

1. **Definition.**

Let  $A, B$  be sets.  $A$  is said to be **of cardinality equal to  $B$**  if there is a bijective function from  $A$  to  $B$ . We write  $A \sim B$ .

**Remark on notation.** Where  $A$  is not of cardinality equal to  $B$ , we write  $A \not\sim B$ .

2. **Theorem (I). (Properties of  $\sim$ .)**

- (1) Let  $A$  be a set.  $A \sim \emptyset$  iff  $A = \emptyset$ .
- (2) Let  $x, y$  be objects.  $\{x\} \sim \{y\}$ .
- (3) Let  $A, B, C$  be sets. The following statements hold:
  - (3a)  $A \sim A$ .
  - (3b) Suppose  $A \sim B$ . Then  $B \sim A$ .
  - (3c) Suppose  $A \sim B$  and  $B \sim C$ . Then  $A \sim C$ .
- (4) Let  $A, B, C, D$  be sets. The following statements hold:
  - (4a) Suppose  $A \sim C$  and  $B \sim D$ . Then  $A \times B \sim C \times D$ .
  - (4b) Suppose  $A \sim C$ . Then  $\mathfrak{P}(A) \sim \mathfrak{P}(C)$ .
  - (4c) Suppose  $A \sim C$  and  $B \sim D$ . Then  $\text{Map}(A, B) \sim \text{Map}(C, D)$ .

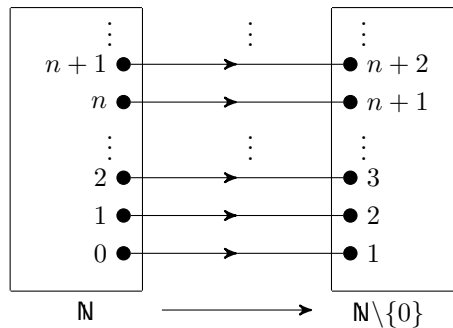
**Remarks.**

- According to (3),  $\sim$  defines an equivalence relation in the power set of any given set.
- In (4),  $\text{Map}(A, B)$  is the set of all functions from  $A$  to  $B$ .

3. **Example ( $\alpha$ ).**

$\mathbb{N} \sim \mathbb{N} \setminus \{0\}$ .

(a) *Idea.*



This is the ‘blobs-and-arrows’ diagram for a certain bijective function, which we denote by  $f$  here, but how to write down this  $f$  explicitly?

It is the function  $f : \mathbb{N} \rightarrow \mathbb{N} \setminus \{0\}$  whose graph is  $\{(x, x + 1) \mid x \in \mathbb{N}\}$  respectively.

Its ‘formula of definition’ is given by  $f(x) = x + 1$  for any  $x \in \mathbb{N}$ .

(b) *Formal argument.*

Let  $F = \{(x, x + 1) \mid x \in \mathbb{N}\}$ .

(Very formally presented, we have  $F = \{p \mid \text{There exists some } x \in \mathbb{N} \text{ such that } p = (x, x + 1)\}$ .)

Note that  $F \subset \mathbb{N} \times (\mathbb{N} \setminus \{0\})$ .

Define  $f = (\mathbb{N}, \mathbb{N} \setminus \{0\}, F)$ .

$f$  is a relation from  $\mathbb{N}$  to  $\mathbb{N} \setminus \{0\}$ .

Now we proceed to verify that  $f$  is a bijective function:

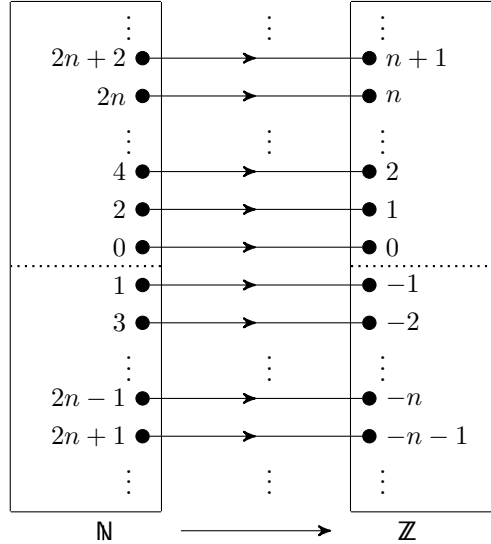
- \* Pick any  $x \in \mathbb{N}$ . Take  $y = x + 1$ . Since  $x, 1 \in \mathbb{N}$ , we have  $y \in \mathbb{N}$ . Moreover,  $y = x + 1 \geq 0 + 1 > 0$ . Then  $y \in \mathbb{N} \setminus \{0\}$ . By definition,  $(x, y) \in F$ .
- \* Pick any  $x \in \mathbb{N}$ . Pick any  $y, z \in \mathbb{N} \setminus \{0\}$ . Suppose  $(x, y) \in F$  and  $(x, z) \in F$ . Since  $(x, y) \in F$ , there exists some  $u \in \mathbb{N}$  such that  $(x, y) = (u, u + 1)$ . Since  $(x, z) \in F$ , there exists some  $v \in \mathbb{N}$  such that  $(x, z) = (v, v + 1)$ . Now we have  $u = x = v$ . Then  $y = u + 1 = v + 1 = z$ .

- \* Hence  $f : \mathbb{N} \rightarrow \mathbb{N} \setminus \{0\}$  is indeed a function, given by  $f(x) = x + 1$  for any  $x \in \mathbb{N}$ .
- \* Pick any  $y \in \mathbb{N} \setminus \{0\}$ . Take  $x = y - 1$ . Since  $y, 1 \in \mathbb{Z}$ , we have  $x \in \mathbb{Z}$ . Since  $y \geq 1$ , we have  $x = y - 1 \geq 0$ . Then  $x \in \mathbb{N}$ . By definition,  $f(x) = x + 1 = (y - 1) + 1 = y$ .
- \* Pick any  $w, x \in \mathbb{N}$ . Suppose  $f(x) = f(w)$ . Then  $x - 1 = w - 1$ . Therefore  $w = x$ .
- \* It follows that  $f$  is a bijective function from  $\mathbb{N}$  to  $\mathbb{N} \setminus \{0\}$ .

4. **Example ( $\beta$ ).**

$\mathbb{N} \sim \mathbb{Z}$ .

(a) *Idea.*



(b) *Formal argument.*

Let  $F_1 = \{(2x, x) \mid x \in \mathbb{N}\}$ ,  $F_2 = \{(2x - 1, -x) \mid x \in \mathbb{N} \setminus \{0\}\}$ , and  $F = F_1 \cup F_2$ .

Note that  $F \subset \mathbb{N} \times \mathbb{Z}$ .

Define  $f = (\mathbb{N}, \mathbb{Z}, F)$ .  $f$  is a relation from  $\mathbb{N}$  to  $\mathbb{Z}$ .

Now verify that  $f$  is a bijective function. (Fill in the details. Theorem (II) may help.)

The ‘formula of definition’ of the bijective function  $f : \mathbb{N} \rightarrow \mathbb{Z}$  is given by

$$f(x) = \begin{cases} \frac{x}{2} & \text{if } x \text{ is even} \\ -\frac{x+1}{2} & \text{if } x \text{ is odd} \end{cases}$$

5. ‘Glueing Lemma’.

**Theorem (II).** (‘Baby version’ of ‘Glueing Lemma’).

Let  $C, C', D, D'$  be sets, and  $g = (C, D, G), g' = (C', D', G')$  be bijective functions. Suppose  $C \cap C' = \emptyset$  and  $D \cap D' = \emptyset$ . Then  $(C \cup C', D \cup D', G \cup G')$  is a bijective function.

**Corollary (III).**

Let  $C, C', D, D'$  be sets. Suppose  $C \sim D$  and  $C' \sim D'$ . Also suppose  $C \cap C' = \emptyset$  and  $D \cap D' = \emptyset$ . Then  $C \cup C' \sim D \cup D'$ .

Theorem (II) and Corollary (III) may be extended to the situation for infinite sequences of sets and generalized unions:

**Theorem (IV).** (‘Glueing Lemma’.)

Let  $A, B$  be sets. Let  $\{C_n\}_{n=0}^\infty, \{D_n\}_{n=0}^\infty$  be infinite sequences of subsets of  $A, B$  respectively. Let  $\{G_n\}_{n=0}^\infty$  be an infinite sequence of subsets of  $A \times B$ . Suppose  $\{(C_n, D_n, G_n)\}_{n=0}^\infty$  is an infinite sequence of bijective functions. Suppose that for any  $j, k \in \mathbb{N}$ , if  $j \neq k$  then  $C_j \cap C_k = \emptyset$  and  $D_j \cap D_k = \emptyset$ . Then  $\left(\bigcup_{n=0}^\infty C_n, \bigcup_{n=0}^\infty D_n, \bigcup_{n=0}^\infty G_n\right)$  is a bijective function.

**Corollary (V).**

Let  $A, B$  be sets. Let  $\{C_n\}_{n=0}^\infty, \{D_n\}_{n=0}^\infty$  be infinite sequences of subsets of  $A, B$  respectively. Suppose that for any  $n \in \mathbb{N}$ ,  $C_n \sim D_n$ . Also suppose that for any  $j, k \in \mathbb{N}$ , if  $j \neq k$  then  $C_j \cap C_k = \emptyset$  and  $D_j \cap D_k = \emptyset$ . Then  $\bigcup_{n=0}^\infty C_n \sim \bigcup_{n=0}^\infty D_n$ .

6. **Example** ( $\gamma$ ).

$\mathbb{N} \sim \mathbb{N}^2$ .

**Remark.** Hence, by Theorem (I) and the result in Example ( $\beta$ ), we have  $\mathbb{N}^m \sim \mathbb{N}$  and  $\mathbb{Z}^m \sim \mathbb{Z}$  for any  $m \in \mathbb{N}^*$ .

(a) *Idea.*

Break up each of  $\mathbb{N}$ ,  $\mathbb{N}^2$  into many many parts, match the parts with bijective functions, and then ‘glue up’ these bijective functions to obtain a bijective function from  $\mathbb{N}$  to  $\mathbb{N}^2$ .

There are many ways to do it.

(b) *Correspondence 1.*

$$\begin{array}{cccc|cccc|c} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & \dots \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \dots \\ (0,0) & (1,0) & (1,1) & (0,1) & (2,0) & (2,1) & (2,2) & (1,2) & (0,2) & \dots \end{array}$$

We have constructed the bijective function  $f_1 : \mathbb{N} \longrightarrow \mathbb{N}^2$  below which ‘matches’ the respective entries at the corresponding positions of the following ‘infinite square-arrays’ to each other:

$$\left| \begin{array}{cccccc|c} 0 & 1 & 4 & 9 & 16 & 25 & \dots \\ 3 & 2 & 5 & 10 & 17 & 26 & \dots \\ 8 & 7 & 6 & 11 & 18 & 27 & \dots \\ 15 & 14 & 13 & 12 & 19 & 28 & \dots \\ 24 & 23 & 22 & 21 & 20 & 29 & \dots \\ 35 & 34 & 33 & 32 & 31 & 30 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right. \longrightarrow \left| \begin{array}{cccccc|c} (0,0) & (1,0) & (2,0) & (3,0) & (4,0) & (5,0) & \dots \\ (0,1) & (1,1) & (2,1) & (3,1) & (4,1) & (5,1) & \dots \\ (0,2) & (1,2) & (2,2) & (3,2) & (4,2) & (5,2) & \dots \\ (0,3) & (1,3) & (2,3) & (3,3) & (4,3) & (5,3) & \dots \\ (0,4) & (1,4) & (2,4) & (3,4) & (4,4) & (5,4) & \dots \\ (0,5) & (1,5) & (2,5) & (3,5) & (4,5) & (5,5) & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right|$$

(c) *Correspondence 2.*

$$\begin{array}{cccc|cccc|c} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & \dots \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \dots \\ (0,0) & (1,0) & (0,1) & (2,0) & (1,1) & (0,2) & (3,0) & (2,1) & (1,2) & (0,3) & \dots \end{array}$$

We have constructed the bijective function  $f_2 : \mathbb{N} \longrightarrow \mathbb{N}^2$  below which ‘matches’ the respective entries at the corresponding positions of the following ‘infinite square-arrays’ to each other:

$$\left| \begin{array}{cccccc|c} 0 & 1 & 3 & 6 & 10 & 15 & \dots \\ 2 & 4 & 7 & 11 & 16 & 22 & \dots \\ 5 & 8 & 12 & 17 & 23 & 30 & \dots \\ 9 & 13 & 18 & 24 & 31 & 39 & \dots \\ 14 & 19 & 25 & 32 & 40 & 49 & \dots \\ 20 & 26 & 33 & 41 & 50 & 60 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right. \longrightarrow \left| \begin{array}{cccccc|c} (0,0) & (1,0) & (2,0) & (3,0) & (4,0) & (5,0) & \dots \\ (0,1) & (1,1) & (2,1) & (3,1) & (4,1) & (5,1) & \dots \\ (0,2) & (1,2) & (2,2) & (3,2) & (4,2) & (5,2) & \dots \\ (0,3) & (1,3) & (2,3) & (3,3) & (4,3) & (5,3) & \dots \\ (0,4) & (1,4) & (2,4) & (3,4) & (4,4) & (5,4) & \dots \\ (0,5) & (1,5) & (2,5) & (3,5) & (4,5) & (5,5) & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right|$$

(d) *Correspondence 3.*

Define  $g : \mathbb{N}^2 \longrightarrow \mathbb{N} \setminus \{0\}$  by  $g(x, y) = 2^y(2x + 1)$  for any  $x, y \in \mathbb{N}$ .  $g$  is a bijective function.  $g$  sets up the following ‘exact correspondence’ from  $\mathbb{N}^2$  to  $\mathbb{N} \setminus \{0\}$ :

$$\left| \begin{array}{cccccc|c} (0,0) & (1,0) & (2,0) & (3,0) & (4,0) & (5,0) & \dots \\ (0,1) & (1,1) & (2,1) & (3,1) & (4,1) & (5,1) & \dots \\ (0,2) & (1,2) & (2,2) & (3,2) & (4,2) & (5,2) & \dots \\ (0,3) & (1,3) & (2,3) & (3,3) & (4,3) & (5,3) & \dots \\ (0,4) & (1,4) & (2,4) & (3,4) & (4,4) & (5,4) & \dots \\ (0,5) & (1,5) & (2,5) & (3,5) & (4,5) & (5,5) & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right. \longrightarrow \left| \begin{array}{cccccc|c} 1 & 3 & 5 & 7 & 9 & 11 & \dots \\ 2 & 6 & 10 & 14 & 18 & 22 & \dots \\ 4 & 12 & 20 & 28 & 36 & 44 & \dots \\ 8 & 24 & 40 & 56 & 72 & 88 & \dots \\ 16 & 48 & 80 & 112 & 144 & 176 & \dots \\ 32 & 96 & 160 & 224 & 288 & 352 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right|$$

Define  $h : \mathbb{N} \setminus \{0\} \longrightarrow \mathbb{N}$  by  $h(w) = w - 1$  for any  $w \in \mathbb{N} \setminus \{0\}$ .  $h$  is a bijective function. Now  $h \circ g$  is a bijective function from  $\mathbb{N}^2$  to  $\mathbb{N}$ , given by  $(h \circ g)(x, y) = 2^y(2x + 1) - 1$  for any  $x, y \in \mathbb{N}$ .

7. **Example** ( $\delta$ ).

Suppose  $I$  is an interval with more than one point. Then  $I \sim \mathbb{R}$ .

• *Outline of argument:*

(a) Suppose  $I$  is ‘finite at both ends’. Deduce:

(a1)  $I \sim [0, 1]$  if  $I$  is closed.

- (a2)  $I \sim [0, 1]$  if  $I$  is half-closed-half-open.
  - (a3)  $I \sim (0, 1)$  if  $I$  is open.
  - (b) Suppose  $I \neq \mathbb{R}$  and  $I$  is not ‘finite at both ends’. Deduce:
    - (b1)  $I \sim [0, +\infty)$  if  $I$  is closed.
    - (b2)  $I \sim (0, +\infty)$  if  $I$  is open.
  - (c) Deduce that  $[0, 1] \sim (0, 1)$ . Similarly deduce that  $[0, 1) \sim (0, 1)$ .
  - (d) Deduce that  $(0, 1) \sim (0, +\infty)$ . Similarly deduce that  $[0, 1) \sim [0, +\infty)$ .
  - (e) Deduce that  $(0, 1) \sim \mathbb{R}$ .
- Respective arguments for (a), (b): Make use of ‘linear functions’.
  - Respective arguments for (d), (e): Make use of ‘rational functions’.
  - Argument for (c)? This is non-trivial.

Argument for (c):

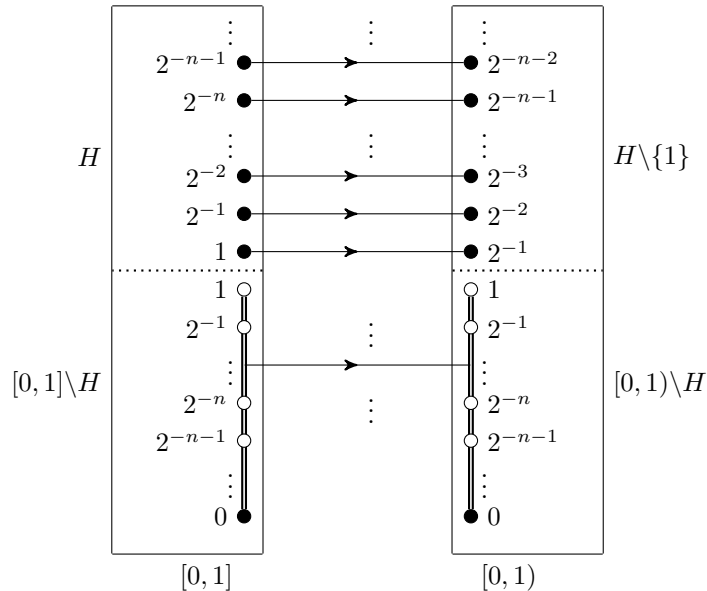
- *Idea.*  
 $[0, 1)$  is almost the whole of  $[0, 1]$  except that it ‘misses’ the point 1. Try to ‘modify’ the identity function from  $[0, 1]$  to  $[0, 1]$  to get a bijective function from  $[0, 1]$  to  $[0, 1)$ .
- *Trick.*  
 Dig many many holes in  $[0, 1]$ ,  $[0, 1)$  at identical positions so that after this digging, what remain of these two sets are the same set.  
 (But what to do with the ‘debris’? Don’t throw them away.)

Take  $H = \left\{ \frac{1}{2^n} \mid n \in \mathbb{N} \right\}$ . It is the set of all terms of the strictly decreasing infinite sequence  $\left\{ \frac{1}{2^n} \right\}_{n=0}^{\infty}$  in  $[0, 1]$ .

Except its zero-th term, every term is in  $(0, 1)$ .

Now draw the ‘blobs-and-arrows diagram’ as described here:

- \* Match 1 in  $[0, 1]$  with  $\frac{1}{2}$  in  $[0, 1)$ . Match  $\frac{1}{2}$  in  $[0, 1]$  with  $\frac{1}{4}$  in  $[0, 1)$ . Match  $\frac{1}{4}$  in  $[0, 1]$  with  $\frac{1}{8}$  in  $[0, 1)$ . ...  
 Match  $\frac{1}{2^n}$  in  $[0, 1]$  with  $\frac{1}{2^{n+1}}$  in  $[0, 1)$ . Match  $\frac{1}{2^{n+1}}$  in  $[0, 1]$  with  $\frac{1}{2^{n+2}}$  in  $[0, 1)$ . Et cetera.
- \* Now note that  $[0, 1] \setminus H = [0, 1) \setminus H$ . So we match these two sets with the identity function.



- *Formal argument.*

Define  $H = \left\{ \frac{1}{2^n} \mid n \in \mathbb{N} \right\}$ . Note that  $[0, 1] \setminus H = [0, 1) \setminus H$ .

Define  $F_1 = \{(x, x) \mid x \in [0, 1] \setminus H\}$  and  $F_2 = \{(x, \frac{x}{2}) \mid x \in H\}$  and  $F = F_1 \cup F_2$ .

Verify that  $f_1 = ([0, 1] \setminus H, [0, 1) \setminus H, F_1)$ ,  $f_2 = (H, H \setminus \{1\}, F_2)$  are bijective functions. (Fill in the detail.)

Define  $f = ([0, 1], [0, 1), F)$ .  $f$  is a relation.  $f$  is a bijective function according to the ‘Glueing Lemma’.

- The argument for  $[0, 1) \sim (0, 1)$  is similar.

8. **Example** ( $\epsilon$ ).

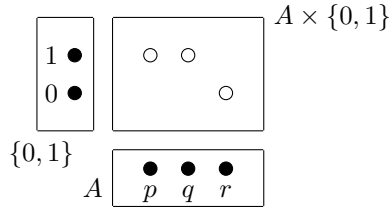
Suppose  $A$  is a set. Then  $\mathfrak{P}(A) \sim \text{Map}(A, \{0, 1\})$ .

(a) *Idea* (through one example).

Let  $A = \{p, q, r\}$ , where  $p, q, r$  are pairwise distinct.

‘Light bulb’ analogy:

- \* Imagine  $p, q, r$  are points on the plane, and a light bulb is fixed at each of  $p, q, r$ .
- \* When a subset  $S$  of  $A$  is named, we turn on the lights at the corresponding elements of  $S$ . The light-bulbs at the elements of  $S$  go to ‘on-state’ (denoted by ‘1’). The ‘light-bulbs’ at the elements of  $A \setminus S$  remain in the ‘off-state’ (denoted by ‘0’). This give an ‘overall state’ of the ‘light bulbs’ in  $A$  according to what  $S$  is.
- \* For instance, when  $S = \{p, q\}$ , the lightbulbs at  $p, q$  are ‘on’ and that at  $r$  remains ‘off’. We may represent this overall state in such a diagram:



- \* Such a diagram is in fact a graph of the function from  $A$  to  $\{0, 1\}$ . (When  $S = \{0, 1\}$ , the function concerned assigns  $p, q, r$  to 1, 1, 0 respectively.)
- \* *Observation.* Each individual element of  $\mathfrak{P}(A)$  corresponds to exactly one ‘overall state’ of the “light-bulbs” in  $A$ . So we have a ‘natural’ ‘exact correspondence’ between the subsets of  $A$  and the functions from  $A$  to  $\{0, 1\}$  (as visualized by their respective graphs).

Subsets of $A$	Functions from $A$ to $\{0, 1\}$ , represented by their graphs	Subsets of $A$	Functions from $A$ to $\{0, 1\}$ , represented by their graphs
$\emptyset$		$\{p, q, r\}$	
$\{p\}$		$\{q, r\}$	
$\{q\}$		$\{p, r\}$	
$\{r\}$		$\{p, q\}$	

(b) *Formal argument.*

Suppose  $A$  is a set. Then  $A = \emptyset$  or  $A \neq \emptyset$ .

If  $A = \emptyset$  then  $(\mathfrak{P}(A) = \{\emptyset\}$  and  $\text{Map}(A, \{0, 1\}) = \{(\emptyset, \{0, 1\}, \emptyset)\}$ . [Done.]

From now on suppose  $A \neq \emptyset$ . For each  $S \in \mathfrak{P}(A)$ , define the function  $\chi_S^A : A \rightarrow \{0, 1\}$  by

$$\chi_S^A(x) = \begin{cases} 1 & \text{if } x \in S \\ 0 & \text{if } x \in A \setminus S. \end{cases}$$

Define the function  $f : \mathfrak{P}(A) \rightarrow \text{Map}(A, \{0, 1\})$  by  $f(S) = \chi_S^A$  for any  $S \in \mathfrak{P}(A)$ .

Verify that  $f$  is bijective. (Fill in the detail.)

**Remark.**  $\chi_S^A$  is called the **characteristic function of the set  $S$  in the set  $A$** .

9. **Example** ( $\zeta$ ).

$$\text{Map}(\mathbb{N}, \{0, 1\}) \sim (\text{Map}(\mathbb{N}, \{0, 1\}))^2.$$

(a) *Idea.*

Each element of  $\text{Map}(\mathbb{N}, \{0, 1\})$  is a function from  $\mathbb{N}$  to  $\{0, 1\}$ , and hence is an infinite sequence in  $\{0, 1\}$ .

Is there any natural ‘exact correspondence’ between infinite sequences in  $\{0, 1\}$  and ordered pairs of such sequences?

- \* Just name any infinite sequence in  $\{0, 1\}$ . For convenience, call it  $\{a_n\}_{n=0}^\infty$ .
- \* What do we obtain from  $\{a_n\}_{n=0}^\infty$  by deleting all terms at ‘odd positions?’, without changing the ordering of the terms?
- \* What do we obtain from  $\{a_n\}_{n=0}^\infty$  by deleting all terms at ‘even positions?’, without changing the ordering of the terms?
- \* Can we recover the original infinite sequence  $\{a_n\}_{n=0}^\infty$  from the two resultant infinite sequences?

What can we say about the function from  $\text{Map}(\mathbb{N}, \{0, 1\})$  to  $(\text{Map}(\mathbb{N}, \{0, 1\}))^2$  defined by

$$(a_0, a_1, a_2, a_3, a_4, a_5, \dots) \mapsto ((a_0, a_2, a_4, \dots), (a_1, a_3, a_5, \dots))$$

for each infinite sequence  $\{a_n\}_{n=0}^\infty$  in  $\{0, 1\}$ ?

(b) *Formal argument.*

Exercise.

**Remarks.** More generally, we have:

- (a)  $\text{Map}(\mathbb{N}, \{0, 1\}) \sim (\text{Map}(\mathbb{N}, \{0, 1\}))^n$  for any  $n \in \mathbb{N} \setminus \{0\}$ .
- (b)  $\text{Map}(\mathbb{N}, B) \sim (\text{Map}(\mathbb{N}, B))^n$  for any  $n \in \mathbb{N} \setminus \{0\}$ , whenever  $B$  is a non-empty set.