

# Surface Area & Integral

Def 14 Parametric Surface (Surface with parametrization)

A parametric surface (or a parametrization of a surface)

in  $\mathbb{R}^3$  is a mapping of 2-variables into  $\mathbb{R}^3$ :

$$\vec{r}(u, v) = x(u, v) \hat{i} + y(u, v) \hat{j} + z(u, v) \hat{k}$$

And it is called smooth if

- (1)  $\vec{r}$  is  $C^1$  (i.e.  $x_u, x_v, y_u, y_v, z_u, z_v$  are continuous)
- (2)  $\vec{r}_u \times \vec{r}_v \neq \vec{0} \quad \forall u, v$

where

$$\vec{r}_u = \frac{\partial \vec{r}}{\partial u} = \frac{\partial x}{\partial u} \hat{i} + \frac{\partial y}{\partial u} \hat{j} + \frac{\partial z}{\partial u} \hat{k}$$

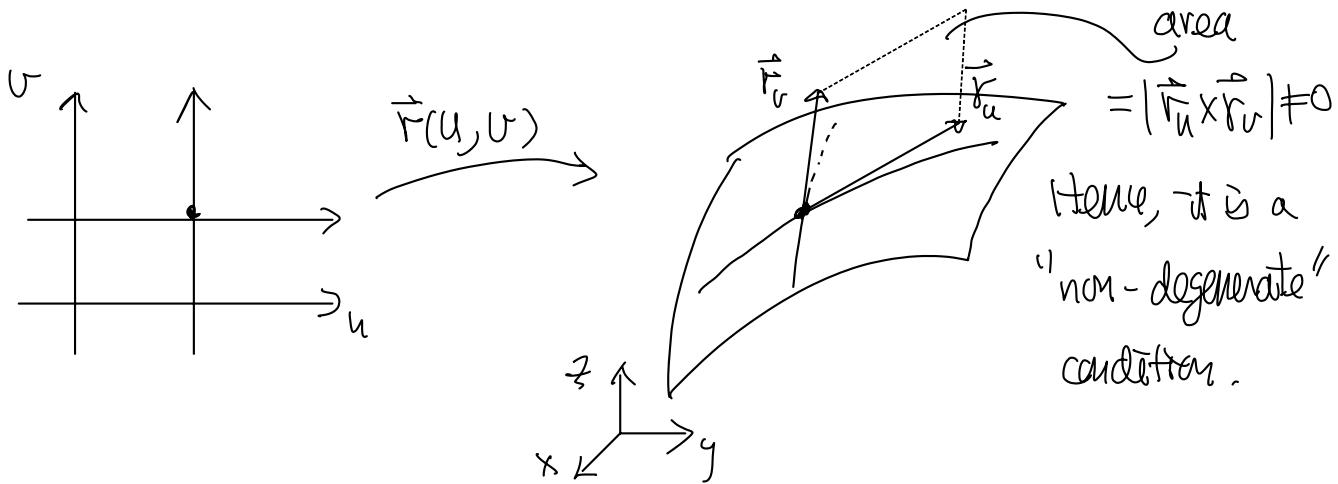
$$\vec{r}_v = \frac{\partial \vec{r}}{\partial v} = \frac{\partial x}{\partial v} \hat{i} + \frac{\partial y}{\partial v} \hat{j} + \frac{\partial z}{\partial v} \hat{k}$$

$$\left( \begin{array}{l} \vec{r}_u = x_u \hat{i} + y_u \hat{j} + z_u \hat{k} \\ \vec{r}_v = x_v \hat{i} + y_v \hat{j} + z_v \hat{k} \end{array} \right)$$

Note: Condition (2)  $\Rightarrow \vec{r}_u, \vec{r}_v$  are linearly independent

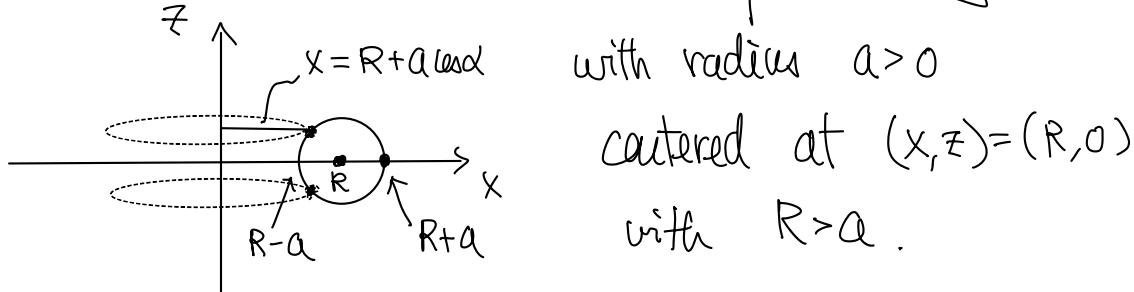
$\Rightarrow \text{Span}\{\vec{r}_u, \vec{r}_v\}$  is in fact a 2-dim'l subspace.

$\Rightarrow$  "surface" cannot be degenerated to a curve or a point.



### eg51 (Torus)

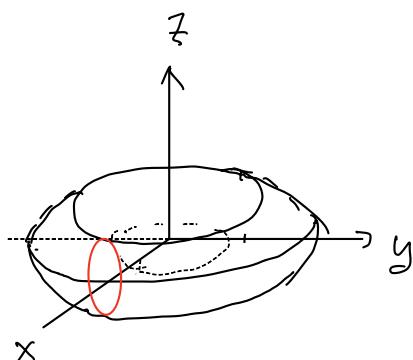
Consider the circle on the  $xz$ -plane (ie.  $y=0$ )



with radius  $a > 0$

centered at  $(x, z) = (R, 0)$   
with  $R > a$ .

A parametrization is  $\begin{cases} x = R + a \cos \alpha \\ z = a \sin \alpha \end{cases} \quad \alpha \in [0, 2\pi]$



rotating the circle, we have  
a Torus

Then the parametrization of the Torus is

$$\begin{cases} x = (R + a \cos \alpha) \cos \theta \\ y = (R + a \cos \alpha) \sin \theta \\ z = a \sin \alpha \end{cases}, \quad \begin{array}{l} \alpha \in [0, 2\pi] \\ \theta \in [0, 2\pi] \end{array}$$

Ex: Check that it is a smooth surface:

It is clearly  $C^1$ , need to check

$$(x_\alpha, y_\alpha, z_\alpha) \times (x_\theta, y_\theta, z_\theta) \neq \vec{0}$$

(See next example)

Note: This torus can also be described as

$$(\sqrt{x^2+y^2} - R)^2 + z^2 = a^2 \quad (\text{Ex!})$$

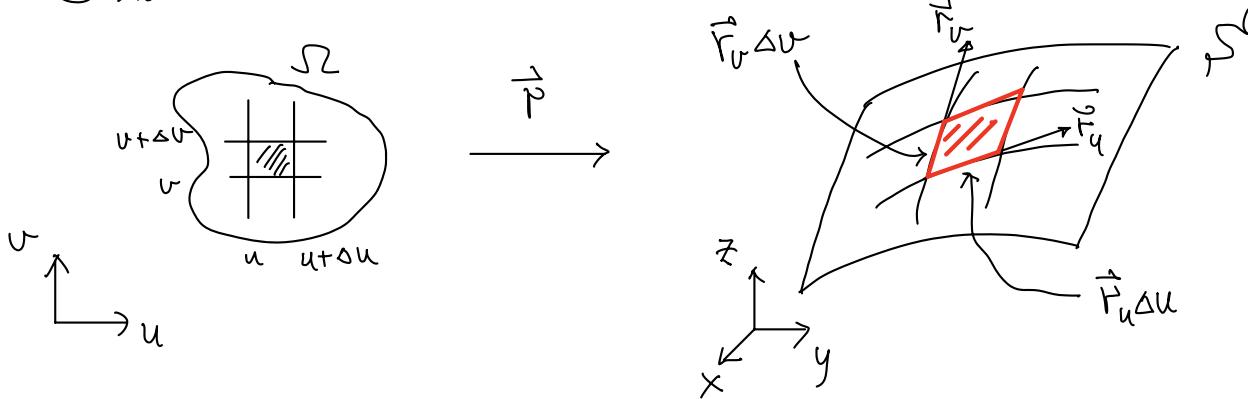
## Surface Area

Recall: for  $\vec{a}, \vec{b} \in \mathbb{R}^3$

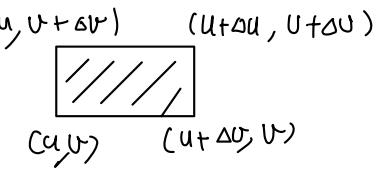
$$|\vec{a} \times \vec{b}| = \text{Area}(\text{parallelogram})$$

Let  $\vec{r}(u, v)$  be a parametrization of a surface  $S$  with  $(u, v) \in \mathcal{R}$

Consider



$\Rightarrow$  "Area" on the surface corresponding to



$$\text{is approx.} = \text{Area} \left( \vec{r}_u \Delta u \begin{array}{c} \diagup \\ \diagdown \end{array} \vec{r}_v \Delta v \right)$$

$$= |(\vec{r}_u \Delta u) \times (\vec{r}_v \Delta v)|$$

$$= |\vec{r}_u \times \vec{r}_v| \Delta u \Delta v$$

Hence "Area element" of  $S$ , denoted by  $d\sigma$ , is given by

$$d\sigma = |\vec{r}_u \times \vec{r}_v| du dv$$

$$d\sigma = |\vec{r}_u \times \vec{r}_v| dA$$

area element in the  $(u, v)$ -space.

Therefore, we make the following

Def15 : Let  $S \subset \mathbb{R}^3$  be a smooth parametric surface given by  
 $\vec{r}(u, v)$  for  $(u, v) \in \Omega \subset \mathbb{R}^2$ . Then

$$\text{Area}(S) \stackrel{\text{def}}{=} \iint_{\Omega} |\vec{r}_u \times \vec{r}_v| dA$$

$$= \iint_{\Omega} \left| \frac{\partial \vec{r}}{\partial u} \times \frac{\partial \vec{r}}{\partial v} \right| dA$$

$$\left( \text{i.e. } \text{Area}(S) = \iint_{\Omega} d\sigma \right)$$

eg 52: Surface area of torus given by ( $R > a > 0$  are constants)

$$\begin{cases} x = (R + a \cos \alpha) \cos \theta \\ y = (R + a \cos \alpha) \sin \theta \\ z = a \sin \alpha \end{cases}, \quad \begin{array}{l} \alpha \in [0, 2\pi] \\ \theta \in [0, 2\pi] \end{array}$$

i.e.  $\vec{r}(\alpha, \theta) = (R + a \cos \alpha) \cos \theta \hat{i} + (R + a \cos \alpha) \sin \theta \hat{j} + a \sin \alpha \hat{k}$

$$\Rightarrow \begin{cases} \frac{\partial \vec{r}}{\partial \alpha} = -a \sin \alpha \cos \theta \hat{i} - a \sin \alpha \sin \theta \hat{j} + a \cos \alpha \hat{k} \\ \frac{\partial \vec{r}}{\partial \theta} = -(R + a \cos \alpha) \sin \theta \hat{i} + (R + a \cos \alpha) \cos \theta \hat{j} \end{cases}$$

2  $\frac{\partial \vec{r}}{\partial \alpha} \times \frac{\partial \vec{r}}{\partial \theta} = -a(R + a \cos \alpha) \cos \theta \cos \alpha \hat{i} - a(R + a \cos \alpha) \sin \theta \cos \alpha \hat{j} - (R + a \cos \alpha) \sin \alpha \hat{k}$  (check!)

$$\left| \frac{\partial \vec{r}}{\partial \alpha} \times \frac{\partial \vec{r}}{\partial \theta} \right| = a(R + a \cos \alpha) (> 0) \quad (\text{check!})$$

(so, the surface is "smooth")

$$\begin{aligned} \text{Area (Torus)} &= \iint_S \left| \frac{\partial \vec{r}}{\partial \alpha} \times \frac{\partial \vec{r}}{\partial \theta} \right| dA \\ &= \int_0^{2\pi} \int_0^{2\pi} a(R + a \cos \alpha) d\alpha d\theta \\ &= 4\pi^2 R a \quad (\text{check!}) \end{aligned}$$