

1. **Definition. (Diagonal matrix.)**

Let  $D$  be a  $(n \times n)$ -square matrix, whose  $(i, j)$ -th entry is denoted by  $d_{ij}$ .

The matrix  $D$  is said to be a diagonal matrix if and only if  $d_{ij} = 0$  whenever  $i \neq j$ .

**Remark on notation.**

When  $d_{11} = \alpha_1, d_{22} = \alpha_2, \dots, d_{nn} = \alpha_n$ , we may write  $D = \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_n)$ .

2. **Definition. (Diagonalizability and diagonalization.)**

Let  $A$  be an  $(n \times n)$ -square matrix.

(a) Suppose  $U$  is a non-singular  $(n \times n)$ -square matrix. Then we say that  $U^{-1}AU$  is a diagonalization of  $A$  if and only if  $U^{-1}AU$  is a diagonal matrix.

(b)  $A$  is said to be diagonalizable if and only if there is some non-singular  $(n \times n)$ -square matrix  $T$  such that  $T^{-1}AT$  is a diagonalization of  $A$ .

**Remark.** A diagonalizable matrix may have various diagonalization.

3. Recall the definition for the notions of *eigenvalue* and *eigenvector* from the handout *Eigenvalues and eigenvectors*:

Let  $A$  be an  $(n \times n)$ -square matrix (with real entries). Let  $\lambda$  be a (real) number. Let  $\mathbf{v}$  be a non-zero vector with  $n$  (real) entries.

We say  $\mathbf{v}$  is an eigenvector of  $A$  with eigenvalue  $\lambda$  (or equivalently,  $\lambda$  is an eigenvalue of  $A$  with a corresponding eigenvector  $\mathbf{v}$ ) if and only if  $A\mathbf{v} = \lambda\mathbf{v}$ .

#### 4. **Theorem (C).**

Let  $A$  is an  $(n \times n)$ -square matrix. Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  be vectors in  $\mathbb{R}^n$ , and  $U = [\mathbf{u}_1 | \mathbf{u}_2 | \dots | \mathbf{u}_n]$ .

Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  constitute a basis for  $\mathbb{R}^n$ . (So  $U$  is non-singular.)

Then the statements below are logically equivalent:

- (a)  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  are eigenvectors of  $A$ , with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$  respectively.
- (b)  $U^{-1}AU$  is a diagonal matrix, given by  $U^{-1}AU = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ .

#### 5. **Corollary to Theorem (C).**

Let  $A$  is an  $(n \times n)$ -square matrix.

Suppose  $A$  has  $n$  pairwise distinct eigenvalues. Then  $A$  is diagonalizable.

#### **Proof of Corollary to Theorem (C).**

Each of the  $n$  eigenvalues of  $A$  will correspond to an eigenvector. Since the eigenvalues are pairwise distinct, the  $n$  corresponding eigenvectors will be linearly independent. They will then constitute a basis for  $\mathbb{R}^n$ .

## 6. Examples of diagonalizable matrices and their diagonalizations.

(a) Let  $A = \begin{bmatrix} 13 & 30 \\ -6 & -14 \end{bmatrix}$ , and  $\mathbf{u}_1 = \begin{bmatrix} 5 \\ -2 \end{bmatrix}$ ,  $\mathbf{u}_2 = \begin{bmatrix} 2 \\ -1 \end{bmatrix}$ .

It happens that  $\mathbf{u}_1, \mathbf{u}_2$  are eigenvectors of  $A$  with respective eigenvalues  $1, -2$ .

Since  $\mathbf{u}_1, \mathbf{u}_2$  are eigenvectors of  $A$  with distinct eigenvalues, they are linearly independent.

Then  $\mathbf{u}_1, \mathbf{u}_2$  constitute a basis for  $\mathbb{R}^2$ .

Define  $U = [\mathbf{u}_1 \mid \mathbf{u}_2]$ .

$U$  is nonsingular, and  $U^{-1} = \begin{bmatrix} 1 & 2 \\ -2 & -5 \end{bmatrix}$ .

By direct verification, we see that  $U^{-1}AU = \begin{bmatrix} 1 & 0 \\ 0 & -2 \end{bmatrix}$ , as expected from theory.

(b) Let  $A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$ , and  $\mathbf{u}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\mathbf{u}_2 = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$ ,  $\mathbf{u}_3 = \begin{bmatrix} 3 \\ 4 \\ 2 \end{bmatrix}$ .

It happens that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are eigenvectors of  $A$  with respective eigenvalues 1, 2, 3.

Since  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are eigenvectors of  $A$  with pairwise distinct eigenvalues, they are linear independent.

Then  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  constitute a basis for  $\mathbb{R}^3$ .

Define  $U = [\mathbf{u}_1 \mid \mathbf{u}_2 \mid \mathbf{u}_3]$ .

$U$  is nonsingular, and  $U^{-1} = \begin{bmatrix} 1 & -1 & 1/2 \\ 0 & 1 & -2 \\ 0 & 0 & 1/2 \end{bmatrix}$ .

By direct verification, we see that  $U^{-1}AU = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{bmatrix}$ , as expected from theory.

(c) Let  $A = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$ , and  $\mathbf{u}_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ ,  $\mathbf{u}_2 = \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix}$ ,  $\mathbf{u}_3 = \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$ .

It happens that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are eigenvectors of  $A$  with respective eigenvalues 4, 1, 1.

We can check that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are linear independent. (Fill in the detail.)

Then  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  constitute a basis for  $\mathbb{R}^3$ .

Define  $U = [\mathbf{u}_1 | \mathbf{u}_2 | \mathbf{u}_3]$ .

$U$  is nonsingular, and  $U^{-1} = \begin{bmatrix} 1/3 & 1/3 & 1/3 \\ 1/3 & -2/3 & 1/3 \\ 1/3 & 1/3 & -2/3 \end{bmatrix}$ .

By direct verification, we see that  $U^{-1}AU = \begin{bmatrix} 4 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$ , as expected from theory.

$$(d) \text{ Let } A = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 2 & 1 & 1 & 1 \\ -5 & 2 & 5 & -1 \end{bmatrix}, \text{ and } \mathbf{u}_1 = \begin{bmatrix} 1 \\ -1 \\ 1 \\ -1 \end{bmatrix}, \mathbf{u}_2 = \begin{bmatrix} 1 \\ 5 \\ -1 \\ -5 \end{bmatrix}, \mathbf{u}_3 = \begin{bmatrix} 1 \\ 1 \\ 3 \\ 3 \end{bmatrix}, \mathbf{u}_4 = \begin{bmatrix} 1 \\ -5 \\ -3 \\ 15 \end{bmatrix}.$$

It happens that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4$  are eigenvectors of  $A$  with respective eigenvalues  $1, -1, 3, -3$ . Since  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4$  are eigenvectors of  $A$  with pairwise distinct eigenvalues, they are linear independent.

Then  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4$  constitute a basis for  $\mathbb{R}^4$ .

Define  $U = [\mathbf{u}_1 | \mathbf{u}_2 | \mathbf{u}_3 | \mathbf{u}_4]$ .

$$U \text{ is nonsingular, and } U^{-1} = \begin{bmatrix} 5/8 & -1/4 & 0 & -1/8 \\ 1/4 & 1/8 & -1/8 & 0 \\ 0 & 1/8 & 5/24 & 1/12 \\ 1/8 & 0 & -1/12 & 1/24 \end{bmatrix}.$$

By direct verification, we see that  $U^{-1}AU = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & -3 \end{bmatrix}$ , as expected from theory.

## 7. Non-examples on diagonalizability.

(a) Let  $b$  be a real number, and  $A = \begin{bmatrix} b & 1 & 0 \\ 0 & b & 1 \\ 0 & 0 & b \end{bmatrix}$ , and  $\mathbf{u} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ .

$\mathbf{u}$  is an eigenvector of  $A$  with eigenvalue  $b$ , and every eigenvector of  $A$  is a non-zero scalar multiple of  $\mathbf{u}$ .

Then there is no basis for  $\mathbb{R}^3$  which is constituted by eigenvectors of  $A$ .

Therefore  $A$  is not diagonalizable.

(b) Let  $A = \begin{bmatrix} 1 & 0 & 0 & -1 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$ .

$A$  has no eigenvalues, and hence no eigenvectors.

Then there is no basis for  $\mathbb{R}^4$  which is constituted by eigenvectors of  $A$ .

Therefore  $A$  is not diagonalizable.

## 8. Proof of Theorem (C).

Let  $A$  is an  $(n \times n)$ -square matrix. Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  be vectors in  $\mathbb{R}^n$ , and  $U = [\mathbf{u}_1 | \mathbf{u}_2 | \dots | \mathbf{u}_n]$ .

Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  constitute a basis for  $\mathbb{R}^n$ .

- Suppose  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  are eigenvectors of  $A$ , with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$  respectively.

[Reminder: We want to verify that a diagonalization for  $A$  is given by  $U^{-1}AU = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ .]

Then for each  $j = 1, 2, \dots, n$ , we have  $A\mathbf{u}_j = \lambda_j\mathbf{u}_j$ .

Therefore

$$\begin{aligned} AU &= [A\mathbf{u}_1 | A\mathbf{u}_2 | \dots | A\mathbf{u}_n] \\ &= [\lambda_1\mathbf{u}_1 | \lambda_2\mathbf{u}_2 | \dots | \lambda_n\mathbf{u}_n] \\ &= [\lambda_1U\mathbf{e}_1 | \lambda_2U\mathbf{e}_2 | \dots | \lambda_nU\mathbf{e}_n] \\ &= U [\lambda_1\mathbf{e}_1 | \lambda_2\mathbf{e}_2 | \dots | \lambda_n\mathbf{e}_n] = U \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n) \end{aligned}$$

Since  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  constitutes a basis for  $\mathbb{R}^n$ ,  $U$  is non-singular and invertible. The matrix  $U^{-1}$  is well-defined.

Then  $U^{-1}AU = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ , which is a diagonal matrix.



- Suppose  $U^{-1}AU$  is a diagonal matrix, given by  $U^{-1}AU = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ .  
[Reminder: We want to verify that for each  $j$ ,  $\mathbf{u}_j$  is an eigenvector of  $A$  with eigenvalue  $\lambda_j$ .]

Then

$$\begin{aligned} [ \mathbf{A}\mathbf{u}_1 \mid \mathbf{A}\mathbf{u}_2 \mid \cdots \mid \mathbf{A}\mathbf{u}_n ] &= AU = U \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n) \\ &= U [ \lambda_1 \mathbf{e}_1^{(n)} \mid \lambda_2 \mathbf{e}_2^{(n)} \mid \cdots \mid \lambda_n \mathbf{e}_n^{(n)} ] \\ &= [ \lambda_1 U \mathbf{e}_1^{(n)} \mid \lambda_2 U \mathbf{e}_2^{(n)} \mid \cdots \mid \lambda_n U \mathbf{e}_n^{(n)} ] \\ &= [ \lambda_1 \mathbf{u}_1 \mid \lambda_2 \mathbf{u}_2 \mid \cdots \mid \lambda_n \mathbf{u}_n ] \end{aligned}$$

Therefore, for each  $j = 1, 2, \dots, n$ , we have  $\mathbf{A}\mathbf{u}_j = \lambda_j \mathbf{u}_j$ .

Hence  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  are eigenvectors of  $A$ , with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$  respectively.

**9. Lemma (1).**

*Suppose  $A$  is an  $(n \times n)$ -square matrix.*

*Then  $A$  is singular if and only if  $0$  is an eigenvalue of  $A$ .*

*Furthermore, if  $A$  is singular then every non-zero vector in  $\mathcal{N}(A)$  is an eigenvector of  $A$  with eigenvalue  $0$ .*

**Remark.**

When  $\dim(\mathcal{N}(A)) \geq 2$ , we do not expect any two arbitrary non-zero vectors in  $\mathcal{N}(A)$  to be scalar multiples of each other. This result reminds us that we should not expect eigenvectors corresponding to the same eigenvalue of  $A$  to be non-zero scalar multiples of each other.

**10. Lemma (2).**

*Let  $A$  be an  $(n \times n)$ -square matrix.*

*Suppose  $\lambda$  is a real number. Then the statements below hold:*

(a)  *$\lambda$  is an eigenvalue of  $A$  if and only if  $A - \lambda I_n$  is singular.*

(b) *Now suppose  $\lambda$  is an eigenvalue of  $A$  indeed.*

*Then for any non-zero vector  $\mathbf{x}$  in  $\mathbb{R}^n$ ,  $\mathbf{x}$  is an eigenvector of  $A$  with eigenvalue  $\lambda$  if and only if  $\mathbf{x} \in \mathcal{N}(A - \lambda I_n)$ .*

11. **Definition. (Eigenspace.)**

*Let  $A$  be an  $(n \times n)$ -square matrix.*

*Suppose  $\lambda$  be an eigenvalue of  $A$ .*

*Then  $\mathcal{N}(A - \lambda I_n)$  is called the eigenspace of  $A$  with eigenvalue  $\lambda$ .*

*It is denoted by  $\mathcal{E}_A(\lambda)$ .*

*The dimension of  $\mathcal{E}_A(\lambda)$  is called the geometric multiplicity of the eigenvalue  $\lambda$  of  $A$ .*

## 12. Theorem (D).

Let  $A$  is an  $(n \times n)$ -square matrix.

Suppose  $\lambda_1, \lambda_2, \dots, \lambda_p$  are all the eigenvalues of  $A$ , pairwise distinct.

Then the statements below are logically equivalent:

- (a)  $A$  is diagonalizable.
- (b) The sum of the respective geometric multiplicities of  $\lambda_1, \lambda_2, \dots, \lambda_p$ , as eigenvalues of  $A$ , equals  $n$ .

Now suppose  $A$  is indeed diagonalizable.

For each  $k = 1, 2, \dots, p$ , write  $\dim(\mathcal{E}_A(\lambda_k)) = n_k$ , and suppose that  $\mathbf{v}_{k,1}, \mathbf{v}_{k,2}, \dots, \mathbf{v}_{k,n_k}$  constitute a basis for  $\mathcal{E}_A(\lambda_k)$ . Then a basis for  $\mathbb{R}^n$  is constituted by

$$\begin{aligned} &\mathbf{v}_{1,1}, \mathbf{v}_{1,2}, \dots, \mathbf{v}_{1,n_1}, \\ &\mathbf{v}_{2,1}, \mathbf{v}_{2,2}, \dots, \mathbf{v}_{2,n_2}, \\ &\quad \vdots \\ &\mathbf{v}_{p,1}, \mathbf{v}_{p,2}, \dots, \mathbf{v}_{p,n_p}. \end{aligned}$$

**Proof.** Omitted. (The argument is not difficult at a conceptual level; we can certainly give it within the context of this course. However it will be tedious unless we introduce the notion of *direct sum*.)

### 13. Illustration of the content of Theorem (D).

(a) Let  $A = \begin{bmatrix} 13 & 30 \\ -6 & -14 \end{bmatrix}$ , and  $\mathbf{u}_1 = \begin{bmatrix} 5 \\ -2 \end{bmatrix}$ ,  $\mathbf{v}_1 = \begin{bmatrix} 2 \\ -1 \end{bmatrix}$ .

It happens that  $\mathbf{u}_1, \mathbf{u}_2$  are eigenvectors of  $A$  with respective eigenvalues  $1, -2$ .

The only eigenspaces of  $A$  are  $\mathcal{E}_A(1), \mathcal{E}_A(-2)$ .

The dimension of  $\mathcal{E}_A(1)$  is 1, with a basis given by  $\mathbf{u}_1$ .

The dimension of  $\mathcal{E}_A(-2)$  is 1, with a basis given by  $\mathbf{u}_2$ .

Since  $\dim(\mathcal{E}_A(1)) + \dim(\mathcal{E}_A(-2)) = 2 = \dim(\mathbb{R}^2)$ ,  $A$  is expected to be diagonalizable.

A diagonalization of  $A$  is given by  $U^{-1}AU = \text{diag}(1, -2)$ , in which  $U = [\mathbf{u}_1 \mid \mathbf{u}_2]$ .

(b) Let  $A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$ , and  $\mathbf{u}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ ,  $\mathbf{u}_2 = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}$ ,  $\mathbf{u}_3 = \begin{bmatrix} 3 \\ 4 \\ 2 \end{bmatrix}$ .

It happens that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are eigenvectors of  $A$  with respective eigenvalues 1, 2, 3.

The only eigenspaces of  $A$  are  $\mathcal{E}_A(1), \mathcal{E}_A(2), \mathcal{E}_A(3)$ .

The dimension of  $\mathcal{E}_A(1)$  is 1, with a basis given by  $\mathbf{u}_1$ .

The dimension of  $\mathcal{E}_A(2)$  is 1, with a basis given by  $\mathbf{u}_2$ .

The dimension of  $\mathcal{E}_A(3)$  is 1, with a basis given by  $\mathbf{u}_3$ .

Since  $\dim(\mathcal{E}_A(1)) + \dim(\mathcal{E}_A(2)) + \dim(\mathcal{E}_A(3)) = 3 = \dim(\mathbb{R}^3)$ ,  $A$  is expected to be diagonalizable.

A diagonalization of  $A$  is given by  $U^{-1}AU = \text{diag}(1, 2, 3)$ , in which  $U = [\mathbf{u}_1 \mid \mathbf{u}_2 \mid \mathbf{u}_3]$ .

(c) Let  $A = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$ , and  $\mathbf{u}_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ ,  $\mathbf{u}_2 = \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix}$ ,  $\mathbf{u}_3 = \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$ .

It happens that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  are eigenvectors of  $A$  with respective eigenvalues 4, 1, 1.

The only eigenspaces of  $A$  are  $\mathcal{E}_A(4), \mathcal{E}_A(1)$ .

The dimension of  $\mathcal{E}_A(4)$  is 1, with a basis given by  $\mathbf{u}_1$ .

The dimension of  $\mathcal{E}_A(1)$  is 2, with a basis given by  $\mathbf{u}_2, \mathbf{u}_3$ .

Since  $\dim(\mathcal{E}_A(4)) + \dim(\mathcal{E}_A(1)) = 3 = \dim(\mathbb{R}^3)$ ,  $A$  is expected to be diagonalizable.

A diagonalization of  $A$  is given by  $U^{-1}AU = \text{diag}(4, 1, 1)$ , in which  $U = [\mathbf{u}_1 \mid \mathbf{u}_2 \mid \mathbf{u}_3]$ .

$$(d) \text{ Let } A = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 2 & 1 & 1 & 1 \\ -5 & 2 & 5 & -1 \end{bmatrix}, \text{ and } \mathbf{u}_1 = \begin{bmatrix} 1 \\ -1 \\ 1 \\ -1 \end{bmatrix}, \mathbf{u}_2 = \begin{bmatrix} 1 \\ 5 \\ -1 \\ -5 \end{bmatrix}, \mathbf{u}_3 = \begin{bmatrix} 1 \\ 1 \\ 3 \\ 3 \end{bmatrix}, \mathbf{u}_4 = \begin{bmatrix} 1 \\ -5 \\ -3 \\ 15 \end{bmatrix}.$$

It happens that  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4$  are eigenvectors of  $A$  with respective eigenvalues  $1, -1, 3, -3$ .

The only eigenspaces of  $A$  are  $\mathcal{E}_A(1), \mathcal{E}_A(-1), \mathcal{E}_A(3), \mathcal{E}_A(-3)$ .

The dimension of  $\mathcal{E}_A(1)$  is 1, with a basis given by  $\mathbf{u}_1$ .

The dimension of  $\mathcal{E}_A(-1)$  is 1, with a basis given by  $\mathbf{u}_2, \mathbf{u}_3$ .

The dimension of  $\mathcal{E}_A(3)$  is 1, with a basis given by  $\mathbf{u}_3$ .

The dimension of  $\mathcal{E}_A(-3)$  is 1, with a basis given by  $\mathbf{u}_4, \mathbf{u}_3$ .

Since  $\dim(\mathcal{E}_A(1)) + \dim(\mathcal{E}_A(-1)) + \dim(\mathcal{E}_A(3)) + \dim(\mathcal{E}_A(-3)) = 4 = \dim(\mathbb{R}^4)$ ,  $A$  is expected to be diagonalizable.

A diagonalization of  $A$  is given by  $U^{-1}AU = \text{diag}(1, -1, 3, -3)$ , in which  $U = [\mathbf{u}_1 \mid \mathbf{u}_2 \mid \mathbf{u}_3 \mid \mathbf{u}_4]$



(e) Let  $b$  be a real number, and  $A = \begin{bmatrix} b & 1 & 0 \\ 0 & b & 1 \\ 0 & 0 & b \end{bmatrix}$ , and  $\mathbf{u} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$ .

$b$  is the only eigenvalue of  $A$ , and every eigenvector of  $A$  is a non-zero scalar multiple of  $\mathbf{u}$ .

The only eigenspace of  $A$  is  $\mathcal{E}_A(b)$ , which is of dimension 1.

Therefore  $A$  is not diagonalizable.

(f) Let  $A = \begin{bmatrix} 1 & 0 & 0 & -1 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$ .

$A$  has no eigenvalues, and hence no eigenspace.

Therefore  $A$  is not diagonalizable.

14. **Theorem (3).**

Let  $A$  be an  $(n \times n)$ -square matrix.

Suppose  $A$  is diagonalizable, with a diagonalization  $U^{-1}AU = D$ , for some non-singular  $(n \times n)$ -square matrix  $U$  and for some  $(n \times n)$ -diagonal matrix  $D$ .

Then the statements below hold:

(a) For each positive integer  $p$ ,  $A^p$  is diagonalizable, with a diagonalization given by  $U^{-1}A^pU = D^p$ .

(b) Suppose  $A$  is non-singular.

Then  $D$  is non-singular, and  $A^{-1}$  is diagonalizable, with a diagonalization given by  $U^{-1}A^{-1}U = D^{-1}$ .

**Proof of Theorem (3).** Exercise.

**Remark.** This result tells us that when  $A$  is diagonalizable, it will be easy to find its positive powers. (Why? Because it is easy to find the positive powers of a diagonal matrix.)