

The second fundamental form

Definition

Let S be the shape operator with respect to a unit normal vector field \mathbf{N} , the second fundamental form $\mathbb{I}\mathbb{I}_p$ of M at p (with respect to \mathbf{N}) is the bilinear form $\mathbb{I}\mathbb{I}_p(\mathbf{v}, \mathbf{w}) = g(\mathcal{S}_p(\mathbf{v}), \mathbf{w}) = \langle \mathcal{S}_p(\mathbf{v}), \mathbf{w} \rangle$.

Proposition

$\mathbb{I}\mathbb{I}_p$ is a symmetric bilinear form on $T_p(M)$.

Proof:

$$\mathbb{I}\mathbb{I}_p(\mathbf{v}, \mathbf{w}) = \langle \mathcal{S}_p(\mathbf{v}), \mathbf{w} \rangle = \langle \mathbf{v}, \mathcal{S}_p(\mathbf{w}) \rangle = \mathbb{I}\mathbb{I}_p(\mathbf{w}, \mathbf{v})$$

because \mathcal{S}_p is self-adjoint.

Coefficients of the second fundamental form

With the same notation as in the previous section of M . Let $\mathbf{N} = \mathbf{X}_u \times \mathbf{X}_v / |\mathbf{X}_u \times \mathbf{X}_v|$.

Definition

The coefficients of the second fundamental form e, f, g at p are defined as:

$$e = \text{III}_p(\mathbf{X}_u, \mathbf{X}_u); f = \text{III}_p(\mathbf{X}_u, \mathbf{X}_v); g = \text{III}_p(\mathbf{X}_v, \mathbf{X}_v).$$

Notation: Suppose we use (u^1, u^2) as coordinates, and $\mathbf{N} = \mathbf{X}_1 \times \mathbf{X}_2 / |\mathbf{X}_1 \times \mathbf{X}_2|$, then the coefficients of the second fundamental form are denoted by

$$h_{11} = \text{III}_p(\mathbf{X}_1, \mathbf{X}_1); h_{12} = \text{III}_p(\mathbf{X}_1, \mathbf{X}_2) = h_{21}; h_{22} = \text{III}_p(\mathbf{X}_2, \mathbf{X}_2).$$

Coefficients of the second fundamental form, cont.

$\mathcal{S}_p(\mathbf{X}_u) = -\frac{\partial}{\partial u}\mathbf{N} = -\mathbf{N}_u$. Hence

$$e = \text{III}_p(\mathbf{X}_u, \mathbf{X}_u) = \langle \mathcal{S}_p(\mathbf{X}_u), \mathbf{X}_u \rangle = -\langle \mathbf{N}_u, \mathbf{X}_u \rangle = \langle \mathbf{N}, \mathbf{X}_{uu} \rangle.$$

Similarly, $f = \langle \mathbf{N}, \mathbf{X}_{uv} \rangle$, $g = \langle \mathbf{N}, \mathbf{X}_{vv} \rangle$.

To compute e, f, g

Proposition

$$\begin{aligned}e &= \langle \mathbf{N}, \mathbf{X}_{uu} \rangle = \frac{\det(\mathbf{X}_u, \mathbf{X}_v, \mathbf{X}_{uu})}{\sqrt{EG - F^2}} \\f &= \langle \mathbf{N}, \mathbf{X}_{uv} \rangle = \frac{\det(\mathbf{X}_u, \mathbf{X}_v, \mathbf{X}_{uv})}{\sqrt{EG - F^2}}; \\g &= \langle \mathbf{N}, \mathbf{X}_{vv} \rangle = \frac{\det(\mathbf{X}_u, \mathbf{X}_v, \mathbf{X}_{vv})}{\sqrt{EG - F^2}}.\end{aligned}$$

Examples

Consider the torus:

$\mathbf{X}(u, v) = ((a + r \cos u) \cos v, (a + r \cos u) \sin v, r \sin u)$. Then

$$\left\{ \begin{array}{l} \mathbf{X}_u = (-r \sin u \cos v, -r \sin u \sin v, r \cos u) \\ \mathbf{X}_v = -(a + r \cos u) \sin v, (a + r \cos u) \cos v, 0) \\ \mathbf{X}_{uu} = (-r \cos u \cos v, -r \cos u \sin v, -r \sin u) \\ \mathbf{X}_{uv} = (r \sin u \sin v, -\sin u \cos v, 0) \\ \mathbf{X}_{vv} = -(a + r \cos u) \cos v, -(a + r \cos u) \sin v, 0) \end{array} \right.$$

So $E = r^2$, $F = 0$, $G = (a + r \cos u)^2$.

$e = \det(\mathbf{X}_u, \mathbf{X}_v, \mathbf{X}_{uu})/r(a + r \cos u) = r$.

$f = 0$, $g = \cos u(a + r \cos u)$.

Matrix of \mathcal{S}_p

Recall: suppose V^2 is vector space V^2 . Let $\beta = \{\mathbf{e}_1, \mathbf{e}_2\}$ be an *ordered* basis for V_2 . Let $\mathbf{v} \in V^2$, then $\mathbf{v} = c_1\mathbf{e}_1 + c_2\mathbf{e}_2$. Then $[c_1, c_2]^T$ as a column vector if called the coordinates of \mathbf{v} w.r.t. β , denoted by $[\mathbf{v}]_\beta$. Let T be a linear map on V^2 . Then

$T(\mathbf{e}_i) = \sum_{j=1}^2 a_i^j \mathbf{e}_j$. Then the matrix of T w.r.t. β is

$[T]_\beta = \begin{pmatrix} a_1^1 & a_1^2 \\ a_2^1 & a_2^2 \end{pmatrix}$. We have $[T(\mathbf{v})]_\beta = [T]_\beta[\mathbf{v}]_\beta$. E.g.

$$[T(\mathbf{e}_1)]_\beta = \begin{pmatrix} a_1^1 & a_1^2 \\ a_2^1 & a_2^2 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} a_1^1 \\ a_2^1 \end{pmatrix}.$$

There are two invariants of T : its determinant and its trace. They are independent of the ordered basis chosen.

Gaussian curvature and mean curvature

Suppose $\mathcal{S}_p(\mathbf{X}_u) = a_1^1 \mathbf{X}_u + a_1^2 \mathbf{X}_v$, $\mathcal{S}_p(\mathbf{X}_v) = a_2^1 \mathbf{X}_u + a_2^2 \mathbf{X}_v$. Then the matrix of \mathcal{S}_p with respect to the ordered basis $\beta = \{\mathbf{X}_u, \mathbf{X}_v\}$ is given by

$$[\mathcal{S}_p]_\beta = \begin{pmatrix} a_1^1 & a_2^1 \\ a_1^2 & a_2^2 \end{pmatrix}$$

Definition

*The Gaussian curvature $K(p)$ of M at p is the determinant of \mathcal{S}_p .
The mean curvature $H(p)$ of M at p is $1/2 \times$ the trace of \mathcal{S}_p .*

Gaussian curvature and mean curvature in local coordinates

Proposition

- ① The matrix of S_p with respect to the ordered basis $\{\mathbf{X}_u, \mathbf{X}_v\}$ is:

$$\begin{pmatrix} a_1^1 & a_1^2 \\ a_2^1 & a_2^2 \end{pmatrix} = \begin{pmatrix} e & f \\ f & g \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1}.$$

- ② The Gaussian curvature $K(p)$ and the mean curvature $H(p)$ of M at p are

$$K(p) = \frac{eg - f^2}{EG - F^2}; H(p) = \frac{1}{2} \frac{eG - 2fF + gE}{EG - F^2}.$$

If we use coordinates (u^1, u^2) and coefficients of the first and second fundamental forms are g_{ij}, h_{ij} , then

$$K(p) = \frac{h_{11}h_{22} - h_{12}^2}{g_{11}g_{22} - g_{12}^2},$$

and

$$H(p) = \frac{1}{2} \frac{h_{11}g_{22} - 2h_{12}g_{12} + h_{22}g_{11}}{g_{11}g_{22} - g_{12}^2}.$$

Two remarks

Remark: (i) Gaussian curvature is invariant under reparametrization. (ii) Mean curvature is invariant under *orientation preserving* reparametrization.

Proof of the proposition

Proof: It is more easy to use parametrization of the form $\mathbf{X}(u^1, u^2)$. Denote $\mathbf{X}_1 = \mathbf{e}_1$, $\mathbf{X}_2 = \mathbf{e}_2$. If the matrix of \mathcal{S}_p w.r.t. this ordered basis β is given above. Then $\mathcal{S}_p(\mathbf{e}_i) = \sum_{j=1}^2 a_i^j \mathbf{e}_j$. Let $g_{ij} = \langle \mathbf{e}_i, \mathbf{e}_j \rangle$ Now $h_{ij} = \langle \mathcal{S}_p(\mathbf{e}_i), \mathbf{e}_j \rangle = \langle \sum_k a_i^k \mathbf{e}_k, \mathbf{e}_j \rangle = \sum_k a_i^k g_{jk}$. Hence $[h_{ij}] = [\mathcal{S}]_{\beta} [g_{ij}]$. So

$$[\mathcal{S}]_{\beta} = [h_{ij}][g_{ij}]^{-1}.$$

Examples

- Let M be a plane. We know that $\mathcal{S}_p = 0$ everywhere. So the Gaussian curvature is 0, the mean curvature is zero.
- Let M be the unit sphere. If we choose \mathbf{N} as before, then \mathcal{S} is negative of the identity. So Gaussian curvature is 1 and mean curvature is -1.
- For the torus, and the choice of normal vector as before, we have $E = r^2, F = 0, G = (a + r \cos u)^2$.
 $e = \det(\mathbf{X}_u, \mathbf{X}_v, \mathbf{X}_{uu})/r(a + r \cos u) = r$.
 $f = 0, g = \cos u(a + r \cos u)$. Hence

$$K = \frac{\cos u}{r(a + r \cos u)}.$$

So $K > 0$ for $-\frac{3}{2}\pi < u < \frac{1}{2}\pi$, $K = 0$ on $u = \frac{1}{2}\pi, -\frac{3}{2}\pi$, $K < 0$ for $\frac{1}{2}\pi < u < \frac{3}{2}\pi$.