve at $\mathbf{r}(t)$ is defined by

$$\tau = \left\langle \frac{d\mathbf{N}}{ds}, \mathbf{B} \right\rangle$$

where s is a arc length parameter, which means $\frac{ds}{dt} = \|\mathbf{r}'(t)\|$. Equivalently, we have

$$\tau(t) = \left\langle \frac{\mathbf{N}'(t)}{\|\mathbf{r}'(t)\|}, \mathbf{B}(t) \right\rangle$$

$$\text{Hence, } N' = -\kappa T + 0N + \tau B$$

Theorem 2.4.4 (Frenet formula). Let $\mathbf{r}(s)$ be a regular space curve parametrized by arc length with curvature $\kappa(s) > 0$ for any s . Then

$$\begin{cases} \mathbf{T}'(s) &= \kappa \mathbf{N} \\ \mathbf{N}'(s) &= -\kappa \mathbf{T} + \tau \mathbf{B} \\ \mathbf{B}'(s) &= -\tau \mathbf{N} \end{cases}$$

① ← discussed
② ←
③

We may write the formula in matrix form

$$\frac{d}{ds} \begin{pmatrix} \mathbf{T} \\ \mathbf{N} \\ \mathbf{B} \end{pmatrix} = \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & \tau \\ 0 & -\tau & 0 \end{pmatrix} \begin{pmatrix} \mathbf{T} \\ \mathbf{N} \\ \mathbf{B} \end{pmatrix}$$

$$\textcircled{3} \quad \mathbf{B}' = \langle \mathbf{B}', \mathbf{T} \rangle \mathbf{T} + \langle \mathbf{B}', \mathbf{N} \rangle \mathbf{N} + \langle \mathbf{B}', \mathbf{B} \rangle \mathbf{B}$$

$$\langle \mathbf{B}, \mathbf{B} \rangle = 1 \Rightarrow \langle \mathbf{B}, \mathbf{B}' \rangle = 0 \quad 2\langle \mathbf{B}', \mathbf{B} \rangle = 0 \Rightarrow \langle \mathbf{B}', \mathbf{B} \rangle = 0$$

$$\langle \mathbf{B}, \mathbf{T} \rangle = 0 \Rightarrow \langle \mathbf{B}, \mathbf{T}' \rangle = 0 \quad \langle \mathbf{B}', \mathbf{T} \rangle + \langle \mathbf{B}, \mathbf{T}' \rangle = 0, \quad \langle \mathbf{B}', \mathbf{T} \rangle = -\langle \mathbf{B}, \kappa \mathbf{N} \rangle = 0$$

$$\langle \mathbf{B}, \mathbf{N} \rangle = 0 \Rightarrow \langle \mathbf{B}', \mathbf{N} \rangle = -\langle \mathbf{B}, \mathbf{N}' \rangle = -\tau$$

Proposition 2.4.3. Let $\mathbf{r}(t)$ be a space curve with curvature $\kappa(t) > 0$ for any t . Then

$$\tau = \frac{\langle \mathbf{r}' \times \mathbf{r}'', \mathbf{r}''' \rangle}{\|\mathbf{r}' \times \mathbf{r}''\|^2}.$$

$$\begin{cases} \mathbf{T}'(s) &= \kappa \mathbf{N} \\ \mathbf{N}'(s) &= -\kappa \mathbf{T} + \tau \mathbf{B} \\ \mathbf{B}'(s) &= -\tau \mathbf{N} \end{cases}$$

Idea: Express things in term of $\mathbf{T}, \mathbf{N}, \mathbf{B}$ for easier dot/cross product calculation

PF $\mathbf{r}' = \|\mathbf{r}'\| \mathbf{T}$

$$\begin{aligned} \mathbf{r}'' &= \|\mathbf{r}'\|' \mathbf{T} + \|\mathbf{r}'\| \mathbf{T}' \\ &= \|\mathbf{r}'\|' \mathbf{T} + \kappa \|\mathbf{r}'\|^2 \mathbf{N} \end{aligned}$$

$$\mathbf{r}' \times \mathbf{r}'' = \|\mathbf{r}'\| \|\mathbf{r}'\|' \mathbf{T} \times \mathbf{T} + \kappa \|\mathbf{r}'\|^3 \mathbf{T} \times \mathbf{N} = \kappa \|\mathbf{r}'\|^3 \mathbf{B}$$

$$\mathbf{r}''' = \|\mathbf{r}'\|'' \mathbf{T} + \|\mathbf{r}'\|' \mathbf{T}' + (\kappa \|\mathbf{r}'\|^2)' \mathbf{N} + \kappa \|\mathbf{r}'\|^2 \mathbf{N}'$$

$$\begin{aligned} \langle \mathbf{r}' \times \mathbf{r}'', \mathbf{r}''' \rangle &= \kappa^2 \|\mathbf{r}'\|^5 \left\langle \mathbf{B}, \frac{ds}{dt} \frac{d\mathbf{N}}{ds} \right\rangle \\ &= \kappa^2 \|\mathbf{r}'\|^5 \langle \mathbf{B}, \|\mathbf{r}'\| \tau \mathbf{B} \rangle = \kappa^2 \tau \|\mathbf{r}'\|^6 \end{aligned}$$

$$\|\mathbf{r}' \times \mathbf{r}''\|^2 = (\kappa \|\mathbf{r}'\|^3)^2 = \kappa^2 \|\mathbf{r}'\|^6$$

Hence, $\tau = \frac{\langle \mathbf{r}' \times \mathbf{r}'', \mathbf{r}''' \rangle}{\|\mathbf{r}' \times \mathbf{r}''\|^2}$

$$\tau'(t) = \frac{d\tau}{dt} = \frac{ds}{dt} \cdot \frac{d\tau}{ds} = \|\mathbf{r}'\| (\kappa \tau)$$

$$\mathbf{T} \times \mathbf{T} = \vec{0}$$

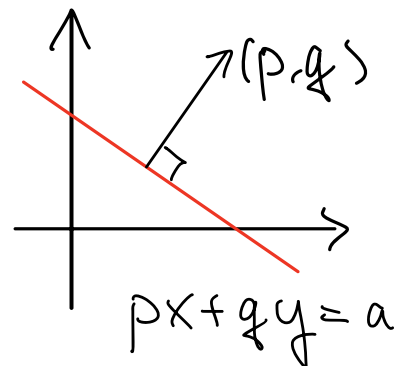
$$\langle \mathbf{B}, \mathbf{T} \rangle = \langle \mathbf{B}, \mathbf{T}' \rangle = \langle \mathbf{B}, \mathbf{N} \rangle = 0$$

Definition 2.4.5 (Plane curve). We say that a space curve $\mathbf{r}$ is a **plane curve** if there exists a unit vector $\mathbf{n}$ such that

$$\langle \mathbf{r}, \mathbf{n} \rangle = a$$

is a constant.

Analog in $\mathbb{R}^2$



Explanation: Consider a plane in $\mathbb{R}^3$

Suppose $X_0 = (x_0, y_0, z_0)$ is a point on it

$\vec{n} = (p, q, r)$ is a normal vector

If $X = (x, y, z)$ is on the plane, then

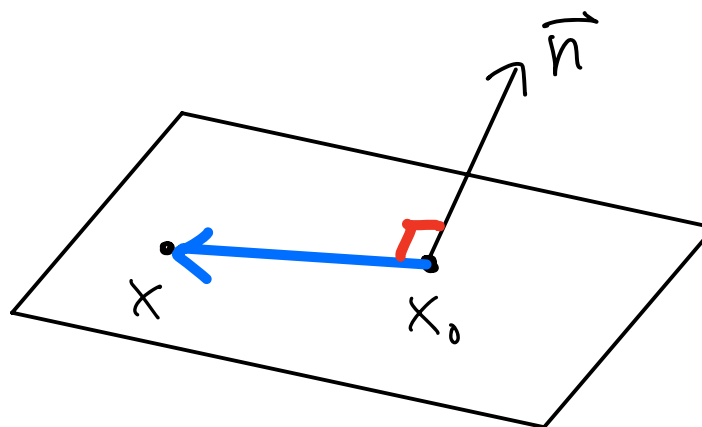
$$\overrightarrow{X_0 X} \perp \vec{n}$$

$$\langle \overrightarrow{X_0 X}, \vec{n} \rangle = 0$$

$$\langle (x - x_0, y - y_0, z - z_0), (p, q, r) \rangle = 0$$

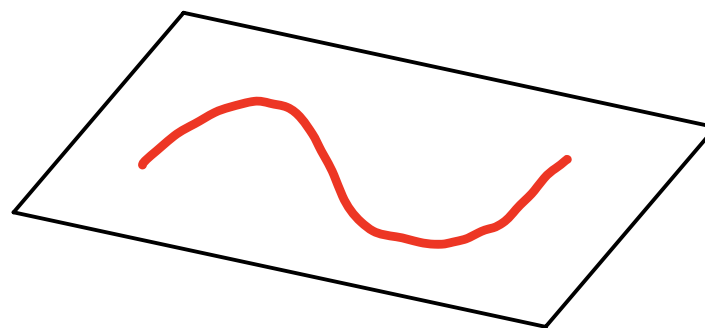
$$p(x - x_0) + q(y - y_0) + r(z - z_0) = 0$$

$$\Rightarrow \underbrace{px + qy + rz}_{\langle (x, y, z), \vec{n} \rangle} = \underbrace{px_0 + qy_0 + rz_0}_a$$



$$\langle \mathbf{r}(t), \mathbf{n} \rangle = a \text{ for any } t$$

$\Rightarrow \mathbf{r}(t)$ is on the plane for any t



Proposition 2.4.6. Let $\mathbf{r}(t)$ be a regular parametrized space curve with curvature $\kappa(t) > 0$ for any t . Then $\mathbf{r}$ is a plane curve if and only if its torsion $\tau(t) = 0$ for any t .

Plane curve $\Leftrightarrow \tau(t) \equiv 0$

Pf ($\Rightarrow$)

$$\begin{aligned} \langle \mathbf{r}, \mathbf{n} \rangle &= a \quad \forall s \\ \frac{d}{ds} \left\{ \begin{aligned} \langle \mathbf{r}, \mathbf{n} \rangle &= a \\ \langle \mathbf{r}', \mathbf{n} \rangle &= 0 \\ \langle \mathbf{r}'', \mathbf{n} \rangle &= 0 \\ \langle \mathbf{r}''', \mathbf{n} \rangle &= 0 \end{aligned} \right. \end{aligned}$$

Rmk $\mathbf{n} \neq \mathbf{N}$

$$\begin{aligned} \tau &= \frac{\langle \mathbf{r}' \times \mathbf{r}'', \mathbf{r}''' \rangle}{\|\mathbf{r}' \times \mathbf{r}''\|^2} & \mathbf{r}' \times \mathbf{r}'' &= \alpha \vec{\mathbf{n}} \\ & & \text{for some } \alpha & \\ &= \frac{\langle \alpha \vec{\mathbf{n}}, \mathbf{r}''' \rangle}{\|\mathbf{r}' \times \mathbf{r}''\|^2} = 0 \end{aligned}$$

($\Leftarrow$) Assume $\tau(t) \equiv 0$

$$\mathbf{B}' = -\tau \mathbf{N} = 0$$

$$\Rightarrow \mathbf{B} \equiv \vec{\mathbf{B}}_0 \text{ constant vector}$$

$$\frac{d}{ds} \langle \mathbf{r}, \vec{\mathbf{B}}_0 \rangle = \left\langle \frac{d\mathbf{r}}{ds}, \vec{\mathbf{B}}_0 \right\rangle$$

$$= \langle \mathbf{T}, \vec{\mathbf{B}}_0 \rangle$$

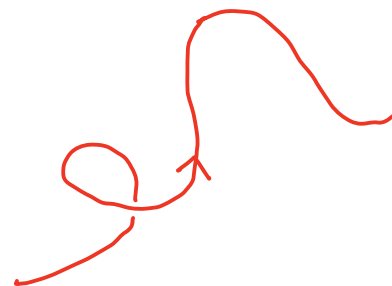
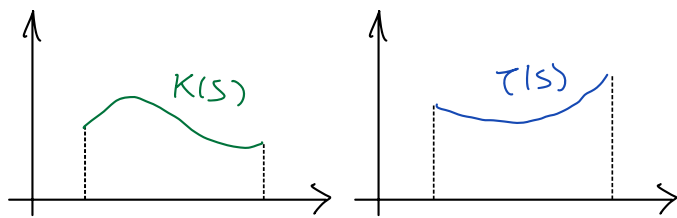
$$= \langle \mathbf{T}, \mathbf{B} \rangle = 0$$

$$\langle \mathbf{r}, \mathbf{B}_0 \rangle = a \text{ for some constant } a$$

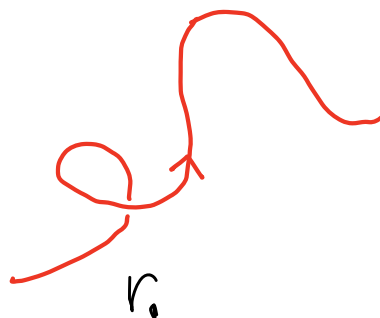
Theorem 2.4.7 (Fundamental theorem of space curves). Let $\kappa(s), \tau(s) > 0$ be two positive functions. Then there exists unique, up to rigid transformation, space curve $\mathbf{r}(s)$ parametrized by arc length with curvature $\kappa(s)$ and torsion $\tau(s)$.

Existence: Any

$\exists \mathbf{r}(s) \text{ in } \mathbb{R}^3 \text{ with such } \kappa, \tau$



Uniqueness If $\mathbf{r}_1(s), \mathbf{r}_2(s)$ have same $\kappa(s), \tau(s)$, then there is a rigid transformation $(*)$ $\varphi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$ s.t. $\mathbf{r}_2(s) = \varphi(\mathbf{r}_1(s))$



$\xrightarrow{\exists \varphi}$



$(*)$ means $\|\varphi(a) - \varphi(b)\| = \|a - b\|$ for any $a, b \in \mathbb{R}^3$ (Composition of rotation, reflection, translations)

3 Surfaces

① Partial derivatives (derivative with respect to one of the variables)

$$f(u, v) = 2u + v^3 + u^2v \quad (\text{two-variable functions})$$

$$f_u = \frac{\partial f}{\partial u} = 2 + 2uv \quad (\text{Regard } v \text{ as a constant})$$

$$f_v = \frac{\partial f}{\partial v} = 3v^2 + u^2$$

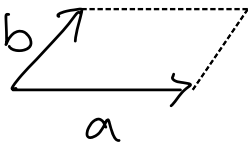
$$f_{vv} = (f_v)_v = 6v$$

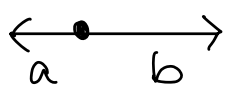
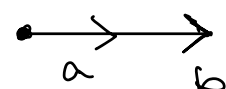
$$f_{uu} = 2v = 2v$$

$$f_{uv} = (f_u)_v = 2u$$

Fact Under mild condition,

$$f_{vu} = f_{uv}$$

② $a, b \in \mathbb{R}^3$ $\vec{a} \times \vec{b} \neq 0$ if  has non-zero area

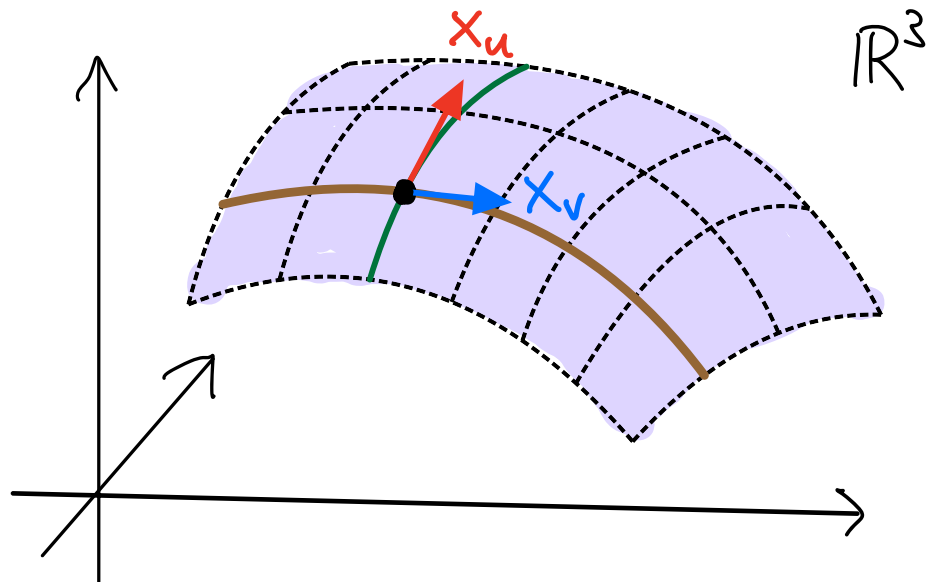
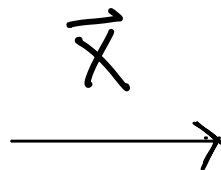
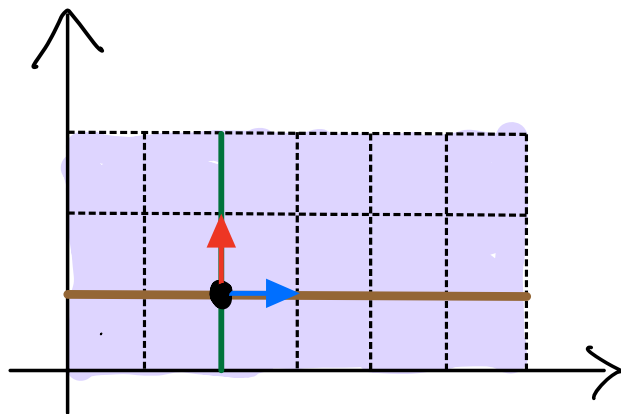
$\vec{a} \times \vec{b} = 0$ if $\vec{a} = 0$ or $\vec{b} = 0$ or  or 

3 Surfaces

3.1 Regular parametrized surfaces

Definition 3.1.1 (Regular parametrized surface). A **regular parametrized surface** is a differentiable function $\mathbf{x} : D \rightarrow \mathbb{R}^3$, where $D \subset \mathbb{R}^2$ is an open connected subset, such that $\mathbf{x}_u \times \mathbf{x}_v \neq \mathbf{0}$, for any $(u, v) \in D \subset \mathbb{R}^2$. The image $S = \mathbf{x}(D) \subset \mathbb{R}^3$ is called a **regular surface**.

eg $D = (0, 6) \times (0, 3)$



- ① For $\mathbf{x}(u, v)$, we denote $\mathbf{x}_u = \frac{\partial \mathbf{x}}{\partial u}$ and $\mathbf{x}_v = \frac{\partial \mathbf{x}}{\partial v}$ to be the partial derivatives
- ② $\mathbf{x}_u \times \mathbf{x}_v \neq \mathbf{0} \Rightarrow \mathbf{x}_u, \mathbf{x}_v$ are non-zero and not in the same/opposite directions

Definition 3.1.2 (Tangent space). *Let S be a regular surface with parametrization $\mathbf{x}(u, v)$. The **tangent space** of S at $p = \mathbf{x}(u, v)$ is*

$$T_p S = \{ \alpha \mathbf{x}_u + \beta \mathbf{x}_v : \alpha, \beta \in \mathbb{R} \} \subset \mathbb{R}^3.$$

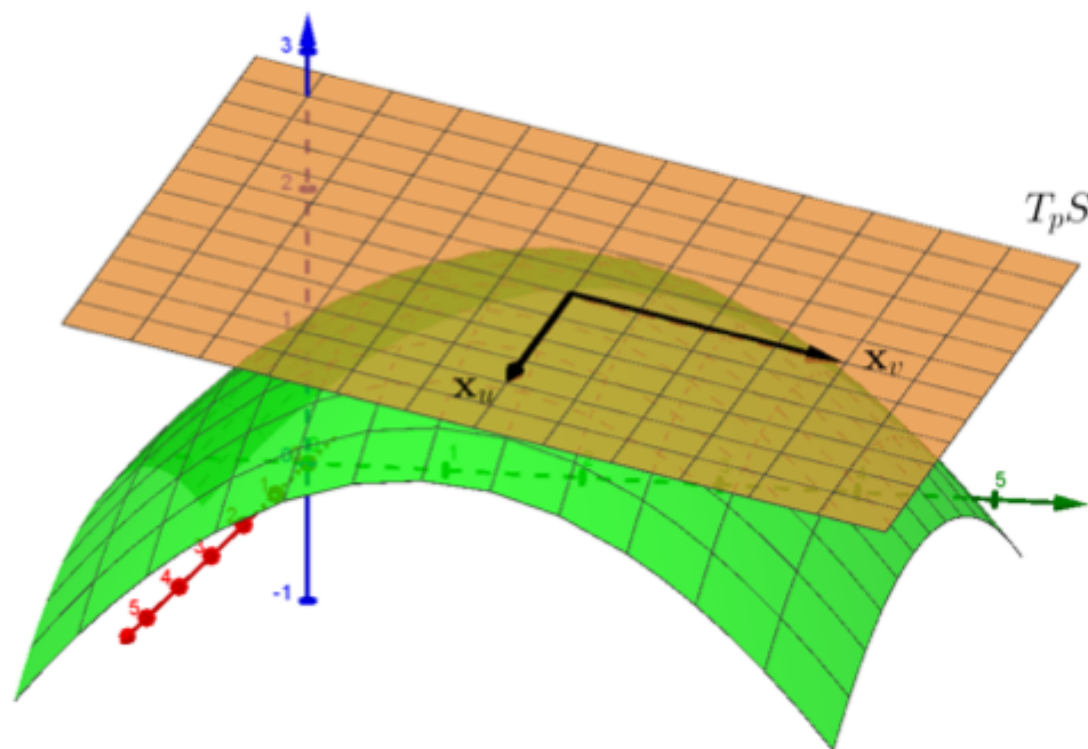
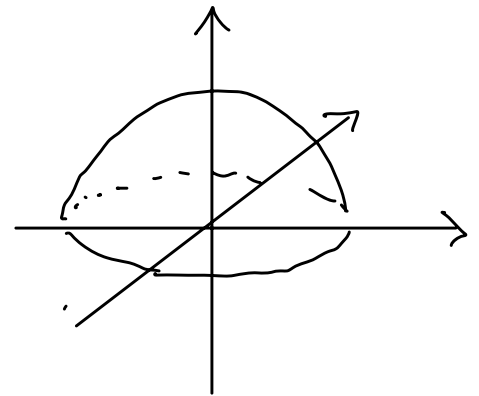


Figure 10: Tangent space

Sphere $\{(x, y, z): x^2 + y^2 + z^2 = r^2\}$ hollow

Parametrization? $\vec{x}(x, y) = (x, y, \underbrace{\sqrt{r^2 - x^2 - y^2}}_{\geq 0})$, $x^2 + y^2 \leq r^2$
 $\geq 0 \Rightarrow$ only upper hemisphere

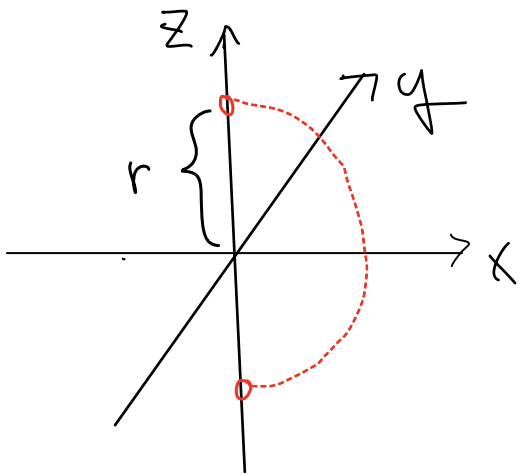


Better parametrization: $\vec{x}(\phi, \theta) = (r \sin \phi \cos \theta, r \sin \phi \sin \theta, r \cos \phi)$

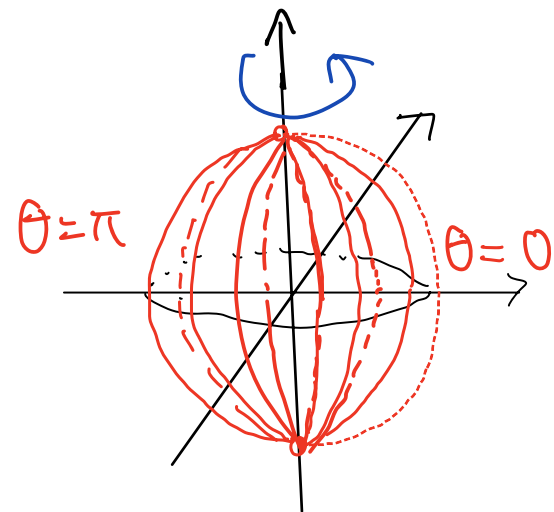
$$(\phi, \theta) \in (0, \pi) \times (0, 2\pi)$$

Take $\theta = 0$, $\phi \in (0, \pi)$

$$\vec{x}(\phi, 0) = (r \sin \phi, 0, r \cos \phi)$$



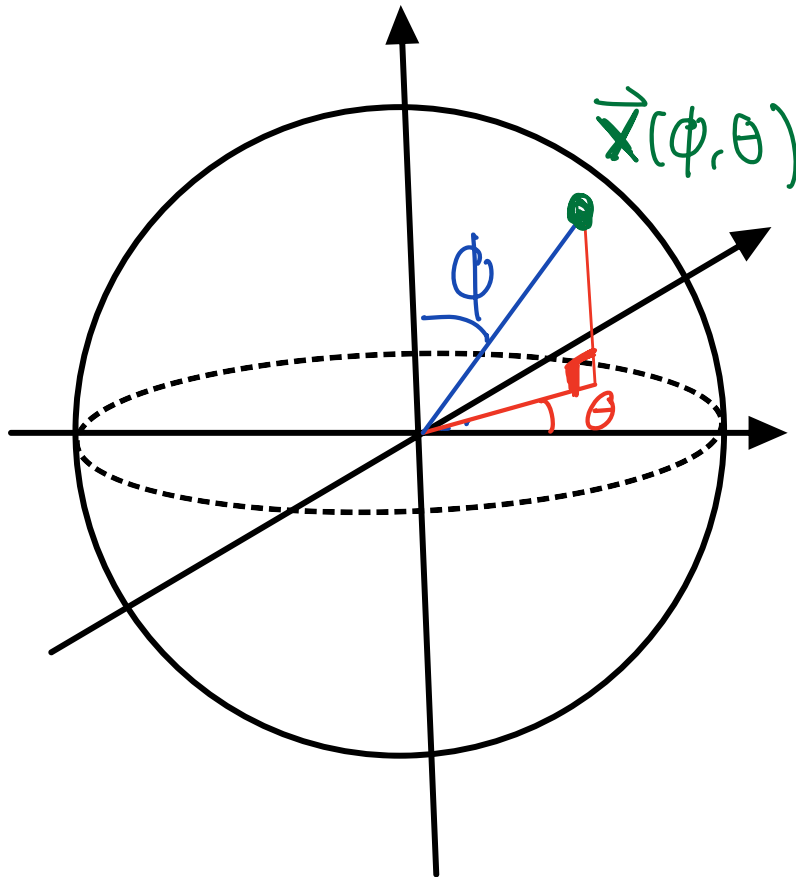
Rotate around
Z-axis
 $\theta \in (0, 2\pi)$



1. Sphere: Let $r > 0$ be a positive real number. The function

$$\mathbf{x}(\phi, \theta) = (r \sin \phi \cos \theta, r \sin \phi \sin \theta, r \cos \phi), \text{ for } (\phi, \theta) \in (0, \pi) \times (0, 2\pi)$$

defines a **sphere** of radius r centered at the origin.



(r, θ, ϕ) is called
Spherical coordinates

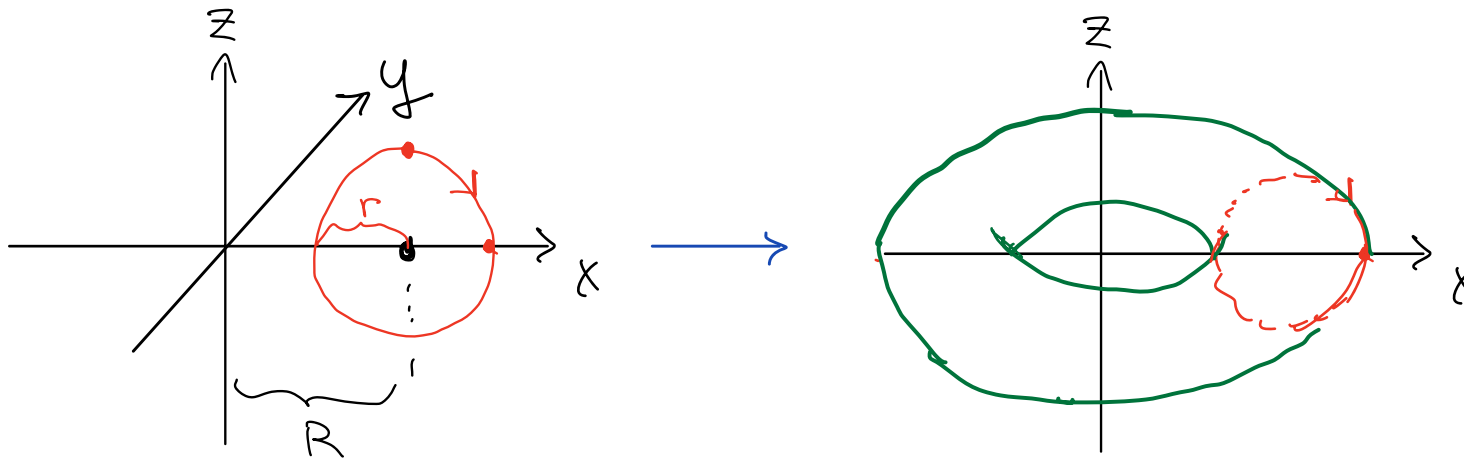
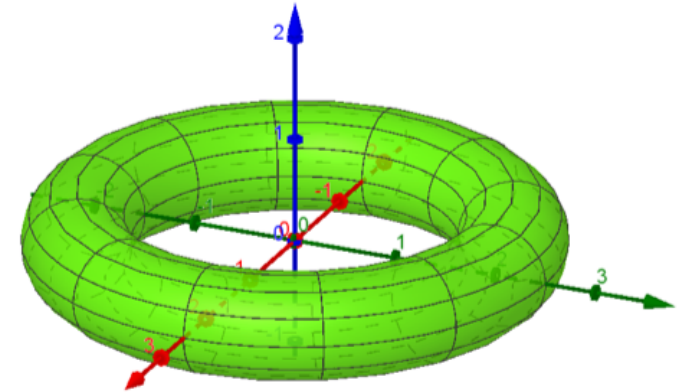
2. Torus: Let $R > r > 0$ be positive real numbers. The function

$$\mathbf{x}(\phi, \theta) = ((R+r \sin \phi) \cos \theta, (R+r \sin \phi) \sin \theta, r \cos \phi), \text{ for } \phi, \theta \in (0, 2\pi)$$

defines a regular surface which is called **torus**.

$$R > r > 0$$

$$\begin{aligned} \text{If } \theta = 0 \quad \mathbf{x}(\phi, 0) &= (R+r \sin \phi, 0, r \cos \phi) \\ &= (R, 0, 0) + \underline{r(\sin \phi, 0, \cos \phi)} \end{aligned}$$



$$\theta = 0$$

$$\phi \in (0, 2\pi)$$

rotate around
z-axis

$$\theta \in (0, 2\pi)$$

$$\phi \in (0, 2\pi)$$

3. *Helicoid: Let $a > 0$ be positive real numbers. The function*

$$\mathbf{x}(u, \theta) = (u \cos \theta, u \sin \theta, a\theta), \text{ for } u, \theta \in \mathbb{R}$$

*defines a regular surface which is called **helicoid**.*

