

THE CHINESE UNIVERSITY OF HONG KONG
Department of Mathematics
MATH4060 Complex Analysis 2022-23
Tutorial 9
30th March 2022

1. (Ex.15 Ch.8 in textbook) Here are two properties enjoyed by automorphisms of the upper half plane.

- (a) Suppose Φ is an automorphism of \mathbb{H} that fixes three distinct points on the real axis. Then Φ is the identity.
- (b) Suppose (x_1, x_2, x_3) and (y_1, y_2, y_3) are two pairs of three distinct points on the real axis with

$$x_1 < x_2 < x_3 \text{ and } y_1 < y_2 < y_3$$

Prove that there exists (a unique) automorphism Φ of \mathbb{H} so that $\Phi(x_j) = y_j, j = 1, 2, 3$. The same conclusion holds if $y_3 < y_1 < y_2$ or $y_2 < y_3 < y_1$.

Solution. (a) The fixed points of the automorphism is given by the equation:

$$z = \frac{az + b}{cz + d} \Rightarrow cz^2 + (d - a)z - b = 0$$

The only possible case for this equation having 3 distinct solution is $c = d - a = b = 0$. Thus the automorphism must be identity.

- (b) For uniqueness, if we have two function φ_1 and φ_2 satisfying the condition, we have $\varphi_1 \circ \varphi_2^{-1}$ fixes y_1, y_2, y_3 . Thus by part (a), $\varphi_1 \circ \varphi_2^{-1} = Id \Rightarrow \varphi_1 = \varphi_2$.
 For the existence, Consider the function defined by the equation:

$$\frac{(z - x_2)(x_1 - x_3)}{(z - x_3)(x_1 - x_2)} = \frac{(\Phi(z) - y_2)(y_1 - y_3)}{(\Phi(z) - y_3)(y_1 - y_2)}$$

It is also a linear fractional transformation:

$$\Phi(z) = \frac{(y_3 - y_2t)z - y_3x_2 + x_3y_2t}{(1 - t)z - x_2 + x_3t} \quad \text{where } t = \frac{(y_1 - y_3)(x_1 - x_2)}{(y_1 - y_2)(x_1 - x_3)}$$

Easy to check the function maps (x_1, x_2, x_3) to (y_1, y_2, y_3) . ◀

2. (Ex.16 Ch.8 in textbook) Let

$$f(z) = \frac{i - z}{i + z} \text{ and } f^{-1}(w) = i \frac{1 - w}{1 + w}$$

- (a) Given $\theta \in \mathbb{R}$, find real numbers a, b, c, d so that $ad - bc = 1$, and so that for any $z \in \mathbb{H}$,

$$\frac{az + b}{cz + d} = f^{-1}(e^{i\theta} f(z))$$

- (b) Given $\alpha \in \mathbb{D}$, find real numbers a, b, c, d such that $ad - bc = 1$, and so that for any $z \in \mathbb{H}$,

$$\frac{az + b}{cz + d} = f^{-1}(\psi_\alpha(f(z)))$$

- (c) Prove that if g is an automorphism of the unit disc, then there exist real numbers a, b, c, d such that $ad - bc = 1$ and so that for any $z \in \mathbb{H}$,

$$\frac{az + b}{cz + d} = f^{-1} \circ g \circ f(z).$$

Solution. (a) Since $f, f^{-1}, e^{i\theta}z$ are all linear fractional transformation, we can use the matrix product formula:

$$\begin{aligned} k \begin{pmatrix} a & b \\ c & d \end{pmatrix} &= \begin{pmatrix} -i & i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} e^{i\theta} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & i \\ 1 & i \end{pmatrix} \\ &= 2ie^{i\theta/2} \begin{pmatrix} \cos \theta/2 & \sin \theta/2 \\ -\sin \theta/2 & \cos \theta/2 \end{pmatrix} \\ \text{so } a = d = \cos \theta/2, b = -c = \sin \theta/2. \end{aligned}$$

- (b) Since $f, f^{-1}, \frac{\alpha-z}{1-\bar{\alpha}z}$ are all linear fractional transformation, we can use the matrix product formula:

$$\begin{aligned} k \begin{pmatrix} a & b \\ c & d \end{pmatrix} &= \begin{pmatrix} -i & i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} -1 & \alpha \\ -\bar{\alpha} & 1 \end{pmatrix} \begin{pmatrix} -1 & i \\ 1 & i \end{pmatrix} \\ &= 2 \begin{pmatrix} \operatorname{Im}\alpha & \operatorname{Re}\alpha - 1 \\ \operatorname{Re}\alpha + 1 & -\operatorname{Im}\alpha \end{pmatrix} \end{aligned}$$

the determinant is $1 - |\alpha|^2$. Thus

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \sqrt{\frac{1}{1 - |\alpha|^2}} \begin{pmatrix} \operatorname{Im}\alpha & \operatorname{Re}\alpha - 1 \\ \operatorname{Re}\alpha + 1 & -\operatorname{Im}\alpha \end{pmatrix}$$

- (c) By product rule of linear fractional transformation, the result is just the product of the matrices in (a) and (b). ◀

3. (Ex.19 Ch.8 in textbook) Prove that the complex plane slit along the union of the rays $\cup_{k=1}^n \{A_k + iy : y \leq 0\}$ is simply connected.

Solution. Recall that the definition of simply connected region Ω is for any two curves: γ_1 and γ_2 inside the region starting at the same point and ending at the same point, then γ_1 is homotopic to γ_2 . (i.e exist a continuous map $F(s, t)$ from $[0, 1] \times [0, 1]$ to Ω s.t $F(0, t) = \gamma_1(t)$, $F(1, t) = \gamma_2(t)$, $F(s, 0) = \gamma_1(0) = \gamma_2(0)$ and $F(s, 1) = \gamma_1(1) = \gamma_2(1)$).

Now If we have two curves $\gamma_1(t)$ and $\gamma_2(t)$ as above in $\Omega = \mathbb{C} \setminus \cup_{k=1}^n \{A_k + iy : y \leq 0\}$. Let $M = \max(\max |\operatorname{Im}\gamma_1(t)|, \max |\operatorname{Im}\gamma_2(t)|)$. Then let $\tilde{\gamma}_1(t) := \gamma_1(t) + iM$ and $\tilde{\gamma}_2(t) := \gamma_2(t) + iM$. Then $\tilde{\gamma}_1(t)$ and $\tilde{\gamma}_2(t)$ are completely contained in \mathbb{H} . Thus there

exists a homotopy $\phi(s, t)$ from $\tilde{\gamma}_1(t)$ to $\tilde{\gamma}_2(t)$, Then $\phi(s, t)$ can be directly extended to a homotopy between $\gamma_1(t)$ and $\gamma_2(t)$.

It seems the homotopy functions that I have written in tutorial is not completely correct. If you are interested in it, you can try to give the explicit function by yourself :D. ◀

4. (Additional Exercise) Prove the Caratheodory inequality: Let $f : D(0 : R) \rightarrow \mathbb{C}$ is a holomorphic function, Suppose $r < R$, then we have:

$$\|f\|_r \leq \frac{2r}{R-r} \sup_{|z| \leq R} \operatorname{Re} f(z) + \frac{R+r}{R-r} |f(0)|$$

where

$$\|f\|_r = \max_{|z| \leq r} |f(z)| = \max_{|z|=r} |f(z)|$$

Solution. See wiki: https://en.wikipedia.org/wiki/Borel–Caratheodory_theorem

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