

**4.5.1 Appendix: Proofs of basic theoretical results concerned with bases and dimensions for general subspaces of column vectors.**

0. The material in this appendix is supplementary.

1. We have introduced these results:—

**Theorem (1).** (Upper bound of number of column vectors in a basis for a subspace of  $\mathbb{R}^n$  over the reals.)

*Every basis for any subspace of  $\mathbb{R}^n$  over the reals has at most  $n$  column vectors.*

**Theorem (2).** (Existence of basis for an arbitrary non-zero subspace of  $\mathbb{R}^n$  over the reals.)

*Suppose  $\mathcal{V}$  is a non-zero subspace of  $\mathbb{R}^n$  over the reals. Then there is a basis for  $\mathcal{V}$  over the reals which consists of at least one and at most  $n$  column vectors with  $n$  real entries.*

2. We are going to give a proof for Theorem (2). In its argument, two results on linear dependence and linear independence that we have learnt earlier will play a crucial role. They are Lemma (\*) and Lemma (\*\*).

**Lemma (\*).**

*Let  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k, \mathbf{v} \in \mathbb{R}^n$ .*

*Suppose  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k$  are linearly independent over the reals.*

*Then the statements below are logically equivalent:—*

(\*<sub>1</sub>)  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k, \mathbf{v}$  are linearly independent over the reals.

(\*<sub>2</sub>)  $\mathbf{v}$  is not a linear combination of  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k$  over the reals.

**Lemma (\*\*).**

*Let  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_\ell \in \mathbb{R}^n$ . Suppose  $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_\ell$  are linearly independent over the reals. Then  $\ell \leq n$ .*

**Remark.** Recall that Lemma (\*\*) is essentially what Theorem (1) is about.

3. **Proof of Theorem (2).**

Suppose  $\mathcal{V}$  is a non-zero subspace of  $\mathbb{R}^n$  over the reals.

(a) By assumption we may pick some  $\mathbf{u}_1 \in \mathcal{V}$  so that  $\Pi_1 \neq \mathbf{0}_n$ .

$\mathbf{u}_1$  is linearly independent over the reals.

If every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1$  over the reals, then  $\mathbf{u}_1$  constitutes a basis for  $\mathcal{V}$  over the reals.

(b) Suppose that not every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1$  over the reals.

Then we may pick some  $\mathbf{u}_2 \in \mathcal{V}$  so that  $\mathbf{u}_2$  is not a linear combination of  $\mathbf{u}_1$  over the reals.

By Lemma (\*),  $\mathbf{u}_1, \mathbf{u}_2$  are linearly independent over the reals.

If every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1, \mathbf{u}_2$  over the reals, then  $\mathbf{u}_1, \mathbf{u}_2$  constitute a basis for  $\mathcal{V}$  over the reals.

(c) Suppose that not every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1, \mathbf{u}_2$  over the reals.

Then we may pick some  $\mathbf{u}_3 \in \mathcal{V}$  so that  $\mathbf{u}_3$  is not a linear combination of  $\mathbf{u}_1, \mathbf{u}_2$  over the reals.

By Lemma (\*),  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are linearly independent over the reals.

If every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  over the reals, then  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  constitute a basis for  $\mathcal{V}$  over the reals.

(d) Suppose  $j$  is any one integer greater than  $j$ .

Under the assumption that not every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1, \dots, \mathbf{u}_{j-1}$  over the reals, we may pick some  $\mathbf{u}_j \in \mathcal{V}$  so that  $\mathbf{u}_j$  is not a linear combination of  $\mathbf{u}_1, \dots, \mathbf{u}_{j-1}$  over the reals.

By Lemma (\*), these same column vectors  $\mathbf{u}_1, \dots, \mathbf{u}_{j-1}, \mathbf{u}_j$  are linearly independent over the reals.

(e) We have obtained, in succession, some sequence of column vectors  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \dots \in \mathcal{V}$ , which are linearly independent vectors in  $\mathbb{R}^n$ .

By Lemma (\*\*), this sequence terminates at  $\mathbf{u}_p$ , for some integer  $p \leq n$ .

It is then necessarily true that every column vector belonging to  $\mathcal{V}$  is a linear combination of  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  over the reals; (otherwise, we could repeat the construction to obtain  $\mathbf{u}_{p+1}$ ).

It follows that the  $p$  vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  constitute a basis for  $\mathcal{V}$  over the reals.

4. We now proceed to prove the Replacement Theorem:—

**Theorem (7). (Replacement Theorem.)**

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$  over the reals. Let  $p, q$  be positive integers.

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p, \mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q \in \mathcal{W}$ .

Suppose  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  constitute a basis for  $\mathcal{W}$  over the reals.

Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent over the reals.

Then:—

(a) the inequality  $p \leq q$  holds, and

(b)  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ , and some  $q - p$  column vectors amongst  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  together, constitute a basis of  $\mathcal{W}$  over the reals.

5. To prepare the way for an argument for Theorem (7), we prove two weaker results first.

**Lemma (7'). (Baby version of Replacement Theorem.)**

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$  over the reals. Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  constitute a basis for  $\mathcal{W}$  over the reals.

Let  $\mathbf{u} \in \mathbb{R}^n$ . Suppose  $\mathbf{u} \neq \mathbf{0}_n$ , and  $\mathbf{u}$  is a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  over the reals.

Then, the column vector  $\mathbf{u}$  and some  $k - 1$  column vectors amongst  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  together, constitutes a basis of  $\mathcal{W}$  over the reals.

**6. Proof of Lemma (7').**

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$ . Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  constitute a basis for  $\mathcal{W}$  over the reals.

Let  $\mathbf{u} \in \mathbb{R}^n$ . Suppose  $\mathbf{u} \neq \mathbf{0}_n$ , and  $\mathbf{u}$  is a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  over the reals.

By assumption, there exist some  $\alpha_1, \alpha_2, \dots, \alpha_k \in \mathbb{R}$  such that  $\mathbf{u} = \alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2 + \dots + \alpha_k \mathbf{v}_k$ .

By assumption  $\mathbf{u} \neq \mathbf{0}_n$ . Then at least one of  $\alpha_1, \alpha_2, \dots, \alpha_k$  is non-zero.

Without loss of generality, suppose  $\alpha_1 \neq 0$ .

(Otherwise, choose the first  $\ell$  for which  $\alpha_\ell$  is non-zero. Then re-label  $\alpha_1, \mathbf{v}_1$  as  $\alpha_\ell, \mathbf{v}_\ell$  respectively, and  $\alpha_\ell, \mathbf{v}_\ell$  as  $\alpha_1, \mathbf{v}_1$  respectively.)

We verify that  $\mathbf{u}, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k$  constitute a basis for  $\mathcal{W}$  over the reals:

- [We verify (BL): ‘ $\mathbf{u}, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k$  are linearly independent over the reals.’]

Pick any  $\beta, \gamma_2, \gamma_3, \dots, \gamma_k \in \mathbb{R}$ .

Suppose  $\beta \mathbf{u} + \gamma_2 \mathbf{v}_2 + \gamma_3 \mathbf{v}_3 + \dots + \gamma_k \mathbf{v}_k = \mathbf{0}_n$ .

Then

$$\begin{aligned} \mathbf{0}_n &= \beta(\alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2 + \dots + \alpha_k \mathbf{v}_k) + \gamma_2 \mathbf{v}_2 + \gamma_3 \mathbf{v}_3 + \dots + \gamma_k \mathbf{v}_k \\ &= \beta \alpha_1 \mathbf{v}_1 + (\beta \alpha_2 + \gamma_2) \mathbf{v}_2 + (\beta \alpha_3 + \gamma_3) \mathbf{v}_3 + \dots + (\beta \alpha_k + \gamma_k) \mathbf{v}_k \end{aligned}$$

By assumption  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k$  are linearly independent over the reals.

Then

$$\beta \alpha_1 = 0 = \beta \alpha_2 + \gamma_2 = \beta \alpha_3 + \gamma_3 = \dots = \beta \alpha_k + \gamma_k.$$

Recall that  $\alpha_1 \neq 0$ . Then since  $\beta \alpha_1 = 0$ , we have  $\beta = 0$ .

Therefore  $\gamma_2 = \gamma_3 = \dots = \gamma_k = 0$  also.

It follows that  $\mathbf{u}, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k$  are linearly independent over the reals.

- [We verify (BS): ‘Every column vector belonging to  $\mathcal{W}$  is a linear combination of  $\mathbf{u}, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k$  over the reals.’]

Pick any  $\mathbf{x} \in \mathbb{R}^n$ . Suppose  $\mathbf{x} \in \mathcal{W}$ .

By assumption,  $\mathbf{x}$  is a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k$  over the reals.

Then there exist some  $\delta_1, \delta_2, \dots, \delta_k \in \mathbb{R}$  such that  $\mathbf{x} = \delta_1 \mathbf{v}_1 + \delta_2 \mathbf{v}_2 + \dots + \delta_k \mathbf{v}_k$ .

Now recall that  $\mathbf{u} = \alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2 + \dots + \alpha_k \mathbf{v}_k$ .

$$\text{Then } \mathbf{v}_1 = \frac{1}{\alpha_1} \mathbf{u} - \frac{\alpha_2}{\alpha_1} \mathbf{v}_2 - \frac{\alpha_3}{\alpha_1} \mathbf{v}_3 - \dots - \frac{\alpha_k}{\alpha_1} \mathbf{v}_k.$$

Therefore, for the same  $\mathbf{x}$ , we have

$$\begin{aligned} \mathbf{x} &= \delta_1 \left( \frac{1}{\alpha_1} \mathbf{u} - \frac{\alpha_2}{\alpha_1} \mathbf{v}_2 - \frac{\alpha_3}{\alpha_1} \mathbf{v}_3 - \dots - \frac{\alpha_k}{\alpha_1} \mathbf{v}_k \right) + \delta_2 \mathbf{v}_2 + \dots + \delta_k \mathbf{v}_k \\ &= \frac{\delta_1}{\alpha_1} \mathbf{u} + \left( \delta_2 - \frac{\delta_1 \alpha_2}{\alpha_1} \right) \mathbf{v}_2 + \left( \delta_3 - \frac{\delta_1 \alpha_3}{\alpha_1} \right) \mathbf{v}_3 + \dots + \left( \delta_k - \frac{\delta_1 \alpha_k}{\alpha_1} \right) \mathbf{v}_k \end{aligned}$$

It follows that  $\mathbf{u}, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k$  constitute a basis for  $\mathcal{W}$  over the reals.

### 7. Theorem (7''). (Weaker version of Replacement Theorem.)

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$  over the reals. Let  $p$  be a positive integer, and  $s$  be a non-negative integer.

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p, \mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s} \in \mathcal{W}$ .

Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s}$  constitute a basis for  $\mathcal{W}$  over the reals.

Further suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent over the reals.

Then,  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ , and some  $s$  column vectors amongst  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s}$  together, constitute a basis of  $\mathcal{W}$  over the reals.

### 8. Proof of Theorem (7'').

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$  over the reals. Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s}$  constitute a basis for  $\mathcal{W}$ .

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p \in \mathcal{W}$ . Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent over the reals.

- (a)  $\mathbf{u}_1$  is a linear combination of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{p+s}$  over reals. Moreover,  $\mathbf{u}_1 \neq \mathbf{0}_n$ . (Why?)

We apply Lemma (7'):—

After relabelling the indices of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{p+s}$  if necessary, we obtain some base for  $\mathcal{W}$  over the reals, given by  $\mathbf{u}_1, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s}$ .

- (b) Suppose  $1 \leq j < p$ , and suppose that after relabelling the indices of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{p+s}$  if necessary, we have obtained some basis for  $\mathcal{W}$  over the reals, given by  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{v}_{j+1}, \dots, \mathbf{v}_{p+s}$ .

- i. By the definition of the notion of basis,  $\mathbf{u}_{j+1}$  is a linear combination of  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{v}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  over the reals.

Then there exist some  $\kappa_1, \dots, \kappa_j, \lambda, \mu_{j+2}, \dots, \dots, \mu_{p+s} \in \mathbb{R}$  such that

$$\mathbf{u}_{j+1} = \kappa_1 \mathbf{u}_1 + \dots + \kappa_j \mathbf{u}_j + \lambda \mathbf{v}_{j+1} + \mu_{j+2} \mathbf{v}_{j+2} + \dots + \mu_{p+s} \mathbf{v}_{p+s}.$$

- ii. Note that  $\lambda, \mu_{j+2}, \dots, \dots, \mu_{p+s}$  are not all zero. Justification:—

\* Suppose  $\lambda, \mu_{j+2}, \dots, \dots, \mu_{p+s}$  were all zero.

Then the equality  $\mathbf{u}_{j+1} = \kappa_1 \mathbf{u}_1 + \kappa_2 \mathbf{u}_2 + \dots + \kappa_j \mathbf{u}_j$  would hold.

Such an equality is impossible because  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are assumed to be linearly independent over the reals.

- iii. Without loss of generality, suppose  $\lambda \neq 0$ .

We verify that  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{u}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  constitute a basis for  $V$ :

- [We verify (BL): ' $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{u}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  are linearly independent over the reals.']

Pick any  $\alpha_1, \dots, \alpha_j, \beta, \gamma_{j+2}, \dots, \gamma_{p+s} \in \mathbb{R}$ .

Suppose  $\alpha_1 \mathbf{u}_1 + \dots + \alpha_j \mathbf{u}_j + \beta \mathbf{u}_{j+1} + \gamma_{j+2} \mathbf{v}_{j+2} + \dots + \gamma_{p+s} \mathbf{v}_{p+s} = \mathbf{0}_n$ .

Then

$$\begin{aligned} \mathbf{0}_n &= \alpha_1 \mathbf{u}_1 + \dots + \alpha_j \mathbf{u}_j \\ &\quad + \beta(\kappa_1 \mathbf{u}_1 + \dots + \kappa_j \mathbf{u}_j + \lambda \mathbf{v}_{j+1} + \mu_{j+2} \mathbf{v}_{j+2} + \dots + \mu_{p+s} \mathbf{v}_{p+s}) \\ &\quad + \gamma_{j+2} \mathbf{v}_{j+2} + \dots + \gamma_{p+s} \mathbf{v}_{p+s} \\ &= (\beta \kappa_1 + \alpha_1) \mathbf{u}_1 + \dots + (\beta \kappa_j + \alpha_j) \mathbf{u}_j + \beta \lambda \mathbf{v}_{j+1} + (\beta \mu_{j+2} + \gamma_{j+2}) \mathbf{v}_{j+2} + \dots + (\beta \mu_{p+s} + \gamma_{p+s}) \mathbf{v}_{p+s} \end{aligned}$$

Note that  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{v}_{j+1}, \dots, \mathbf{v}_{p+s}$  are linearly independent. Then

$$\beta \lambda = 0 = \beta \kappa_1 + \alpha_1 = \dots = \beta \kappa_j + \alpha_j = \beta \mu_{j+2} + \gamma_{j+2} = \dots = \beta \mu_{p+s} + \gamma_{p+s}.$$

Recall that  $\lambda \neq 0$ . Then since  $\beta \lambda = 0$ , we have  $\beta = 0$ .

Therefore  $\alpha_1 = \dots = \alpha_j = \gamma_{j+2} = \dots = \gamma_{p+s} = 0$  also.

It follows that  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{u}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  are linearly independent over the reals.

- [We verify (BS): '*Every column vector belonging to  $\mathcal{W}$  is a linear combination of  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{u}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  over the reals.*']

Pick any  $\mathbf{x} \in \mathbb{R}^n$ . Suppose  $\mathbf{x} \in \mathcal{W}$ .

By assumption,  $\mathbf{x}$  is a linear combination of  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{v}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  over the reals.

Then there exist some  $\delta_1, \dots, \delta_j, \delta_{j+1}, \delta_{j+2}, \dots, \delta_{p+s} \in \mathbb{R}$  such that

$$\mathbf{x} = \delta_1 \mathbf{u}_1 + \dots + \delta_j \mathbf{u}_j + \delta_{j+1} \mathbf{v}_{j+1} + \delta_{j+2} \mathbf{v}_{j+2} + \dots + \delta_{p+s} \mathbf{v}_{p+s}.$$

Now recall that

$$\mathbf{u}_{j+1} = \kappa_1 \mathbf{u}_1 + \cdots + \kappa_j \mathbf{u}_j + \lambda \mathbf{v}_{j+1} + \mu_{j+2} \mathbf{v}_{j+2} + \cdots + \mu_{p+s} \mathbf{v}_{p+s}.$$

$$\text{Then } \mathbf{v}_{j+1} = \frac{1}{\lambda} \mathbf{u}_{j+1} - \frac{\kappa_1}{\lambda} \mathbf{u}_1 - \cdots - \frac{\kappa_j}{\lambda} \mathbf{u}_j - \frac{\mu_{j+2}}{\lambda} \mathbf{v}_{j+2} - \cdots - \frac{\mu_{p+s}}{\lambda} \mathbf{v}_{p+s}.$$

Therefore, for the same  $\mathbf{x}$ , we have

$$\begin{aligned} \mathbf{x} &= \delta_1 \mathbf{u}_1 + \cdots + \delta_j \mathbf{u}_j \\ &\quad + \delta_{j+1} \left( \frac{1}{\lambda} \mathbf{u}_{j+1} - \frac{\kappa_1}{\lambda} \mathbf{u}_1 - \cdots - \frac{\kappa_j}{\lambda} \mathbf{u}_j - \frac{\mu_{j+2}}{\lambda} \mathbf{v}_{j+2} - \cdots - \frac{\mu_{p+s}}{\lambda} \mathbf{v}_{p+s} \right) \\ &\quad + \delta_{j+2} \mathbf{v}_{j+2} + \cdots + \delta_{p+s} \mathbf{v}_{p+s} \\ &= \left( \delta_1 - \frac{\delta_{j+1} \kappa_1}{\lambda} \right) \mathbf{u}_1 + \cdots + \left( \delta_j - \frac{\delta_{j+1} \kappa_j}{\lambda} \right) \mathbf{u}_j + \frac{\delta_{j+1}}{\lambda} \mathbf{u}_{j+1} \\ &\quad + \left( \delta_{j+2} - \frac{\delta_{j+1} \mu_{j+2}}{\lambda} \right) \mathbf{v}_{j+2} + \cdots + \left( \delta_{p+s} - \frac{\delta_{j+1} \mu_{p+s}}{\lambda} \right) \mathbf{v}_{p+s} \end{aligned}$$

It follows that  $\mathbf{u}_1, \dots, \mathbf{u}_j, \mathbf{u}_{j+1}, \mathbf{v}_{j+2}, \dots, \mathbf{v}_{p+s}$  constitute a basis for  $\mathcal{W}$ .

- (c) Hence inductively, we deduce that, after relabelling the indices of  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s}$  if necessary, we obtain some basis for  $\mathcal{W}$  over  $\mathbb{R}$ , given by  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4, \mathbf{u}_5, \dots, \mathbf{u}_p, \mathbf{v}_{p+1}, \dots, \mathbf{v}_{p+s}$ .

9. We now complete the argument for the Replacement Theorem.

**Proof of Theorem (7).**

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$  over the reals. Let  $p, q$  be positive integers.

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p, \mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q \in \mathcal{W}$ .

Suppose  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$  constitute a basis for  $\mathcal{W}$  over the reals.

Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent over the reals.

- (a) We verify the inequality  $p \leq q$ , with the help of the method of proof-by-contradiction:

- Suppose it were true that  $p > q$ . (Then  $p \geq q + 1$ .)

[We focus on  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q, \mathbf{u}_{q+1}$ .]

Since  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q$  are just  $q$  column vectors amongst  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ , it would happen that the column vectors  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q$  would be linearly independent over the reals.

Now, by Theorem (7''), we would obtain a basis for  $\mathcal{W}$  over the reals with  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q$  (and some  $q - q = 0$  column vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$ ).

Since  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q$  constituted a basis for  $\mathcal{W}$  over the reals and  $\mathbf{u}_{q+1}$  belongs to  $\mathcal{W}$ , it would happen that  $\mathbf{u}_{q+1}$  would be a linear combination of  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q$  over the reals.

But this is impossible because  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_q, \mathbf{u}_{q+1}$  are linearly independent over the reals.

- (b) Now we have verified that  $p \leq q$ . Write  $s = q - p$ .

According to Theorem (7''),  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ , and some  $s$  column vectors amongst  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{p+s}$  together, constitute a basis for  $\mathcal{W}$  over the reals.

10. We now apply the Replacement Theorem to prove several results.

**Theorem (3). (Uniqueness of ‘size’ of various bases of the same subspace of  $\mathbb{R}^n$  over the reals.)**

Any two bases for a subspace of  $\mathbb{R}^n$  over the reals have the same number of column vectors.

**Theorem (6).**

$\mathbb{R}^n$  is the only  $n$ -dimensional subspace of  $\mathbb{R}^n$  over the reals.

**Theorem (8).**

Let  $\mathcal{V}$  be a  $q$ -dimensional subspace of  $\mathbb{R}^n$  over the reals.

The statements below hold:—

- (a) Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_\ell \in \mathcal{V}$ . Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_\ell$  are linearly independent over the reals.

Then  $\ell \leq q$ .

- (b) For each positive integer  $k$ , any  $q + k$  column vectors belonging to  $\mathcal{V}$  are linearly dependent over the reals.

**Theorem (9).**

Let  $\mathcal{W}$  be a  $q$ -dimensional subspace of  $\mathbb{R}^n$  over the reals.

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p \in \mathcal{W}$ .

Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent over the reals.

Then:—

- (a) the inequality  $p \leq q$  holds, and
- (b) there is some basis for  $\mathcal{W}$  over real constituted by  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ , and some  $q - p$  column vectors belonging to  $\mathcal{W}$ .

**11. Proof of Theorem (3).**

[The idea in the argument is the same as that for Theorem (7).]

Let  $\mathcal{V}$  be a subspace of  $\mathbb{R}^n$  over the reals.

When  $\mathcal{V} = \{\mathbf{0}_n\}$ , the empty set is its one and only one basis, and there is nothing to prove here.

From now on we suppose  $\mathcal{V}$  is not the zero subspace of  $\mathbb{R}^n$  over  $\mathbb{R}^n$ .

Suppose  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p$  constitute a basis for  $\mathcal{V}$  over the reals.

Also suppose  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_{p'}$  also constitute a basis for  $\mathcal{V}$  over the reals.

We verify that  $p = p'$ :—

- Suppose it were true that  $p \neq p'$ .

Without loss of generality, assume  $p < p'$ . Note that  $p + 1 \leq p'$ .

[We focus on  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p, \mathbf{y}_{p+1}$ .]

By assumption,  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p, \mathbf{y}_{p+1}, \dots, \mathbf{y}_{p+s}$  constitute a basis for  $\mathcal{V}$  over the reals.

Then  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p$  are linearly independent over the reals.

Recall that by assumption  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p$  constitute a basis for  $\mathcal{V}$  over the reals.

Therefore, by the Replacement Theorem, we obtain some basis for  $\mathcal{V}$  over the reals, given by  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p$ , (and some  $p - p = 0$  column vectors from amongst  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_p$ ).

Since  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p$  constitute a basis for  $\mathcal{V}$  over the reals and  $\mathbf{y}_{p+1}$  belongs to  $\mathcal{V}$ , the column  $\mathbf{y}_{p+1}$  is a linear combination of  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p$  over the reals.

But this is impossible because  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_p, \mathbf{y}_{p+1}$  are linearly independent over the reals.

Therefore it would be impossible for  $p < p'$  to hold.

[Modifying the argument above, we also show that it is impossible for  $p' < p$  to hold.]

Hence  $p = p'$  in the first place.

**12. Proof of Theorem (6).**

We are going to prove the statement that reads:—

- ‘If  $\mathcal{W}$  is an  $n$ -dimensional subspace of  $\mathbb{R}^n$  over the reals then  $\mathcal{W} = \mathbb{R}^n$ .’

Let  $\mathcal{W}$  be a subspace of  $\mathbb{R}^n$  over the reals. Suppose that  $\dim(\mathcal{W}) = n$ .

By definition, there is some basis for  $\mathcal{W}$  over the reals with  $n$  column vectors with  $n$  real entries, say,  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ .

They are  $n$  linearly independent column vectors, belonging to  $\mathbb{R}^n$ .

Note that  $\mathbf{e}_1^{(n)}, \mathbf{e}_2^{(n)}, \dots, \mathbf{e}_n^{(n)}$  constitute some basis for  $\mathbb{R}^n$ .

Then by the Replacement Theorem,  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ , (and some  $n - n = 0$  column vectors from amongst  $\mathbf{e}_1^{(n)}, \mathbf{e}_2^{(n)}, \dots, \mathbf{e}_n^{(n)}$ ), constitute a basis for  $\mathbb{R}^n$  over the reals.

It follows that  $\mathbb{R}^n = \text{Span}(\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}) = \mathcal{W}$ .

**13. Proof of Theorem (8).**

Let  $\mathcal{V}$  be a  $q$ -dimensional subspace of  $\mathbb{R}^n$  over the reals.

By assumption, we may pick some basis for  $\mathcal{V}$  over the reals with  $q$  column vectors, say,  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$ .

- (a) Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_\ell \in \mathcal{V}$ .

Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_\ell$  are linearly independent over the reals.

Then by the Replacement Theorem,  $\ell \leq q$ .

(b) What we have just verified (under the standing assumption that  $\mathcal{V}$  is a  $q$ -dimensional subspace of  $\mathbb{R}^n$  over the reals) is that:—

( $\star$ ) For any positive integer  $\ell$ , for any  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_\ell \in \mathcal{V}$ , if  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_\ell$  are linearly independent over the reals then  $\ell \leq q$ .

By a purely logical consideration, we may re-formulate ( $\star$ ) as:—

( $\star'$ ) For any positive integer  $m$ , for any  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_m \in \mathcal{V}$ , if  $m > q$  then  $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_m$  are linearly dependent over the reals.

The statement ( $\star''$ ) is a re-formulation of ( $\star'$ ):—

( $\star''$ ) For each positive integer  $k$ , any  $q + k$  column vectors belonging to  $\mathcal{V}$  are linearly dependent over the reals.

#### 14. Proof of Theorem (9).

Let  $\mathcal{W}$  be a  $q$ -dimensional subspace of  $\mathbb{R}^n$  over the reals.

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p \in \mathcal{W}$ .

Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$  are linearly independent over the reals.

By assumption, we may pick some basis of  $\mathcal{W}$  over the reals with  $q$  column vectors with  $n$  real entries, say,  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$ .

Then, by the Replacement Theorem, it follows that:—

(a) the inequality  $p \leq q$  holds, and

(b) there is some basis for  $\mathcal{W}$  over real constituted by  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p$ , and some  $q - p$  column vectors from amongst  $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_q$ , which belong to  $\mathcal{W}$ .