

# Topology of Lie groups + loop groups. (Conan)

Ref: Bott Harvard lectures 1992.

2018 S.

## § Topology of compact Lie groups.

- $G$  compact Lie group
- $\mathcal{L}G = \text{Map}(S^1, G)$  loop group.

Eg.  $SO(n) = \text{Aut}(\mathbb{R}^n, g)^\circ$        $G_2, F_4,$   
 $U(n) = \text{Aut}(\mathbb{C}^n, g)$        $E_6, E_7, E_8$   
 $Sp(n) = \text{Aut}(\mathbb{H}^n, g)$       exceptional Lie gp.  
 $(\sim \text{D})$

Up to product & finite covers, these are ALL of them.

- $m: G \times G \rightarrow G$  group multiplication.
- $m^*: H^*(G) \rightarrow H^*(G) \otimes H^*(G)$
- $m^*x = 1 \otimes x + \sum_{\substack{i+j=\deg x \\ i,j \geq 1}} g_i^i \otimes g_j^j + x \otimes 1$
- $(\because g \mapsto (e, g) \xrightarrow{m} g)$

Claim:  $G \neq S^2$

Pf: Otherwise,  $H^*(S^2) = \mathbb{Q}\langle 1, x \rangle$  w/  $x \in H^1$

$$\begin{aligned} m^*(1) &= 1 \otimes 1 \\ m^*(x) &= 1 \otimes x + x \otimes 1 \\ \text{Note } x^2 &= 0 \quad (\because \dim S^2 = 2) \\ 0 = m^*(x^2) &= (m^*x)^2 \\ &= (1 \otimes x + x \otimes 1)^2 \\ &= 2x \otimes x \neq 0 \quad (\cancel{*}) \end{aligned}$$

Similarly,  $G \neq S^{2n}$

Extension of this argument by induction gives  
Theorem (Hopf).

$$(1) H^*(G)_{\mathbb{Q}} = H^*(S^{2d_1+1} \times S^{2d_2+1} \times \dots)$$

$$(2) H^*(G)_{\mathbb{Q}} = \bigwedge^* \text{Prim}(G)$$

$$(\underset{\psi}{x} \text{ primitive} \iff m^* x = 1 \otimes x + x \otimes 1)$$

$$\text{Adams: } G = S^n \iff n = 1 \text{ or } 3.$$

$$\text{i.e. } U(1) = SO(2) \text{ or } Sp(1) = SU(2) = \text{Spin}(3)$$

- $\pi_1(G)$  Abelian.

[reason: composition of 2 loops  
= pointwise multi. of 2 loops in  $\pi_1$ ]

$$\alpha * \beta \sim \alpha(x) \cdot \beta(x) \sim \beta * \alpha$$

$$U(n) \rightarrow U(n+1)$$

$$\downarrow \quad \quad \quad S^{2n+1} \xrightarrow[\text{up to dim. } 2n]{\text{pt.}}$$

$$\xrightarrow{\pi_F \rightarrow \pi_E \rightarrow \pi_B} \pi_k(U(n)) \xrightarrow{\cong} \pi_k(U(n+1)), \quad k \leq 2n$$

$$\rightsquigarrow \pi_k(U) \triangleq \lim_{n \rightarrow \infty} \pi_k(U(n)).$$

Same for  $G = U(n), SO(n), Sp(n)$ .

$$\text{Cor } ST(n, k) := \frac{U(n+k)}{U(k)} \underset{\dim s \ll k}{\overset{\text{up to}}{\sim}} pt$$

- $U(n) \rightarrow \frac{U(n+k)}{U(k)}$

$\downarrow$

$$\frac{U(n+k)}{U(n)U(k)} = Gr_{\mathbb{C}}(n, n+k)$$

This is an approximation to the universal  $U(n)$ -bundle, i.e. given any principal bundle,  $U(n) \rightarrow E \rightarrow M^d$ ,  $\exists k$

$$\exists ! \text{ (up to homotopy)} \quad f : M \rightarrow Gr_{\mathbb{C}}(n, n+k)$$

s.t.

$$\begin{array}{ccc} E & \xrightarrow{\quad} & U(n+k)/U(k) \\ \downarrow & \square & \downarrow \\ M & \xrightarrow[f]{} & Gr_{\mathbb{C}}(n, n+k) \end{array}$$

Namely,  $\{U(n)\text{-bdl}/M\}/\cong \xleftrightarrow{\sim} [M, Gr_{\mathbb{C}}(n, n+k)]$

for  $k \gg 0$

$$U(n) \rightarrow \lim_{k \rightarrow \infty} \frac{U(n+k)}{U(k)} =: EU(n) \xrightarrow{\text{h.e.}} pt.$$

$\downarrow$

$$\lim_{k \rightarrow \infty} Gr_{\mathbb{C}}(n, n+k) =: BU(n)$$

Grassmannian of  $n$ -planes in Hilbert space

(( $U(n)$ -bdl.)) is representable by  $BU(n)$ .

i.e.  $\{U(n)\text{-bdl}/M\}/\cong \longleftrightarrow [M, BU(n)]$

$$\bullet \quad G \longrightarrow EG \xrightarrow{\quad} BG$$

$\downarrow$   
 $*$

$$\Rightarrow \pi_*(BG) = \pi_{*-1}(G)$$

(such de-looping is not easy in general.)

$$\bullet \quad \pi_*(\Omega X) = \pi_{\substack{*+1 \\ \text{num}}}(X) \quad \forall X$$

reason:  $\Omega_p X \longrightarrow P_p X \xrightarrow{\text{ev}} X$  loop fibration

$\downarrow$   
 $*$

$$P \curvearrowright x \mapsto x$$

$$\bullet \quad \Omega_p X \longrightarrow \mathcal{L} X \longrightarrow X \quad (\text{a Serre fib.} \Rightarrow \text{spectral seq. } \checkmark)$$

$$P \curvearrowright \begin{array}{c} x \\ \circlearrowleft \\ Q \end{array} \mapsto x$$

$\exists$  section (i.e. const. loops).

When  $X = G$

$$\mathcal{L} G \xrightarrow{\sim} \Omega_e G \times G$$

$$\gamma(t) \mapsto ((\gamma(0))^{-1} \cdot \gamma(t), \gamma(0))$$

$$\Rightarrow \pi_*(\mathcal{L} G) = \pi_{*+1}(G) \oplus \pi_*(G)$$

$$G \text{ cpt} \Rightarrow \pi_2(G) = 0 \quad (\pi_3(G) = \mathbb{Z} \text{ (if simple)})$$

$$\text{If } \pi_1(G) = 0 \Rightarrow \pi_1(\mathcal{L} G) = 0$$

$$\pi_2(\mathcal{L} G) = \pi_3(G) \neq 0$$

$\Rightarrow \exists$  (interesting) line bundle /  $\mathcal{L} G$ .

•  $\mathcal{L}G$  is a group under pointwise multi.

Cor.  $H^*(\mathcal{L}G)$  is a Hopf algebra.

$$H^* \xrightarrow{m^*} H^* \otimes H^*$$

If  $x$  primitive, i.e.  $m^*x = 1 \otimes x + x \otimes 1$ .

$x \in H^2 \Rightarrow x^k \neq 0$ ,  $k > 0$  (okay ::  $\dim = \infty$ )

$$\Rightarrow H^*(\mathcal{L}G) = \Lambda^*(\text{Prim}) \otimes S^*(\text{Prim}(-1))$$

§ Geometry of compact Lie groups.

$$G \times G \rightarrow G \leftrightarrow G \curvearrowright G \quad \text{left multi.}$$

$$\rightsquigarrow \text{Adjoint action} \quad G \curvearrowright G$$

$$\text{Ad}(g)h = ghg^{-1}$$

$\text{Ad}$ -action fixes  $e \in G$

$$\Rightarrow \text{Ad}: G \curvearrowright T_e G = \{\text{left inv. vector fields on } G\} \\ = \mathfrak{g} := \Gamma(G, T_G)^{G_L}$$

$$\left[ X, Y \in \Gamma(T_G)^{G_L} \Rightarrow [X, Y] \in \Gamma(T_G)^{G_L} \right]$$

$$\rightsquigarrow [\ , \ ]: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g} \quad \text{Lie algebra.}$$

$G$  compact  $\xrightarrow{k} \exists$  left & right inv. metric on  $G$

$\Rightarrow \exists$   $\text{Ad}$ -inv. inner product on  $\mathfrak{g}$   
i.e.  $\langle [X, Y], Z \rangle = \langle X, [Y, Z] \rangle$

$\Rightarrow G \curvearrowright \mathfrak{g}$  same as  $G \curvearrowright \mathfrak{g}^*$

adjoint-action  $\equiv$  coadjoint action

Eg. of adj - orbit.

$$G = SO(3) \curvearrowright \mathfrak{g} = \mathbb{R}^3 \quad [X, Y] = X \times Y$$

Ad-action  $\equiv$  usual rotations.

Ad-orbits :  $S^2(r)$  or  $\{0\}$

- Every line meets  $S^2(r)$  orthogonally at 2 pts.  
Cartan subalg.

- $\text{Ad} : G \longrightarrow GL(\mathfrak{g}) \xrightarrow{\text{linearize}}$

$$\text{ad} = d(\text{Ad}) : \mathfrak{g} \longrightarrow \mathfrak{gl}(\mathfrak{g})$$

Fact :  $\text{ad } x(y) = [x, y]$

- $x \in \mathfrak{g} \rightsquigarrow \mathfrak{g} \xrightarrow{\text{ad } x} \mathfrak{g}$   
 $\text{U.I.} \quad \text{U.I.}$   
 $\underbrace{\text{Ker(ad } x)}$        $\underbrace{\text{Im(ad } x)}$   
denote       $\mathfrak{g}_x \quad \mathfrak{g}^x$

Prop.  $\mathfrak{g} = \mathfrak{g}_x \overset{\perp}{\oplus} \mathfrak{g}^x$

Pf.  $\langle \mathfrak{g}_x, \mathfrak{g}^x \rangle \stackrel{\text{def}^n \text{ of } \mathfrak{g}^x}{=} \langle \mathfrak{g}_x, [x, \mathfrak{g}] \rangle$   
 $\stackrel{\text{ad-inv. metric}}{=} \langle [\mathfrak{g}_x, x], \mathfrak{g} \rangle$   
 $\stackrel{\text{def}^n \text{ of } \mathfrak{g}_x}{=} 0$

dim count  $\Rightarrow$  Done

Def:  $x \in \mathfrak{g}$  regular if  $\dim \mathfrak{g}_x$  minimum.

call such a  $\mathfrak{g}_x \leq \mathfrak{g}$  Cartan subalg.

( $\dim \text{Ker}$  is upper semi-cts.  $\Rightarrow$  generic  $x$  is regular)

Prop.  $\mathfrak{O}_x$  Cartan  $\implies$  Abelian

Pf.

- $z \in \mathfrak{O}_x$  (i.e.  $[x, z] = 0$ )
- $\implies [x, x + tz] = 0 \xrightarrow{\text{Jacobi id.}} [\text{ad}_x, \text{ad}_{x+tz}] = 0$
- $\implies \text{ad}_{x+tz}$  preserves decompos.  $\mathfrak{O} = \mathfrak{O}_x \oplus \mathfrak{O}^x$   
ev. for  $\text{ad}_x : 0 \neq 0$
- $\implies$  For small  $t$ ,  $\text{ad}_{x+tz} : \mathfrak{O}^x \rightleftarrows$  non-sing.
- $\implies \mathfrak{O}_{x+tz} \subseteq \mathfrak{O}_x$
- $\implies \mathfrak{O}_{x+tz} = \mathfrak{O}_x \quad (\because x \text{ regular})$

If  $\mathfrak{O}_x$  NOT Abelian, i.e.  $\exists y, z \in \mathfrak{O}_x, [y, z] \neq 0$

- $\implies [y, x + tz] \neq 0 \quad (\because [y, x] = 0)$
- $\implies y \in \mathfrak{O}_x \setminus \mathfrak{O}_{x+tz} \quad (\text{---} \times \text{---})$ .

Ex. Cartan subalg.  $\iff$  max. Abelian subalg.

Let  $\mathfrak{O} \ni O_y := \{gyg^{-1} \mid g \in G\}$  Ad-orbit.

$f_x : O_y \longrightarrow \mathbb{R}$  (really a linear function on  $\mathfrak{O}$ )  
 $f_x(z) = \langle x, z \rangle$

Claim:  $\text{Crit}(f_x) = O_y \cap \mathfrak{O}_x$ .  
(always  $\perp$  intersections).

$z \in \text{Crit}(f_x)$

- $\iff 0 = \frac{d}{dt} \Big|_{t=0} \langle e^{tu} z e^{-tu}, x \rangle \quad \forall u \in \mathfrak{O}$
- $= \langle [u, z], x \rangle = -\langle z, \underbrace{[u, x]}_{\mathfrak{O}^x} \rangle$
- $\iff z \perp \mathfrak{O}^x \iff z \in \mathfrak{O}_x$ .

Cor : Any 2 Cartan subalg. are conjugate.

( $\because$  any 2 elts in  $\mathfrak{Q}_x$  are conj. to each other.)

Cor : Every  $y \in \mathfrak{g}$  is conjugate to an elt. in  $\mathfrak{g}_{\mathbf{x}}$

[Conj.Thm] ( $\because \langle - , x \rangle$  must have a max. on  $\mathfrak{Q}_x$ )

Eg.  $U(n) \xrightarrow[\text{Ad=Conjugat}^n]{} u(n) = \{ \text{skew-Herm. matrices} \}$

$\xrightarrow{} iu(n) = \{ \text{Herm. matrices} \}$

Choose  $x = \text{diag}(\lambda_1, \dots, \lambda_n) \in iu(n)$

$x$  regular  $\Leftrightarrow \lambda_i$ 's distinct  $\Rightarrow \mathfrak{g}_x = \text{Diag} \cap iu(n)$

Cor  $\leftrightarrow$  any Hermitian matrix is diagonalizable.

(in fact, unique up to permutat<sup>n</sup> ~ Weyl group)

Coadj. orbit  $\text{Ad}(G) \cdot x = G/G_x \xleftarrow{\text{stabilizer}}$

- (Fact) homog. complex manifold

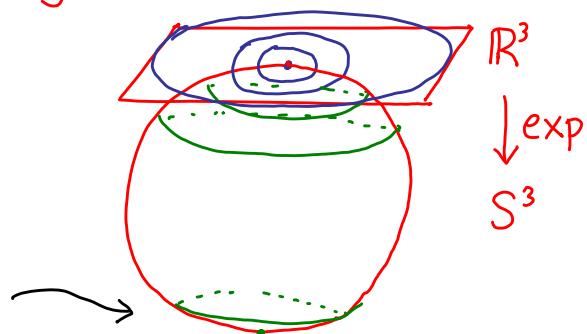
- generically  $G/T$

Topology is tractible.

Remark: ad-orbits in  $\mathfrak{g}$   $\sim$  Ad-orbits in  $G$  near  $e$ .

Eg.  $SU(2) = S^3$

far away orbit will  
hit antipodal point.



Remark: Noncompact eg.  $SL(2, \mathbb{R})$

$\exists$  adj. inv. non-degen. inner product on  $\mathfrak{g}$

$\forall$  Lie alg.  $\mathfrak{g} \rightsquigarrow$  Killing form  $\kappa: \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathbb{R}$

$$\kappa(x, y) := \text{Tr}_{\mathfrak{g}}(\text{ad}_x \circ \text{ad}_y)$$

$G$  semisimple  $\overset{\text{def}^n}{\iff} \kappa$  non-degenerate

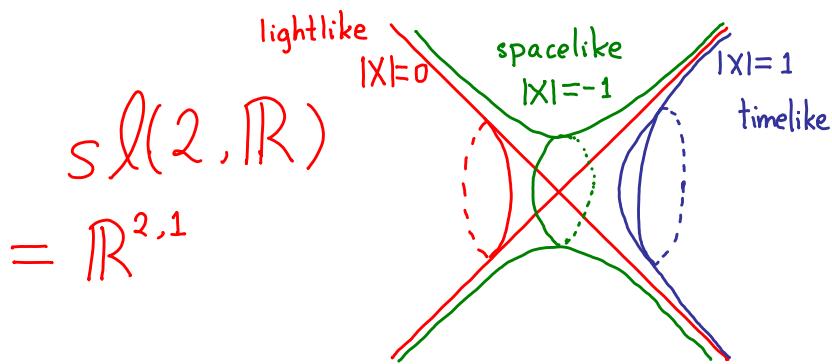
Eg.  $SL(n, \mathbb{R})$  semi-simple.

$$sl(2, \mathbb{R}) \ni X = \begin{pmatrix} a & b \\ c & -a \end{pmatrix}$$

$$\kappa(X, X) \equiv |X|^2 \stackrel{\text{(up to scalar)}}{=} -\det X = a^2 + bc$$

$$\text{i.e. } (sl(2, \mathbb{R}), \kappa) \cong \mathbb{R}^{2,1}$$

ad-orbit  $\longleftrightarrow \{ |X| = r \}$  hyperboloid.



No conj. thm. for  $sl(2, \mathbb{R})$ .

$\exists$  conj. thm. on timelike region ( $\because f_x$  has max.)

# Global picture of $SL(2, \mathbb{R})$

$$SL(2, \mathbb{R}) \xrightarrow{\frac{az+b}{cz+d}} \underline{/\!/; \cdot \cdot \cdot / \! /} \simeq \textcircled{/\!/; \cdot \cdot \cdot / \! /}$$

VI

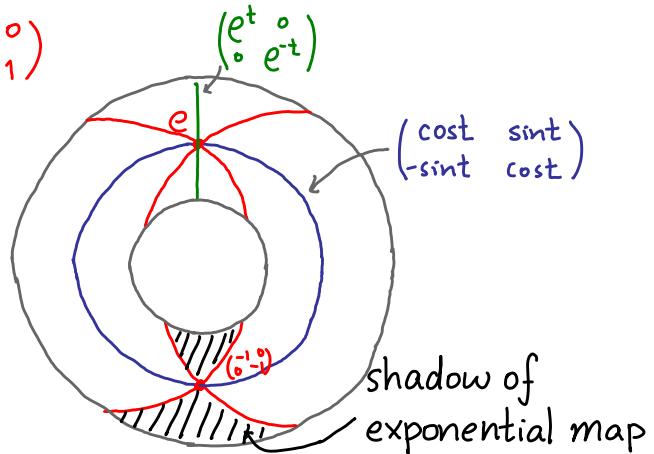
$SO(2) = G_i$  (isotropic subgp of  $i$ )

$$\text{i.e. } S^1 \rightarrow SL(2, \mathbb{R}) \quad \left[ \begin{array}{l} S^1 \rightarrow SU(2) = S^3 \\ \downarrow \quad \quad \quad \downarrow \text{compare:} \quad \quad \quad \text{Hopf fib.} \\ D^2 \quad \quad \quad S^2 \end{array} \right]$$

$$\Rightarrow SL(2, \mathbb{R}) \simeq S^1 \times D^2$$

$$SL(2, \mathbb{R}) \ni e = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$= S^1 \times D^2$$



Ex.  $A = \begin{pmatrix} -5 & * \\ 0 & -1/5 \end{pmatrix} \in SL(2, \mathbb{R})$  does not lie in the image of exponential map.

$\boxed{\text{Hint: } A = e^X \exists X \in \mathfrak{sl}(2, \mathbb{C})}$
$\Rightarrow X = \begin{pmatrix} \alpha & \beta \\ 0 & -\alpha \end{pmatrix} \text{ w/ } \alpha \in \mathbb{R} \text{ or } i\mathbb{R}$
$\Rightarrow e^{tX} = \begin{pmatrix} e^{t\alpha} & * \\ 0 & e^{-t\alpha} \end{pmatrix} \Rightarrow \text{Tr } e^{tX} \in \mathbb{R}^+ \text{ or } S^1$
$\Rightarrow \text{Tr } e^{tX} \geq 2 \text{ or } \geq -2$

Back to compact case.

E.g.  $U(2)$  (rank = 2)

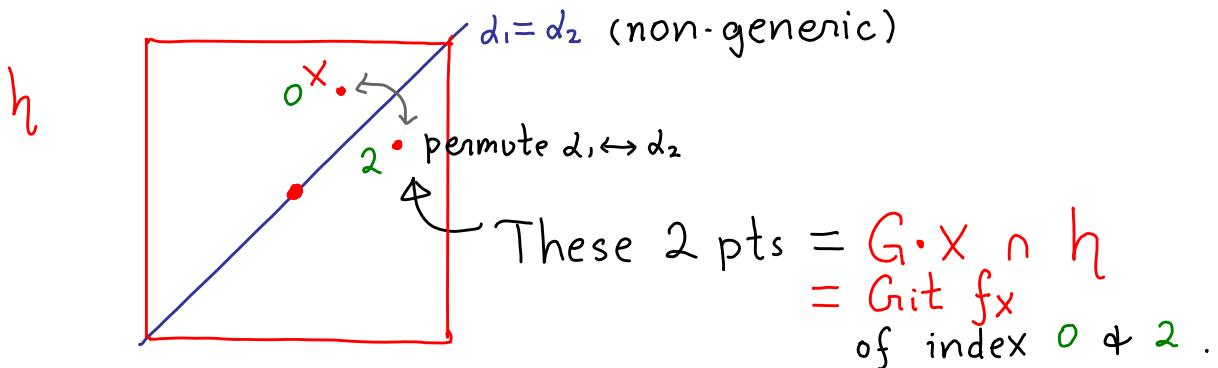
$$\underline{u}(2) = h \oplus E$$

$$\begin{pmatrix} i\alpha_1 & z \\ -\bar{z} & i\alpha_2 \end{pmatrix} = \underbrace{\begin{pmatrix} i\alpha_1 & \\ & i\alpha_2 \end{pmatrix}}_X + \begin{pmatrix} & z \\ -\bar{z} & \end{pmatrix}$$

$z \in \mathbb{C}$  &  $\alpha_1, \alpha_2 \in \mathbb{R}$

$$\text{ad}(X) \begin{pmatrix} & z \\ -\bar{z} & \end{pmatrix} = [i(\alpha_1, \alpha_2), \begin{pmatrix} & z \\ -\bar{z} & \end{pmatrix}] = \begin{pmatrix} 0 & i(\alpha_1 - \alpha_2)z \\ -i(\alpha_1 - \alpha_2)\bar{z} & 0 \end{pmatrix}$$

$$\Rightarrow \mathfrak{g}_X = h \quad \text{unless } \alpha_1 = \alpha_2$$



General picture:  $h := \mathfrak{g}_X$  Cartan

$$\mathfrak{g} = h \oplus m$$

(1)  $X \in h$  is regular unless  $X$  is in a system of hyperplanes in  $h$ .

(2) General  $X \in h$  will decompose

$$m = E_i \oplus \dots \oplus E_j$$

on which  $\text{ad}(X)$  is given by  $90^\circ$  rotation  
× dilation.

Can choose complex structure on  $E$  s.t.  
 $\text{ad}(X)|_E = i\alpha(X) \times \exists \alpha \in h^*$  called roots

(e.g.  $\alpha = \alpha_1 - \alpha_2$  for  $SU(2)$ ).

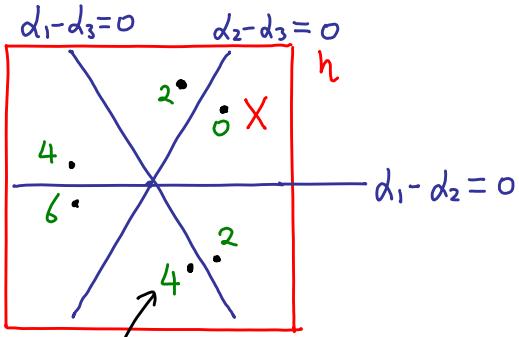
Eg.  $u(n) = h \oplus \bigoplus_{i < j} E_{ij}$

$$h \left( \begin{array}{ccc} d_1 & & \\ & \ddots & \\ & & d_n \end{array} \right) \quad \left( \begin{array}{cc} z & \\ -\bar{z} & \bar{z} \end{array} \right)$$

roots:  $\sqrt{-1}(d_i - d_j)$ 's.

Eg.  $SU(3)$

$$h = \{d_1 + d_2 + d_3 = 0\} \\ \simeq \mathbb{R}^2$$



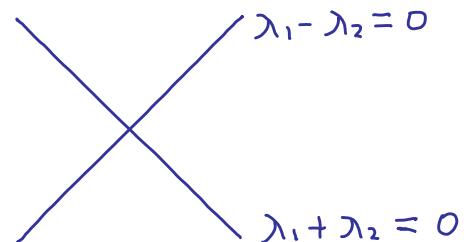
Index  $f_X \in 2\mathbb{Z}$

$\xrightarrow{\text{Morse}}$   $H^*(G \cdot X; \mathbb{Z})$  has no torsion.

$$P_t(SU(3)/T) = 1 + 2t^2 + 2t^4 + t^6.$$

Eg.  $SO(4)$  (rk 2, dim 6)

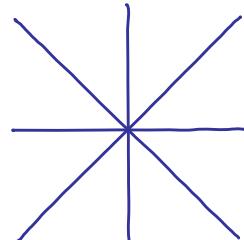
$$X = \left( \begin{array}{c|c|c} \lambda_1 & 0 & \\ \hline -\lambda_1 & & \lambda_2 \\ \hline 0 & -\lambda_2 & 0 \end{array} \right) \in \left\{ \left( \begin{array}{c|c|c} \lambda_1 & * & \\ \hline * & & \lambda_2 \\ \hline * & -\lambda_2 & 0 \end{array} \right) \right\} = \sigma_3$$



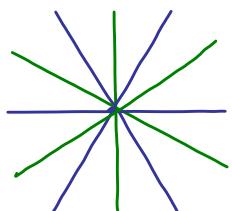
(look like  $SU(2) \times SU(2)$  locally)

Eg.  $SO(5)$  (rk 2, dim 10)

$$X = \left( \begin{array}{c|c|c|c} \lambda_1 & 0 & 0 & \\ \hline -\lambda_1 & & & \lambda_2 \\ \hline 0 & \lambda_2 & 0 & 0 \\ \hline 0 & -\lambda_2 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 \end{array} \right) \in \left\{ \left( \begin{array}{c|c|c|c} \lambda_1 & * & * & \\ \hline * & & \lambda_2 & * \\ \hline * & -\lambda_2 & 0 & * \\ \hline * & * & 0 & 0 \end{array} \right) \right\} = \sigma_5$$



Eg. Last rank 2 diagram:  $G_2$



## § Review of Morse theory

$f : M \rightarrow \mathbb{R}$  Morse

Morse cpx.  $C_k \stackrel{\Delta}{=} \bigoplus_{\text{ind}(p)=k} \mathbb{R} \langle p \rangle \xrightarrow{\partial}$   $C_{k-1}$

↑  
count grad.  
flow lines

Thm:  $H_*(C_*, \partial) \cong H_*^{\text{sing}}(M, \mathbb{R})$

$$M_t(f) := \sum \# \left\{ \begin{array}{l} \text{index } k \\ \text{crit. pt.} \end{array} \right\} t^k$$

$$P_t(M) := \sum \dim H_k t^k \quad \text{Poincaré polyn.}$$

Thm.  $\Rightarrow$  1)  $M_t(f) - P_t(M) = (1+t) Q(t)$

$$\exists \quad Q(t) = a_0 + a_1 t + a_2 t^2 + \dots$$

$$\text{w/ } a_i > 0 \quad \forall i$$

2)  $Q(t) = 0 \iff \partial = 0$   
 $\Rightarrow \text{Tor } H_*(M, \mathbb{Z}) = 0$

called perfect Morse function.

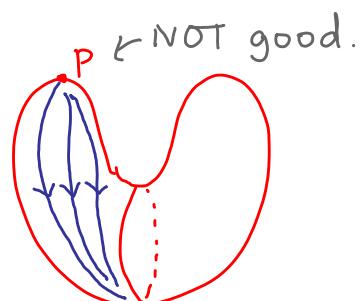
3)  $\text{index } f \in 2\mathbb{Z} \Rightarrow \partial = 0$

### Completion Principle

If  $\forall p \in \text{Crit}(f)$ ,

the unstable submfld. of  $p$   
can be extended to a cycle, say  $N_p$ .

then  $N_p$ 's is a basis for  $H_*(M, \mathbb{Z})$   
and  $\#$  torsion.

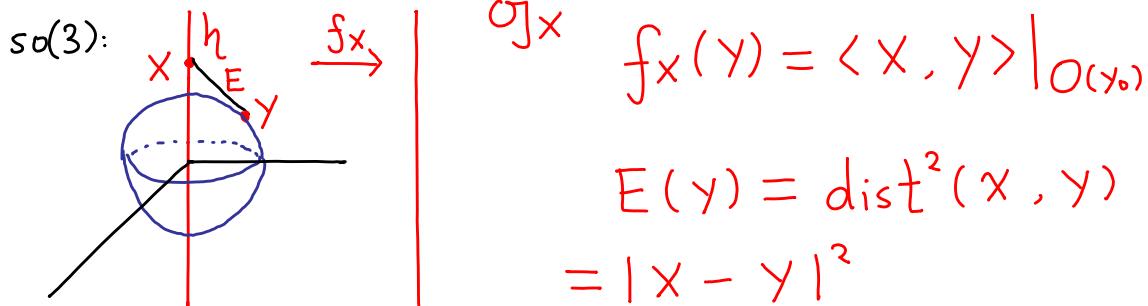


Back to adjoint orbit

$$O(y_0) = \text{Ad}(G) \cdot y_0 \subseteq \mathfrak{g}^*$$

Recall: regular  $X \in \mathfrak{g}$

$$\rightsquigarrow \mathfrak{g} = \underbrace{h}_{\mathfrak{g}_X} \oplus E_{d_1} \oplus E_{d_2} \oplus \dots \oplus E_{d_m}$$



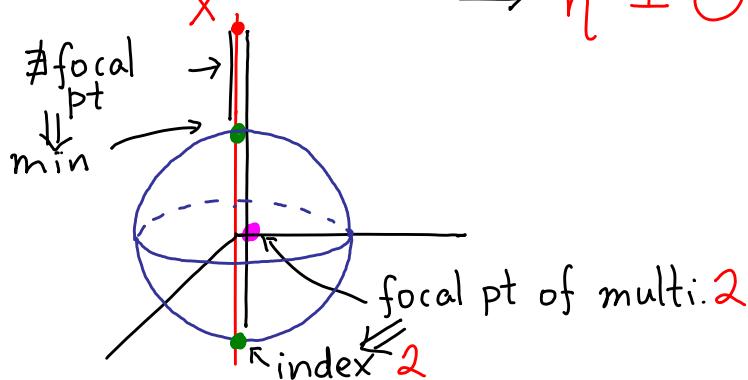
$$\begin{aligned} E(y) &= \text{dist}^2(X, y) \\ &= |X - y|^2 \\ &= \underbrace{|X|^2}_{\text{fixed}} - 2 \underbrace{\langle X, y \rangle}_{f_X(y)} + \underbrace{|y|^2}_{\substack{\text{const.} \\ \text{on } O(y_0)}} \end{aligned}$$

i.e.  $E|_{O(y)}$  has same critical points (& index) as  $f_X|_{O(y)}$

In particular, at  $p \in \text{Crit}(f_X)$  on  $O(y)$

$$\overline{pX} \perp O(y) \text{ at } p \quad (\because E \text{ is dist. fu.})$$

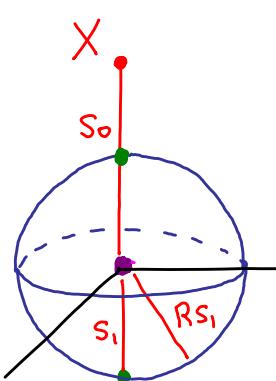
$$\Rightarrow h \perp O(y) \text{ along } \text{Crit}(f_X).$$



Recall: Focal point of  $N \subset M$   
 $TM|_N = TN \oplus \mathcal{V}_N^\perp$  normal bd.

$\exp: \mathcal{V}_N \rightarrow M$  (of same dim!)

focal set  $\stackrel{\text{def.}}{=} \text{crit. values of exp.}$



$R \in SO(3)$  rotation about  $0$

new path  $s_0 + R s_1$ ,  
w/ same length as  $s_0 + s_1$ .

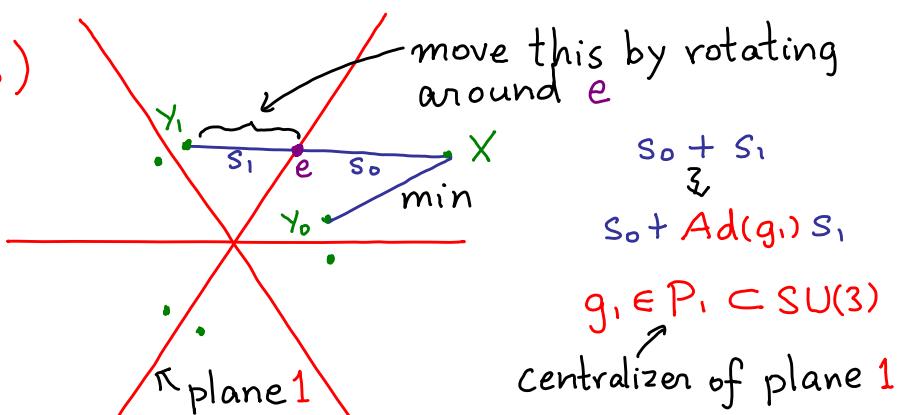
$\rightsquigarrow S^2 (=SO(3)/S^1)$  family of paths from  $X$  to  $O(Y)$  of same length.

$$S^2 \hookrightarrow \Omega_{X \rightarrow S^2} \mathcal{O} \quad (\mathcal{O} \simeq \mathbb{R}^3)$$

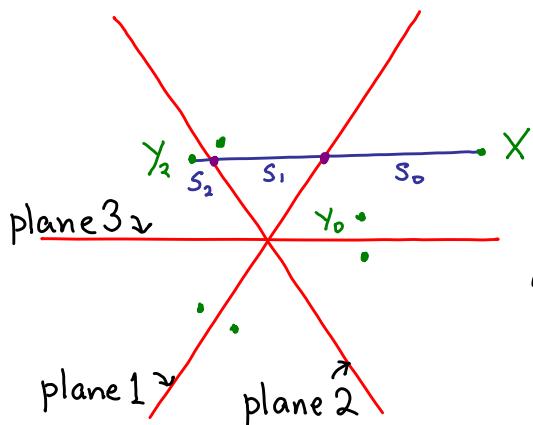
Claim: Deform  $\rightsquigarrow$  completion cycle for the critical point.

- $\Omega_{X \rightarrow S^2} \mathbb{R}^3 \xrightarrow{\text{h.e.}} S^2$  by deforming to straight lines.

Eg.  $SU(3)$



$$\rightsquigarrow S^2 = P_1/T \hookrightarrow O(Y_0)$$



Can rotate wrt 2 points.

$$s_0 + Ad(g_1)s_1 + Ad(g_2)s_2$$

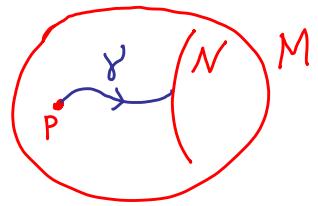
$$\rightsquigarrow \underbrace{P_1 \times P_2/T^2}_{S^2 \times S^2 : s^2 - bd1/s^2} \rightarrow O(Y_0)$$

- max. point  $\rightsquigarrow \widetilde{\pi} S^2$  tower of  $S^2$   $\xrightarrow{\deg 1} G/T$  Bott-Samelson variety
- $H^*(G/T)$  is direct summand of  $H^*(\widetilde{\pi} S^2)$

## § Morse theory on loop spaces

Geodesics.  $p \notin N \subset (M, g)$

Energy  $E: \Omega_{p \rightarrow N} M \rightarrow \mathbb{R}$



$$E(\gamma) = \frac{1}{2} \int_0^1 |\dot{\gamma}|^2 dt$$

- If  $M = \mathbb{R}^n \Rightarrow \Omega_{p \rightarrow N} M \xrightarrow{\text{h.e.}} N$

(deforming to straight lines).

- Crit. point of  $E \leftrightarrow$  geodesic

Write  $X = \dot{\gamma}$ ,  $Y = \delta\gamma$  variation v.f.

$$\begin{aligned} \delta_Y E &= \frac{1}{2} \int_0^1 Y \langle X, X \rangle dt \quad (\because \nabla g = 0) \\ &= \frac{1}{2} \int_0^1 (\langle \nabla_Y X, X \rangle + \langle X, \nabla_Y X \rangle) dt \\ &= \int_0^1 \underbrace{\langle \nabla_Y X, X \rangle}_{\nabla_X Y + [Y, X]} dt \quad \begin{array}{l} \text{come from} \\ \text{push forward} \\ \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \text{ on } \mathbb{R}^2 \\ (\text{and } \text{Tor} \nabla = 0) \end{array} \\ &= \int_0^1 (X \langle Y, X \rangle - \langle Y, \nabla_X X \rangle) dt \\ &= \langle Y, X \rangle \Big|_p^N - \int_0^1 \langle Y, \nabla_X X \rangle dt \end{aligned}$$

EL eqt.  $\delta_Y E = 0 \forall Y \Leftrightarrow \dot{\gamma}(1) \perp N \text{ and } \nabla_X X = 0$ .

i.e. geodesic

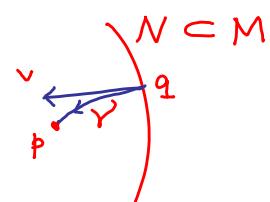
- Morse theory for  $E = \int_{\Sigma} du^2 : \text{Map}(\Sigma^d, M) \rightarrow \mathbb{R}$

$d = 1$	$2$	$> 3$
✓	"compensated"	✗

bubbling (conformal)

(reason: Sobolev inequality).

- Thm. A : If  $\nexists$  geodesic  $\gamma$  w/  $E(\gamma) \in (a, b)$ ,  
then  $\underset{\text{h.e.}}{\Omega}^{<b} \sim \Omega^{<a} = \{\gamma \in \Omega_{p \rightarrow N} M : E(\gamma) < a\}$
- Thm. B : If  $\exists!$  geodesic  $\gamma$  w/  $E(\gamma) \in (a, b)$ ,  
If  $\gamma$  is non-degenerate w/  $\text{index}(\gamma) = \infty$   
then  $\Omega^b \sim \Omega^a \cup e^\leftarrow \hookrightarrow \text{dim. cell}$

- A + B  $\Rightarrow$  Can use finite dim. construction.
- (Equivalent) def<sup>n</sup> of non-degen. & index:  
 $\exp : \mathcal{V}_{N/M} \rightarrow M$    
If  $(q, v) \mapsto p$   
 $\rightsquigarrow$  geodesic  $\gamma$  from  $q$  to  $p$ , i.e.  $\gamma(t) = \exp(q, tv)$

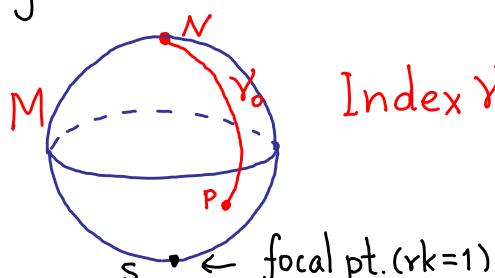
$$\gamma \text{ non-degen} \iff d\exp(q, v) : T_{(q,v)} \mathcal{V}_{N/M} \xrightarrow{\cong} T_p M$$

$p$  focal point of  $N$  along  $\gamma$  of rank  $k$   
 $\iff k = \dim \text{Ker} (d\exp(q, v) : T_{(q,v)} \mathcal{V}_{N/M} \rightarrow T_p M)$

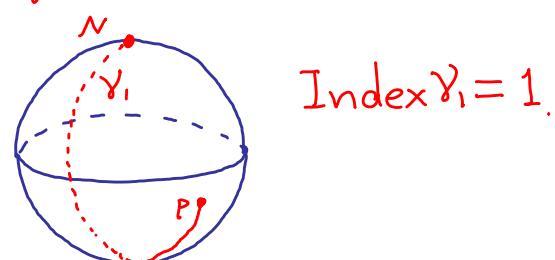
If  $\gamma$  non-degen., then

$\text{index } \gamma \triangleq \# \text{ focal points along } \gamma$

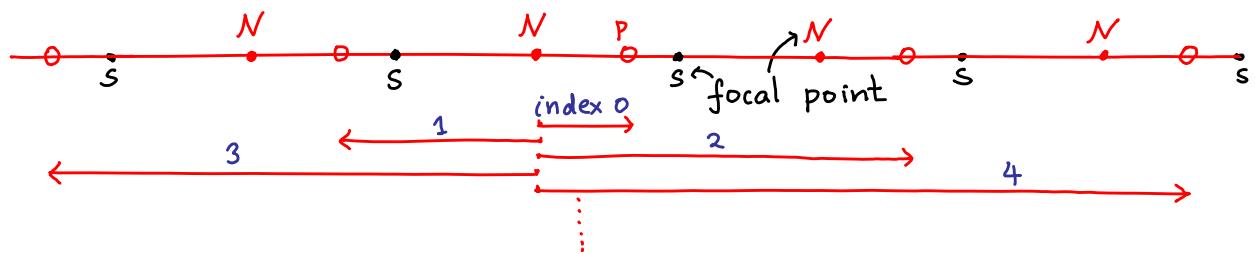
Eg.  $M = S^2 \supset N = \{\text{pole}\}$



Index  $\gamma_0 = 0$

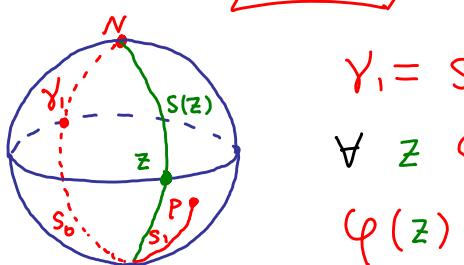


Index  $\gamma_1 = 1$



Morse  $\Rightarrow \frac{\Omega_{p \rightarrow N} S^2}{\Omega S^2} \sim e_0 \cup e_1 \cup e_2 \cup e_3 \cup e_4 \cup \dots$  what are the attaching maps?

(Recall attaching cell  $X \cup_{\partial} e^3 = X \sqcup e^3 / d(x) \sim x$   
 $w/ x \in \partial e^3$ )



$$\gamma_1 = s_0 + s_1 \\ \forall z \in S^1_{\text{equator}} \rightsquigarrow (\text{piecewise smooth}) \\ \varphi(z) = s(z) + s_1 \text{ path from } N \text{ to } S$$

$$\text{length}(\varphi(z)) = \text{length}(\gamma_1)$$

$\varphi(z)$  has a corner, unless  $\varphi(z) = \gamma_1$ .

Smooth out corner,  $\varphi(z) \leftarrow$  shorter  
 $\rightsquigarrow \tilde{\varphi}(z)$  shorter!

$$\rightsquigarrow \tilde{\varphi} : S^1_{\text{equator}} \longrightarrow \Omega^{< E(\gamma_1)}$$

has a unique max length (at  $\gamma = \varphi(z) = \tilde{\varphi}(z)$ )

i.e.  $\tilde{\varphi}$  'links' this critical pt./geodesic.

$$\rightsquigarrow \text{elt. in } H_1(\Omega S^2).$$

For next geodesic,

$$\text{Similarly, } \tilde{\varphi} : S^1 \times S^1 \longrightarrow \Omega^{< E(\gamma_2) - \varepsilon}$$

$\tilde{\varphi}$  perturbs  $\varphi(z_1, z_2) = s^-(z_1) + s^+(z_2) + s_2$   
 $\rightsquigarrow$  torus 'links' a 2-cell.



Repeat  $\rightsquigarrow$  basis of  $H_1(\Omega S^2)$

Remark: Easier for  $\Omega S^n$  w/  $n \geq 3$  since

$$\Omega S^n \sim pt \cup e_1^{n-1} \cup e_2^{2(n-1)} \cup \dots$$

must  
be zero in  $H$ . (could be non-trivial in  $\pi$ .)

Second variations: (w/  $\perp_N$  at bdy).

$$\begin{aligned} S_Y^2 E &= - \int_0^1 \langle \nabla_Y Y, \nabla_X X \rangle - \int_0^1 \langle Y, \underbrace{\nabla_Y \nabla_X}_{{\nabla_X \nabla_Y - \nabla_{[Y,X]}} - R(X,Y)} X \rangle \\ &= - \int \langle Y, \nabla_X \nabla_Y X \rangle + \int \langle Y, R(X,Y) X \rangle \\ &= \int \langle Y, (-\nabla_X^2 + R(X,-)X) Y \rangle dt \end{aligned}$$

Jacobi equation  $L(Y) \equiv -\nabla_X^2 Y + R(X,Y)X = 0$

$Y$ : Jacobi field along geodesic  $\gamma$ .

$\dim \{ \text{Jacobi fields along } \gamma \} = 2 \cdot \dim M$

- # neg. eigenvalues of  $L$  on  $\Gamma(TM|_Y)_{(BC)}$
- = # directions of steepest descents of  $E$  at  $Y$ .

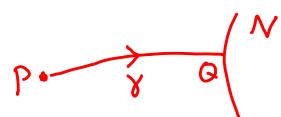
Boundary condition (BC):  $\begin{cases} Y(t=0) = 0 \\ \nabla_X Y + S_X Y = 0 \text{ at } t=1. \end{cases}$

$$0 = Y \langle Y, X \rangle |_N$$

$$= \underbrace{\langle \nabla_Y Y, X \rangle}_N + \langle Y, \nabla_Y X \rangle |_N$$

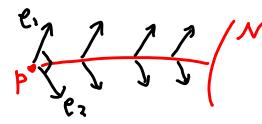
tensorial in  $Y =: \langle S_X Y, Y \rangle$

$$\begin{aligned} \left( \langle \nabla_f Y f, X \rangle |_N = f(Q) \left( \langle (\nabla_Y f) Y, X \rangle + f \langle \nabla_Y Y, X \rangle \right) \right. \\ \left. = f^2 \langle \nabla_Y Y, X \rangle |_N \right) \end{aligned}$$



Shape operator:  $S_X : T_Q N \ni \text{via } \langle S_X Y, Y \rangle \stackrel{\Delta}{=} \langle \nabla_Y Y, X \rangle(Q)$

Choose orthormal frame at  $p$ , parallel translate along  $\gamma \rightsquigarrow e_i$ 's



Jacobi eg. for  $\gamma(t) = \sum x_i(t) e_i \quad \exists$  fu.  $x_i(t)$ 's

Write  $\vec{x} = (x_1(t), \dots, x_n(t))^t$

$$-\vec{x}'' + R(t) \vec{x} = 0, \quad R(t) \text{ } n \times n \text{ matrix}$$

w/ bdy condition  $\vec{x}(0) = 0, \quad \dot{\vec{x}}(1) + S\vec{x}(1) = 0$

Thm: Eigenvalues ( $-\vec{x}'' + R\vec{x} = \lambda \vec{x}$ ) are discrete and bounded from below.

Morse: 1° # negative e.v.

= # focal pt. of  $N$  along  $\gamma$

2° = index <sub>$\gamma$</sub> E on any permissible "polygonal approximation."

$(\because \forall$  geodesic  $\gamma$  w/  $\ell(\gamma) < \epsilon_M$  is unique (abs. min) )

w/ fixed boundary points.

Back to  $G$ :

- generic  $X \in \mathfrak{g} \Rightarrow Q = e^X \in T \subset G \exists! \text{max torus } T$
- Indeed  $T = e^{\sigma_X}$ . Write  $h = \sigma_X$ .
- $|X|$  suff small  $\Rightarrow$  all geodesics from  $e$  to  $Q$  lie in  $T$

$$T \leqslant G \xrightarrow{\text{Ad}} \mathfrak{g} \underset{\text{as } T\text{-mod}}{=} h \oplus E_1 \oplus E_2 \oplus \dots \oplus E_m$$

$T \curvearrowright h$  trivial

$T \curvearrowright E_i$  via orthogonal transf

$$\xrightarrow{\text{choose ori.}} E_i \simeq \mathbb{C} \hookrightarrow T \xrightarrow{d_i} S^1 \subseteq \mathbb{C}^\times$$

(reverse ori.  $\mapsto d_i^{-1}$ )

roots:  $d_i^{\pm 1}$ 's  $\in \text{Hom}(T, S^1) = T^*$

$$\begin{array}{ccc} h & \supset \pi'(e) & \pi'(\text{Ker } d_i) \\ \pi := \exp \downarrow & \text{lattice} & \searrow \text{affine hyperplanes.} \\ T & \text{Diagram in } h \end{array}$$

Eg.  $SO(3)$   $\mathfrak{g} = h \oplus E_1$

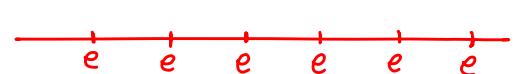
Claim:  $d_1 : T \xrightarrow{\cong} S^1$

$$T \ni \tilde{R}_\theta = \left( \begin{array}{cc|c} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ \hline 0 & 0 & 1 \end{array} \right) =: \left( \begin{array}{c|c} R_\theta & 0 \\ \hline 0 & 1 \end{array} \right)$$

$$E_1 \ni E = \left( \begin{array}{c|c} 0 & b \\ \hline -b^* & 0 \end{array} \right)$$

Diagram

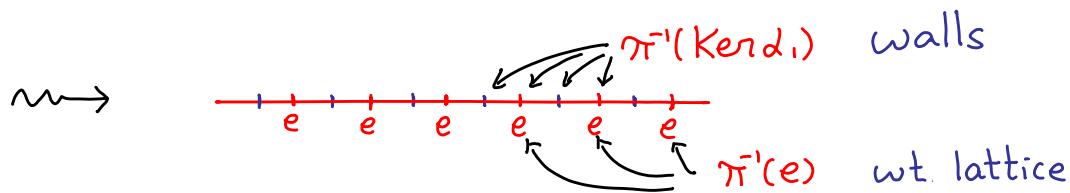
$$\text{Ad}(\tilde{R}_\theta) \cdot E = \left( \begin{array}{c|c} 0 & R_\theta b \\ \hline -(R_\theta b)^* & 0 \end{array} \right)$$



Eg.  $SU(2) \ni T \ni \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix}$ ,  $E = \begin{pmatrix} 0 & z \\ -\bar{z} & 0 \end{pmatrix} \in E$ ,

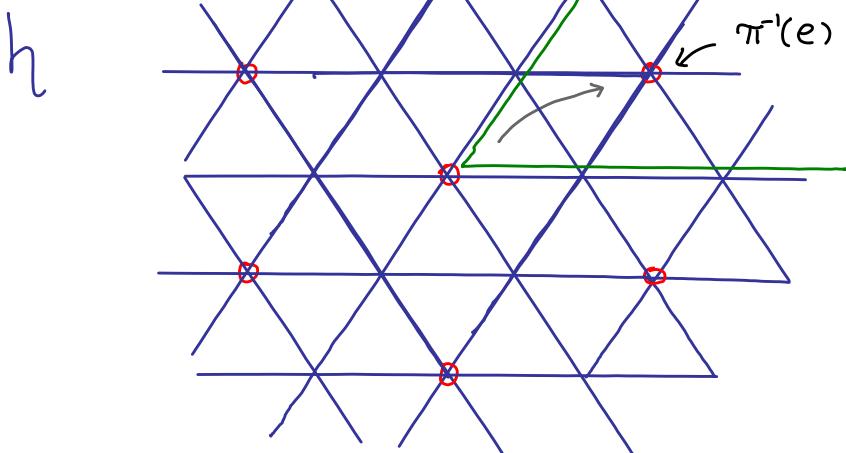
$$\text{Ad} \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} \cdot E = \begin{pmatrix} 0 & ze^{2i\theta} \\ -\bar{z}e^{-2i\theta} & 0 \end{pmatrix}$$

$\Rightarrow d_1: T \longrightarrow S^1$  deg 2 cover



- $\text{Ad}: SU(2) \longrightarrow SO(3)$ , every torus/circle in  $SU(2)$  is a double cover of a torus in  $SO(3)$ .

Eg.  $SU(3)$



Prop:  $G$  semisimple,  $\pi_1 = 0$

$\Rightarrow$  1) lattice  $\pi_1^{-1}(e) \leq h$  is given by reflections of  $e$  wrt root planes.  
(i.e.  $\pi_1^{-1}(\text{Ker } d_i)$ 's)

2) Lattice formed by  $\bigcap$  (root planes)

$= \pi_1^{-1}(e)$  for  $\text{Ad}(G)$

center.

Eg.  $\pi_1(SU(n)) = 0$ ,  $\text{Ad}(SU(n)) = \overbrace{SU(n)} / \mathbb{Z}_n = PU(n)$

• Return to geodesics on  $G$ :

Lemma: Geodesic  $\gamma \subset (M, g)$ ,  $X = \dot{\gamma}$   
 $\gamma$  infinitesimal isometry, i.e.  $\mathcal{L}_Y g = 0$   
 $\Rightarrow \langle X, Y \rangle$  is const. along  $\gamma$ .

Pf.

$$\begin{aligned} X \langle X, Y \rangle &= \cancel{\langle \nabla_X X, Y \rangle}^{\text{geodesic}} + \langle X, \nabla_X Y \rangle \\ &\stackrel{\text{Torsionless}}{=} \langle X, \nabla_Y X - [Y, X] \rangle \\ &= \frac{1}{2} Y \underbrace{\langle X, X \rangle}_{\text{const}} - \underbrace{\langle X, \mathcal{L}_Y X \rangle}_{=0} \quad \because \mathcal{L}_Y \text{ skew-symm.} \end{aligned}$$

Cor. Any geodesic through  $\{Y=0\} \subset M$   
is perpendicular to  $\gamma$  everywhere.

Cor.  $G \rightarrow \text{Isom}(M) \curvearrowright M$ , then

$\forall$  geodesic  $\gamma \subset M$  w/  $\gamma(0) \in M^G$

$\Rightarrow \gamma \perp G\text{-orbits.}$

Note:  $e \in G^{\text{Ad}(G)}$

generic  $Q \in T$

$\Rightarrow$  (1)  $\forall$  geodesic  $\gamma$  from  $e$  to  $Q$ ,  $\gamma \subset T$

(2) (Ex).  $\text{Ad}(G) \cdot Q$  is orthogonal complement  
to  $T$  in  $G$ .

Weyl group  $W := N(T) \xleftarrow{\text{normalizer}} / T$

i.e. autom. of  $T$  induced from inner autom of  $G$ .

Theorem  $W$  is generated by reflections wrt root planes through  $o \in h$ .

- A description of the diagram:

1° Choose fundamental domain  $\mathcal{F}$  for  $W^{\sim}h$

2° For root plane of  $\mathcal{F}$

$\rightarrow \lambda : h \rightarrow \mathbb{R}$  character.

$$\lambda(\exp(h)) = e^{2\pi i \lambda(h)}$$

Planes  $\sim \lambda'(Z)$  Orient  $\lambda$  s.t.  $\lambda|_{\mathcal{F}} > 0$

Simple roots  $\sim$  those  $\lambda'$ 's corresp. to  $\partial \mathcal{F}$ .

Cartan matrix  $(\lambda_i(\Lambda_j))_{i,j}$

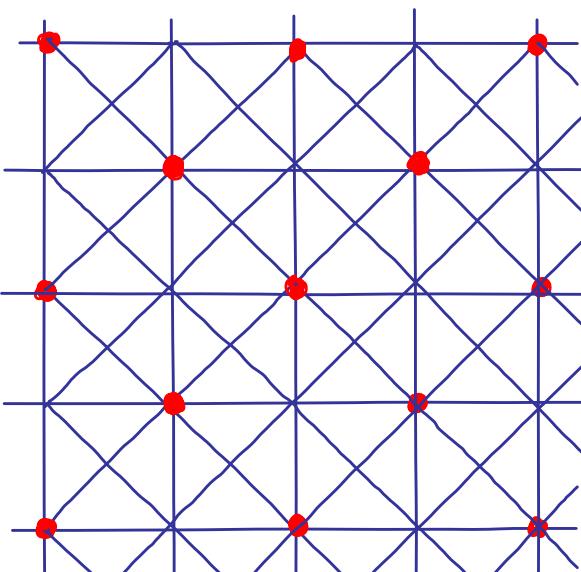
Eg. Diagram  
of  $\text{Spin}(5)$ :

Blue lattice

Red lattice  $\pi^{-1}(0)$

$= \mathbb{Z}_2$

( $\simeq C(\text{Spin}(5))$  center).



Study geodesics in  $G$  from  $p$  to  $e$ :

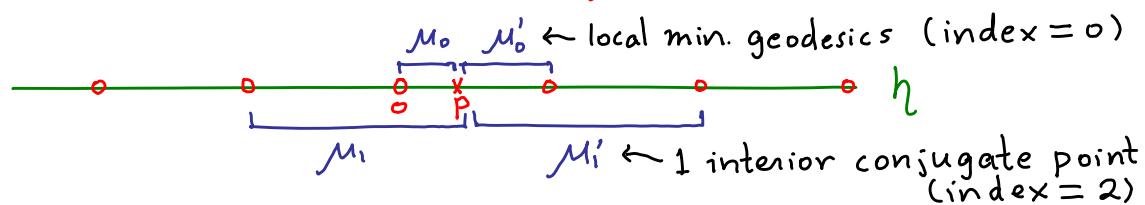
Choose  $G \supset T \ni p$

Assume  $p$  generic  $\Rightarrow T = Z_G(p)$  centralizer

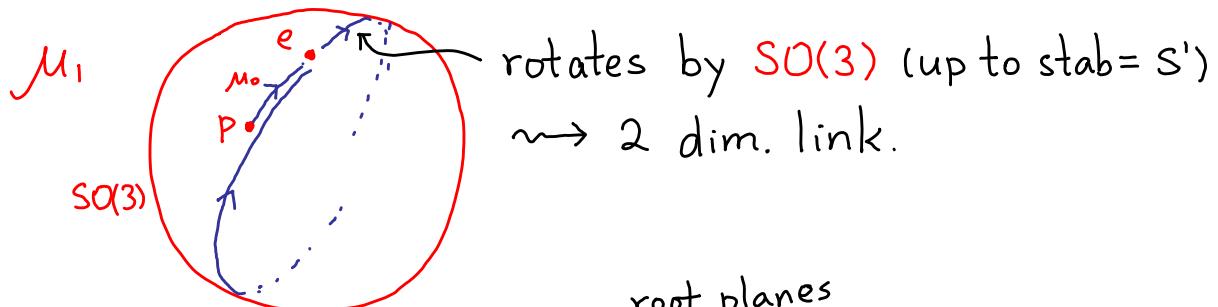
$\Rightarrow$  all such geodesics  $\subset T$  ( $\because$  totally geodesic)

$\Rightarrow$  can be easily described in  $h$

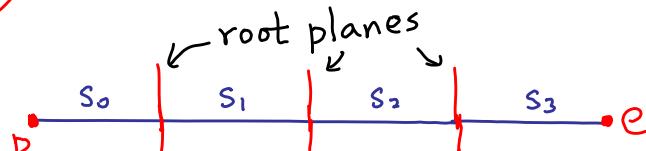
Eg.  $SO(3) \simeq \mathbb{RP}^3 \rightarrow h \simeq \mathbb{R}$



$$M_0 + M_0' \sim \pi_0(\Omega_{p \rightarrow e} SO(3)) \simeq \pi_1(SO(3)) \simeq \mathbb{Z}_2$$



$$S = S_0 + S_1 + S_2 + S_3 + S_4$$



geodesic segment in  $G$  from  $p$  to  $e$  in diagram  $\hookrightarrow$

$P_1 :=$  Stabilizer subgp. of end pt. of  $S_0$

$$h \oplus E_{d_1} \longrightarrow S^3 \times T^{n-1} \quad (n = \text{rk } G)$$

$$P_2 := \frac{\dots}{S_1}$$

$$P_3 := \frac{\dots}{S_2}$$

$$\mapsto \mu_s: P_1 \times P_2 \times P_3 \longrightarrow \Omega_{p \rightarrow e} G$$

$$\mu_s(x_1, x_2, x_3)$$

$$= S_0 + x_1 S_1 x_1^{-1} + x_1 x_2 S_2 x_2^{-1} x_1^{-1} + x_1 x_2 x_3 S_3 x_3^{-1} x_2^{-1} x_1^{-1}$$



Check: well-defined.

$$\cdot t \in T \subset P_i \quad \forall i$$

$$\begin{aligned} M_s(x_1 t, t^{-1} x_2, x_3) &= M_s(x_1, x_2, x_3) \\ &= M_s(x_1, x_2 t, t^{-1} x_3) \\ &= M_s(x_1, x_2, x_3 t) \end{aligned}$$

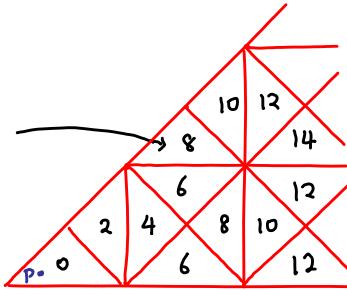
$$\Rightarrow \overset{\text{free}}{T^3} \curvearrowright P_1 \times P_2 \times P_3 \xrightarrow[T^3\text{-inv.}]{M_s} \Omega_{P \rightarrow e} G$$

$$\text{i.e. } M(x_1 t_1, t_1^{-1} x_2 t_2, t_2^{-1} x_3 t_3) = M(x_1, x_2, x_3)$$

$$\rightsquigarrow \underbrace{P_1 \times P_2 \times P_3 / T}_{V(1,2,3)} \xrightarrow{M_s} \Omega_{P \rightarrow e} G$$

E.g. Spin(5)

each simplex  
gives 1 geodesic  
(if  $\pi_1 G = 0$ )  
w/ these indexes.



Fundamental  
chamber

Given  $G$  w/  $\pi_1 = 0$ ,  $\forall$  simplex  $\Delta$

$\rightsquigarrow$  1 geodesic  $s_\Delta$  (i.e. critical pt. of  $E$ )

$$M_\Delta : V_\Delta \longrightarrow \Omega_{P \rightarrow e} G$$

$\dim V_\Delta = 2 \times \# \text{planes crossed going from } \Delta \text{ to } 0$ .

(= index  $s_\Delta$ )

(deform  $V_\Delta \rightsquigarrow$ ) linking mfd. of  $s_\Delta \in \Omega_{P \rightarrow e} G$ .

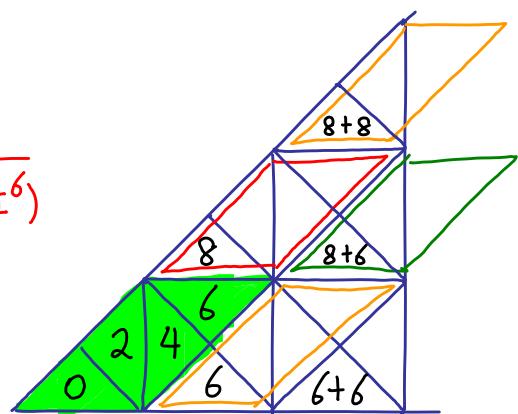
$$\Rightarrow H(\{M_\Delta : V_\Delta \rightarrow \Omega_{P \rightarrow e} G\})' \rightsquigarrow \text{base of } H(\Omega_{P \rightarrow e} G, \mathbb{Z})$$

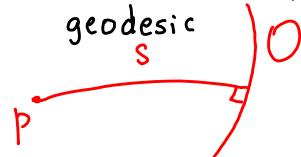
E.g.  $P_t(\Omega_{P \rightarrow e} \text{Spin}(5))$

$$= \frac{1 + t^2 + t^4 + t^6}{(1 - t^6)(1 - t^8)} = \frac{1}{(1 - t^2)(1 - t^6)}$$

matches w/  $\text{Spin}(5) \cong S^3 \times S^7$

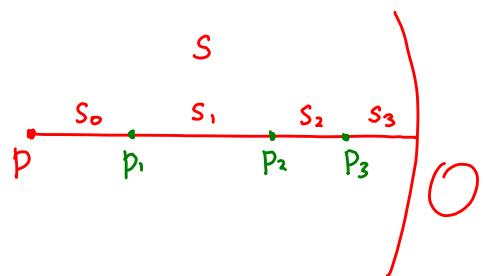
(via Serre spectral sequence).



Note: compact  $G \curvearrowright M \ni p$  generic point  
  
 $O : G\text{-orbit}$

$G_p$  (resp.  $G_s$ ) : stabilizer of  $p$  (resp.  $s$ )

- $p$  generic  $\implies \dim G_p = \dim G_s$
- $\dim G_p > \dim G_s \implies p$  is focal point of  $O$  along  $s$ .



$s$  geodesic  
 $\mapsto p_i$ 's s.t.  $\dim G_{p_i} > \dim s$   
 $s = s_0 + s_1 + s_2 + s_3$

 $\rightsquigarrow \underbrace{G_{p_1} \times_{G_s} G_{p_2} \times_{G_s} G_{p_3} / G_s}_{V_s} \xrightarrow{\mu_s} \Omega_{p_0} M$

where  $(G_s)^3 \curvearrowright G_{p_1} \times G_{p_2} \times G_{p_3}$  free action.

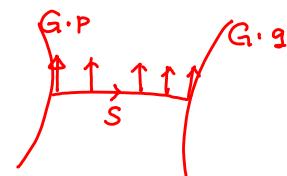
$$(x_1, x_2, x_3) \cdot (g_1, g_2, g_3) = (g_1 x_1, g_1^{-1} g_2 x_2, g_2^{-1} g_3 x_3)$$

Claim:  $\mu_s$  can be deformed slightly, so that  $E \circ \mu_s$  has an isolated non-degen. max. at  $(e, e, e)$ .

Def:  $G \curvearrowright M$  Variationally complete  
 (if every focal point arise from  $G$  action)  
 if  $Y$  solves  $-\nabla_X^2 Y + R(X, Y)X = 0$  along any geodesic  $s$ ,

s.t.  $Y(\text{end pts}) \in T(\text{orbits})$

$$\implies Y \in \mathfrak{o}|_s$$

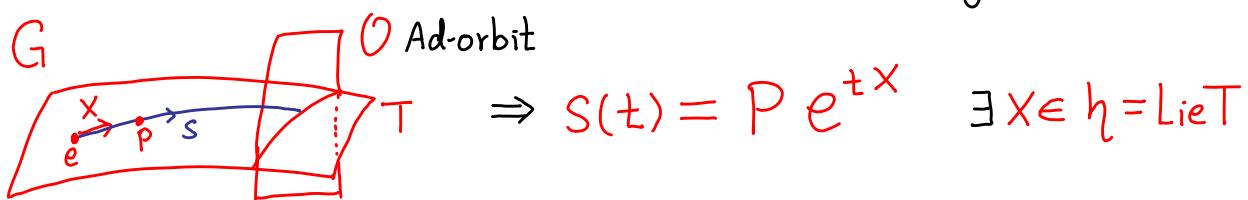


Theorem  $G \curvearrowright M$  variationally complete  
 $\implies \mathcal{M}_s[V_s]$ 's forms base for  $H(\Omega_{p \rightarrow 0} M)$

- In general,  $V_s$  not orientable,  $\rightsquigarrow$  base /  $\mathbb{Z}_2$ .  
 If  $V_s$ 's orientable, then  $\rightsquigarrow$  base /  $\mathbb{Z}$ .
- If  $G = \{e\}$   $p \xrightarrow{s} O = \{q\}$   
 tangent to orbit  $\equiv$  vanishing  
 variationally complete  $\iff \#$  conjugate point  
 e.g.  $K_M \leq 0$   
 $\#$  conj. pt. in  $M$  along any geodesic  
 $\implies \Omega_{p \rightarrow q} M \sim$  Set of geodesics joining  $p$  to  $q$ .

Prop.  $G \xrightarrow{\text{Ad}} G$  is variationally complete

Pf:  $G \ni s$  geodesic thru. a generic point  $P$   
 Choose max. torus  $T \ni P$  (generic in  $T$ )



Linearization of isometry action always give Jacobi fields.

$G_L \curvearrowright G \curvearrowleft G_R$  isometry ( $\because$  bi-inv. metric on  $G$ )

$$\begin{aligned} \Rightarrow \mathcal{O}_L \oplus \mathcal{O}_R &\longrightarrow J(s) = \{\text{Jacobi field along } s\} \\ &\dim J(s) = 2 \dim G. \quad \begin{matrix} 4 \\ (\because \text{2nd order ODE}) \end{matrix} \\ (Y_L, Y_R) &\mapsto Y_L Pe^{tx} + Pe^{tx} Y_R \end{aligned}$$

However  $h_L + h_R$  give same Jacobi fields.

$$(\because y \in h \Rightarrow Y P e^{tx} = P e^{tx} y \text{ as } x \in h)$$

On a (flat) torus  $T$ , both  $Y P e^{tx}$  and  $t P e^{tx}$  are Jacobi fields. Hence  
 $(\mathfrak{o}_j = h \oplus E)$

$$\psi : h \oplus h \oplus E \oplus E \xrightarrow{\cong} J(S)$$

$$\psi(y_1, y_2, E_1, E_2) = Y P e^{tx} + t Y_2 P e^{tx} + E_1 P e^{tx} + P e^{tx} E_2$$

Suppose  $Y = \psi(y_1, y_2, E_1, E_2)$  is tangent to 2 Adjoint orbits along  $s$  at  $t_1$  and  $t_2$  ( $\neq t_1$ ).

Want  $Y \in \mathfrak{o}_j|_s$ .

Recall for adj. orbit  $O \subset \mathfrak{o}_j$ ,  $L_g^{-1}(T_g O) = (I - \text{Ad}(g))O \subseteq T_e G$

So, after left translating back to  $e \in G$  ( $g_i = P e^{t_i x}$ )

$$\underbrace{y_1 + t_1 y_2 + \text{Ad}(g_1^{-1})E_1 + E_2}_{\in h} = Z_1 - \text{Ad}(g_1^{-1})Z_1 \quad \exists Z_1, Z_2 \in E$$

$$\underbrace{y_1 + t_2 y_2 + \text{Ad}(g_2^{-1})E_1 + E_2}_{\in E} = Z_2 - \text{Ad}(g_2^{-1})Z_2$$

$$t_1 \neq t_2 \implies y_1 = y_2 = 0$$

Also  $\text{Ad}(P e^{tx})^{-1} E_1 + E_2 = Z - \text{Ad}(P e^{tx})^{-1} Z \quad \forall t$

i.e.  $Y(t)$  tangent to Adj. orbit  $\forall t$

i.e.  $Y \in \mathfrak{o}_j|_s$ . QED.

In general, for any compact symmetric  $G/K$   
 $K \curvearrowright G/K$  is variationally complete.

e.g. Adj. action  $G \curvearrowright G \equiv (G_\Delta \xrightarrow{\frac{G \times G}{G_\Delta}})$

$$SO(n) \curvearrowright S^n = SO(n+1)/SO(n)$$

## § Non-degenerate critical manifold

$$N \subset M \xrightarrow{f} \mathbb{R}$$

eg.  $T^2$  

(i)  $N$  manifold, (ii)  $df|_N = 0$

(iii)  $\text{Hess}(f)$ , as a quadratic of normal bdl.  $\mathcal{V}_{N/M}$

$$\text{Null}(\text{Hess}(f)) = T_N$$

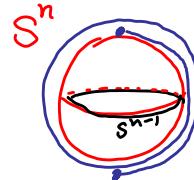
Thm. B becomes  $M_b = M_a \cup \mathcal{V}_{N/M}$ .

As we cross a crit. mfd  $N$ , add cell of  $\dim \geq \text{rk}(\mathcal{V}_{N/M})$

Eg.  $\Omega_{N \rightarrow S} S^n = S^{n-1} \cup \mathcal{V}_{S^{n-1}}^- \cup \mathcal{V}_{S^{n-1}}^+ \cup \dots$

$\lambda = 2(n-1)$        $\lambda = 4(n-1)$        $\dots$   
 $\underbrace{2\text{ copies of } S^{n-1}}$

$$= S^{n-1} \cup \mathcal{E}_{2(n-1)} \cup \text{higher cell.}$$



$$\Rightarrow \forall k < 2(n-1)-2$$

$$\underbrace{\pi_k(\Omega_s S^n)}_{\pi_{k+1}(S^n)} \simeq \pi_k(S^{n-1})$$

$$\leadsto \pi_k^s(S^*) \cong \pi_{n+k}(S^n) \quad \text{for } n \gg 0$$

Eg.  $p=I$  and  $q = -I \in \text{SU}(2n)$

$$\Omega_{pq} \text{SU}(2n) \ni \gamma : [0, \pi] \longrightarrow \text{SU}(2n)$$

$$\gamma(\theta) = \begin{pmatrix} e^{i\theta} I_n & 0 \\ 0 & e^{-i\theta} I_n \end{pmatrix}$$

$\gamma$  is an absolute min. for  $E$ . need even dim

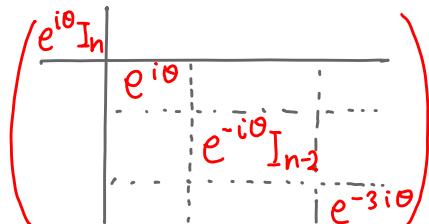
Critical submfd. containing  $\gamma \simeq \frac{\text{U}(2n)}{\text{U}(n) \times \text{U}(n)}$   
 (via conjugation).

$$\Rightarrow \Omega \text{SU}(2n) = \frac{\text{U}(2n)}{\text{U}(n) \times \text{U}(n)} \cup \dots$$

Write  $\gamma \leftrightarrow 1^n (-1)^n$

Next critical point:

$\leftrightarrow 1^n 1 (-1)^{n-2} (-3)$  i.e.  $\theta \mapsto$



Corresp. critical mfd =  $\frac{U(2n)}{U(n+1)U(n-2)U(1)}$

$$\Rightarrow \Omega SU(2n) = \frac{U(2n)}{U(n)U(n)} \cup e_{2n-5} \cup \dots$$

Letting  $n \rightarrow \infty$

$$\Omega SU = \frac{U}{UU}$$

$$\Rightarrow \underbrace{\pi_k(\Omega SU)}_{\pi_{k+1}(SU)} = \pi_k\left(\frac{U}{UU}\right)$$

Similar for  $\Omega \frac{U(2n)}{U(n)U(n)} = SU(n) \cup$  higher cell

(okay for loop space of symmetric spaces.)

$$\Rightarrow SU \xrightarrow[\Omega]{\Omega} \frac{U}{UU} \quad \text{Bott periodicity.}$$

$\leadsto$  K-theory for complex vector bundles.

$$\Omega(SO) = SO/U \cup \dots \quad (\text{use } J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \rightarrow \text{complex str.})$$

$$\Omega(SO/U) = U/Sp \cup \dots$$

$$\Omega(U/Sp) = Sp/SpSp \cup \dots$$

$$\Omega(Sp/SpSp) = Sp \cup \dots$$

$$\Omega(Sp) = Sp/U \cup \dots$$

$$\Omega(Sp/U) = U/O \cup \dots$$

$$\Omega(U/O) = O/OO \cup \dots$$

$$\Omega(O/OO) = SO \cup \dots$$

$\leadsto$  8-fold periodicity

$\sim$  KO-theory for real vector bundles.

Review: Ad-orbit  $G \xrightarrow{\text{Ad}} \mathfrak{g}$

E.g.  $SU(3)$

$X = \begin{pmatrix} id_1 & id_2 & id_3 \\ id_2 & id_3 & id_1 \\ id_3 & id_1 & id_2 \end{pmatrix} \in \mathfrak{h}$

$d_1 + d_2 + d_3 = 0$

$\mathfrak{h} = \{\sum d_i = 0\}$

orbit =  $\{U X U^{-1} : U \in SU(3)\}$

If  $X$  general  $d_i \neq d_j \quad \forall i, j$

$$\Rightarrow \text{Ad}(G) \cdot X \simeq \frac{U(3)}{U(1)U(1)U(1)}$$

If  $X$  s.t.  $d_1 = d_2 \neq d_3$

$$\Rightarrow \text{Ad}(G) \cdot X \simeq \frac{U(3)}{U(2)U(1)} \quad \text{etc.}$$

For  $SU(n)$ , adjoint orbits:

$U(n)/U(k_1)U(k_2)\cdots U(k_r)$  w/  $n = \sum_{j=1}^r k_j$  Flag varieties.

### (Partial) Flag varieties

$F\ell = \{ \text{filtrations of } \mathbb{C}^n \text{ by subsp } \overset{o}{\underset{k_1}{\subset}} A_1 \underset{k_2}{\subset} A_2 \underset{k_3}{\subset} \cdots \underset{\text{codim}}{\hookleftarrow} \mathbb{C}^n \}$

- Homogeneous space of  $GL(n, \mathbb{C})$   
Complex manifold

$$F\ell = GL(n, \mathbb{C}) / \left\{ \left( \begin{smallmatrix} * & & & \\ 0 & * & * \\ & 0 & * \\ & & * \end{smallmatrix} \right) \right\} = U(n) / \left\{ \left( \begin{smallmatrix} * & & & \\ 0 & * & 0 \\ & 0 & * \\ & & * \end{smallmatrix} \right) \right\} = \frac{U(n)}{U(k_1)U(k_2)U(k_3)}$$

- Eg. Complex Grassmannian  $Gr(r, n)$ .

Coadj. orbit  $M \triangleq \text{Ad}(G) \cdot X \quad X \in h \subset \mathfrak{g}$   
 $\simeq G/L, \quad T \leq L \leq G$  closed subgp.  
 $(= G/T \quad \text{if} \quad X \in h \text{ generic})$

$$E: M \subset h \xrightarrow{\text{linear}} \mathbb{R}$$

Critical points of  $E$  on  $M$  is a Weyl orbit.

Unstable mfd's  $\rightsquigarrow$  cell decomposition of  $M$ .

In algebraic geometry, this can be obtained without Morse theory, called Bruhat decomposition.

e.g.  $\mathbb{C}\mathbb{P}^1 = GL(2, \mathbb{C}) / \{ \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \}$

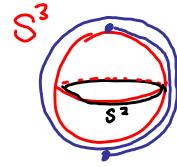
$$\mathbb{C} = \left\{ \begin{bmatrix} 0 & 0 \\ * & 0 \end{bmatrix} \right\} \quad \text{affine cell.}$$

# Based loop groups $\Omega.G$

$$\text{Eg. } G = \text{SU}(2) = S^3 \quad (\text{ } S^2 = G/T)$$

recall  $\Omega_{N \rightarrow S} S^3 = S^2 \cup \cup_{\lambda=2}^{\infty} S^2 \cup \cup_{\lambda=4}^{\infty} S^2 \cup \dots$

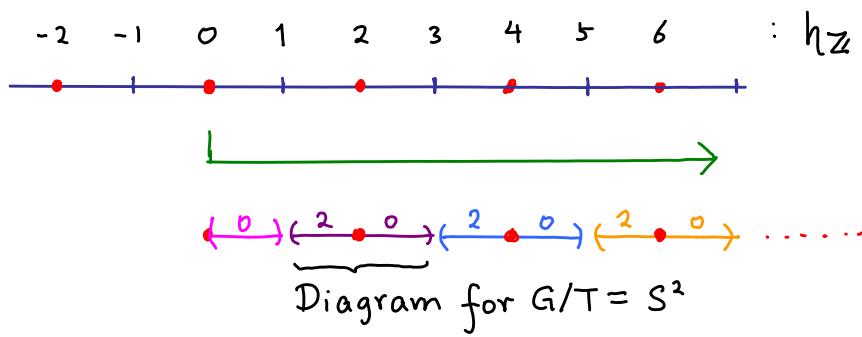
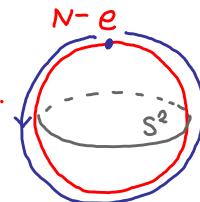
$\underbrace{\lambda=2 \times 2}_{\text{2 copies of } S^2} \quad \underbrace{\lambda=4 \times 2}_{\dots}$



$$\begin{aligned} \Omega.G &= \Omega_{N \rightarrow N} S^3 = \text{pt.} \cup \cup_{\lambda=2}^{\infty} S^2 \cup \cup_{\lambda=4}^{\infty} S^2 \cup \cup_{\lambda=6}^{\infty} S^2 \cup \dots \\ &= G/T \cup E_1 \cup E_2 \cup E_3 \cup \dots \end{aligned}$$

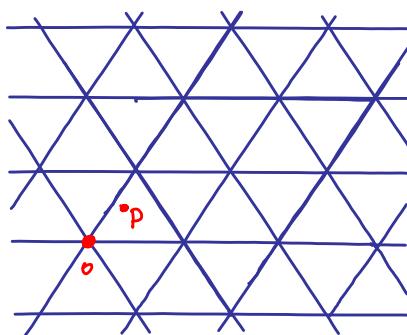
$\downarrow D^2 \quad \downarrow D^4 \quad \downarrow D^6$

$G/T \quad G/T \quad G/T$



This diagrammatic description of  $\Omega \text{SU}(2)$  generalizes to  $\Omega.G$  for any cpt. gp.  $G$ .

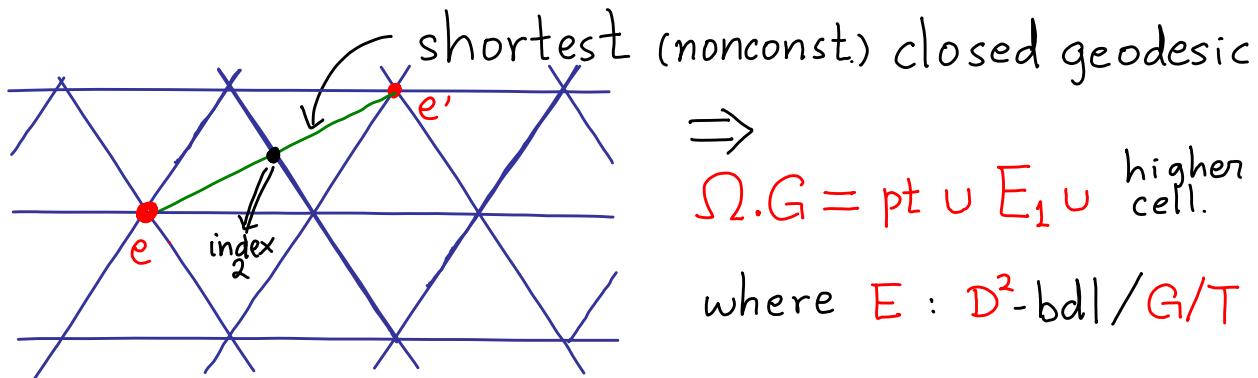
$$\text{Eg. } \Omega_{e \rightarrow p} \text{SU}(3)$$



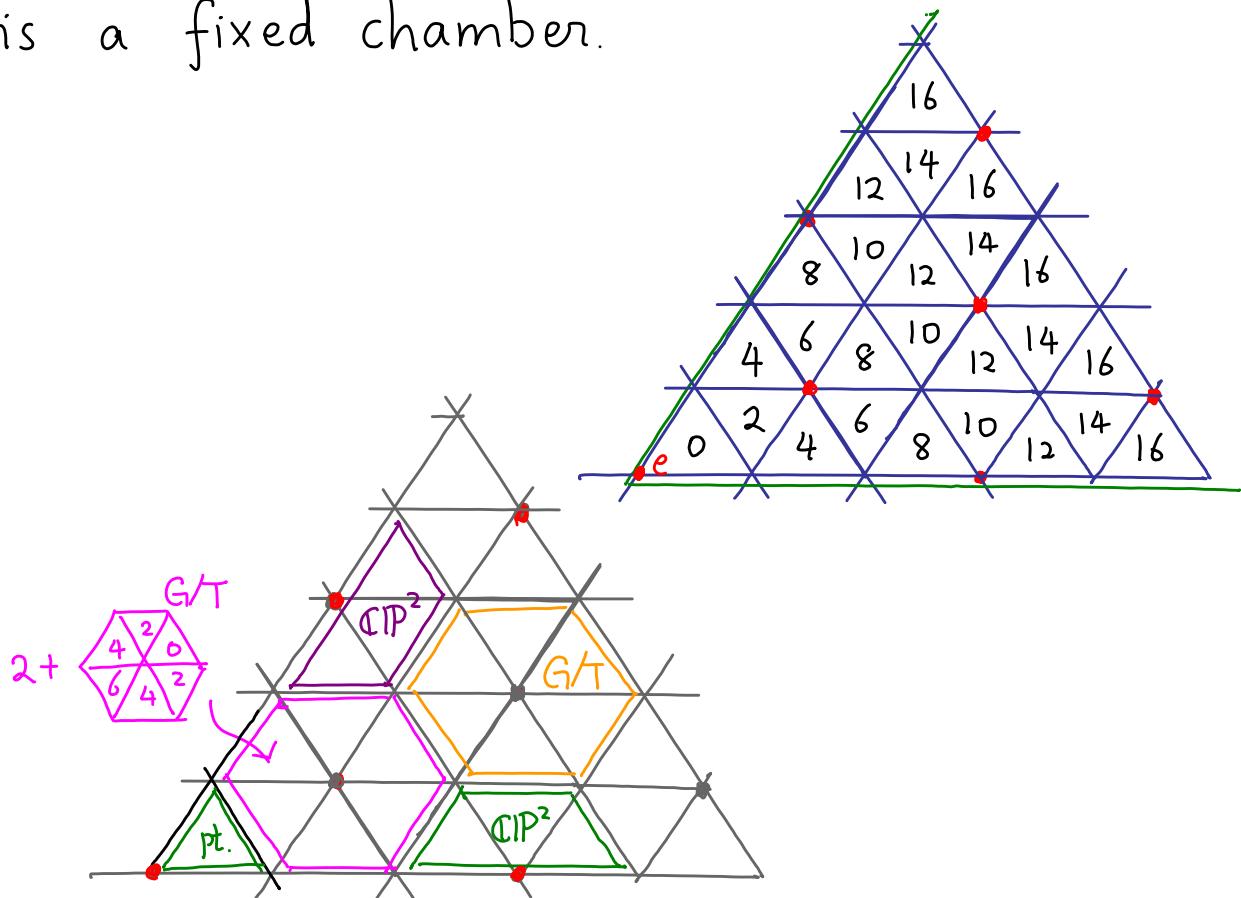
$\hat{W}$ : group generated by reflections wrt these affine hyperplanes.

$\hat{W}$  acts on above 'diagram' w/ fund. domain

If  $p = e$ , then critical pt. in  $\Omega \cdot G$   
 $\longleftrightarrow$  closed geodesic in  $G$  thru  $e$   
 $\longleftrightarrow$  1 parameter subgp. ( $\Rightarrow$  smooth even at  $e$ )



Critical sets are labelled by lattice points •  
is a fixed chamber.



$$\Omega \cdot G = \text{pt.} \cup E_1 \cup E_2 \cup \dots$$

$\downarrow D^2$        $\downarrow D^8$

G/T       $\mathbb{C}\mathbb{P}^2$

Attaching maps are difficult to describe.

## Free loop groups

$\mathcal{L}G \triangleq \text{Map}(S^1, G)$  (ptwise multi  $\Rightarrow$  gp str.)

$\mathcal{L}G \longrightarrow \Omega G$  via  $\gamma(t) \mapsto \gamma(t)\gamma^{-1}(1)$

$$\rightsquigarrow 1 \longrightarrow \overset{\text{"}}{G} \longrightarrow \mathcal{L}G \longrightarrow \Omega G \longrightarrow 1$$

{const. loops}

Claim:  $\exists$  central extension

$$1 \longrightarrow S^1 \longrightarrow \widehat{\mathcal{L}G} \longrightarrow \mathcal{L}G \longrightarrow 1$$

st.  $\Omega G$  is a coadj. orbit!

(so it has a cell decomposition.)

View  $\mathcal{L}G$  as  $\mathcal{G}$  for trivial  $G$ -bdl /  $S^1$

§ Connections & curvature.

Principal  $G$ -bdl.  $G \rightarrow P \rightarrow M$

( $\Leftrightarrow P \curvearrowleft G$  free action (s.t.  $M = P/G$ ))

$P$  trivial  $\Leftrightarrow P$  has a section

( $G$ -torsor  $\mathcal{T}$ , pick  $s \in \mathcal{T} \rightsquigarrow \mathcal{T} \xrightarrow[s]{\sim} G$ )

Group of gauge transformations:

$\mathcal{G} = \text{Aut}(P) \ni h : P \rightarrow P$  and  
 $\downarrow \quad \downarrow$   
 $M = M$

$$h(p \cdot g) = h(p) \cdot g$$

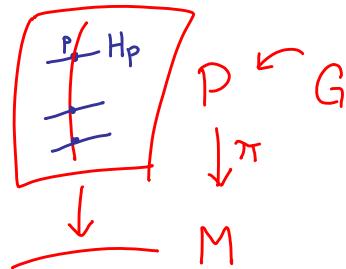
If  $P = M \times G \Rightarrow \mathcal{G} = \text{Map}(M, G)$

If  $P = S^1 \times G \Rightarrow \mathcal{G} = \mathcal{L}G$

## Space of connections:

$$\mathcal{A} = \mathcal{A}(P) \ni A$$

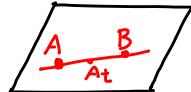
Recall: Connection is an  $G$ -equivariant splitting of



$$0 \rightarrow T_{vert} P \rightarrow TP \xleftarrow{\quad} \pi^* TM \rightarrow 0$$

- $\mathcal{A}$  an affine space

$$(i.e. A, B \in \mathcal{A} \Rightarrow A_t = tA + (1-t)B \in \mathcal{A} \quad \forall t \in \mathbb{R})$$



If  $A$  &  $B$  2 splitting, then

$$A - B \in \Gamma(P, \pi^* T_M^* \otimes T_{vert} P)$$

$G$ -equivariant  $\Rightarrow$  descend to  $M$

$$A - B \in \Omega^1(M, ad P)$$

where  $ad P = P \underset{\text{Ad}}{\times} \mathfrak{o}_j$  VB/M

$$\mathcal{A} = A + \Omega^1(M, ad P)$$

- Differential form viewpoint.

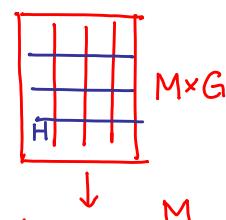
$$T_p P \ni H_p = \text{Ker } \mathbb{H}_{A,p} \quad w/ \quad \mathbb{H}_A \in \Omega^1(P, \mathfrak{o}_j)^G$$

- $\exists$  canonical  $\mathbb{H} = \bar{g}^{-1} dg \in \Omega^1(G, \mathfrak{o}_j)^G$

Namely conn. on  $G$ -bdl. / 1 point.

By pullback, this is the trivial

conn. on  $P = M \times G$ :



$$\mathbb{H} ? \quad g : P = G \longrightarrow G \quad (\text{The identity map})$$

$$\mathbb{H} : T_g P \xrightarrow{dg} T_g G \xrightarrow{L_g} T_e G = \mathfrak{o}_j$$

$$i.e. \quad \mathbb{H} = g^{-1} dg \in \Omega^1(P, \mathfrak{o}_j)^G$$

When  $P = M \times G$  trivial

$\hookrightarrow \exists$  canon. connection  $(\mathbb{H})$  on  $A$

$$\Rightarrow \Omega^1(M, \mathfrak{o}) \xrightarrow{\sim} A$$

$$A \mapsto \text{Ker } \mathbb{H}_A = H_A$$

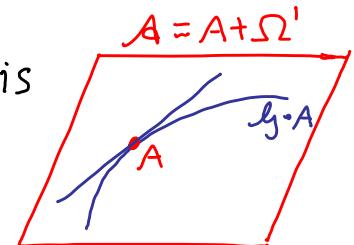
$$\text{with } \mathbb{H}_A = g^{-1}dg + g^{-1}\pi^*A g$$

- $\mathcal{L}_g \curvearrowright A \ni A$

$$\text{Lie } \mathcal{L}_g = \Omega^0(M, \text{ad } P), \quad T_A A = \Omega^1(M, \text{ad } P)$$

The linearization of action at  $A$  is

$$\Omega^0(M, \text{ad } P) \xrightarrow{D_A} \Omega^1(M, \text{ad } P)$$



(see below) ( $D_A \xi = d\xi + [A, \xi]$  wrt loc. trivializ<sup>n</sup>)

$\text{Ker } D_A = \text{infinitesimal stabilizer of } A$

- $P = M \times G \Rightarrow \mathcal{L}_g = \text{Map}(M, G)$

$$\mathcal{L}_g \curvearrowright A \xrightarrow{\sim} \Omega^1(M, \mathfrak{o}) \ni A$$

$$h \cdot A = h^{-1}dh + h^{-1}Ah$$

Write  $h_t = e^{t\xi}$  w/  $\xi \in \Omega^0(M, \mathfrak{o})$ ,

$$\left. \frac{d}{dt} \right|_{t=0} (h_t \cdot A) = d\xi + A\xi - \xi A = D_A \xi$$

Now  $M = S^1$   $\Rightarrow$   $\mathcal{L}_g \curvearrowright \begin{matrix} A \\ \parallel \\ \Omega^1(S^1, \mathfrak{o}) \end{matrix}$   
 and  $P = M \times S^1$

$$(\text{Note: } A/\mathcal{L}_g \xrightarrow{\text{monodromy}} G/\text{Ad}G \cong T/W)$$

"This behaves like adj. repr. of cpt. Lie groups"

(metrics on  $M$   $\hookrightarrow$  metric of  $A \xleftarrow{\text{isometry}} \mathfrak{g}$ )

$$B(\theta)d\theta, C(\theta)d\theta \in T_A A = \Omega^1(M, \mathfrak{g})$$

$$\Rightarrow (B, C)_{g_A} = - \int_{S^1} \text{Tr } B(\theta) C(\theta) d\theta$$

Prop. Cartan subalg.  $h \subset \mathfrak{g} \xrightarrow[\text{const. 1-forms}]{\Omega^1(M, \mathfrak{g})} A$

$\mathfrak{g}$ -orbit  $\perp h$  in  $A$

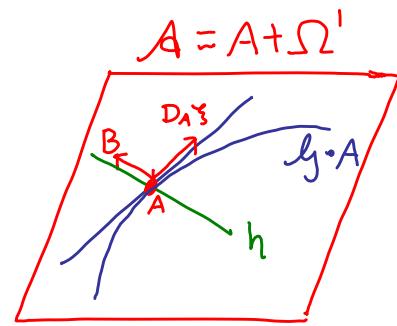
Pf. Given  $A \in \mathfrak{g} \cdot A \cap h$   
 $\forall B \in h, \xi \in \Omega^0(S^1, \mathfrak{g})$

$$(D_A \xi, B) \neq 0$$

$$= \int_{S^1} \left\langle \frac{d\xi}{d\theta} + [A, \xi], B \right\rangle d\theta$$

$$= \int_{S^1} \left\langle \frac{d\xi}{d\theta}, B \right\rangle d\theta + \int_{S^1} \underbrace{\left\langle [A, \xi], B \right\rangle}_{\begin{array}{l} (\because \mathfrak{g} \cong \text{a isometry}) \\ = 0 (\because A, B \in h \text{ Abelian}) \end{array}} d\theta$$

(by part.  $\frac{dB}{d\theta} = 0$ )



Infinitesimal stabilize  $= \text{Ker } D_A|_{\Omega^0(S^1, \mathfrak{g})} = ?$

$$0 = D_A \xi = \frac{d\xi}{d\theta} + [A, \xi]$$

i.e.  $\xi(\theta) = e^{-\theta \text{ad } A} \xi(0)$  and  $\xi(1) = \xi(0)$

$$\Rightarrow \xi(0) \in \text{Ker}(1 - e^{-\text{ad } A})$$

$$\text{Ker } D_A|_{\Omega^0(S^1, \mathfrak{g})} = \text{Ker}(1 - e^{-\text{ad } A}) \Rightarrow$$

$$\dim(\text{---}) = \dim h + 2 \times (\# \text{ root planes thru. } A \in h)$$

$\mathcal{G} \cdot A$  meets  $h$   $\infty$  many times!

Consider  $A \in h$ .

$$A^g = g^{-1}A g + g^{-1}dg \in \mathcal{G} \cdot A \cap h$$

if 1°. When  $g \in G \subset \mathcal{L}G$ , i.e. const. gauge

$$g^{-1}dg = 0$$

( $\perp \text{Ker } D_A \implies g \in W = \frac{N(T)}{T}$  Weyl group)

2° When  $g \in \mathcal{L}T \subset \mathcal{L}G$ , so  $\bar{g}'(\theta) A \bar{g}'(\theta)^{-1} = A \forall \theta$

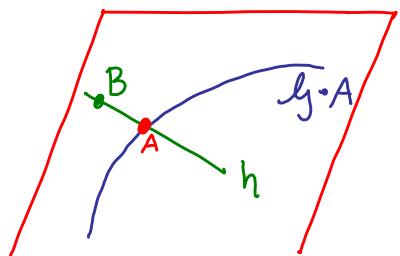
$$\implies g^{-1}dg \equiv \text{const}$$

$\implies g(\theta)$  closed 1-parameter subgp. of  $G$

$$g \in \text{Hom}(S, T) \cong \mathbb{Z}^r$$

Fact: No other intersections.

$$\mathcal{G} \cdot A \cap h \longleftrightarrow W \times \mathbb{Z}^r$$



Morse theory for distance<sup>2</sup> fu.  
of  $\mathcal{G} \cdot A$  from generic  $B \in h$

$$E : \mathcal{G} \cdot A \longrightarrow \mathbb{R}$$

$$E(A') = \frac{1}{2} (A' - B, A' - B)$$

Claim:  $\text{Crit}(E) = \mathcal{G} \cdot A \cap h$

Pf:  $A' \in \text{Crit}(E)$

$$\iff \int \left\langle \frac{d\zeta}{d\theta} + [A', \zeta], A' - B \right\rangle = 0 \quad \forall \zeta \in \Omega^0(S^1, \mathfrak{g})$$

$$\iff \frac{dA'}{d\theta} + [B, A'] = 0 \iff A'(\theta) = e^{-\theta \text{ad } B} \underbrace{A'(0)}_{A'(1)}$$

$B$  generic  $\implies A'$  const. in  $h$

## § Moment maps

$$f: M \rightarrow \mathbb{R}$$

i.e.  $S^1 \curvearrowright (M, \omega)$  symplectic

s.t.  $\sharp \times \omega = -df$ ,  $\times$  v.f. gen. by  $S^1$ -action.

Prop:  $f$  moment map

$\Rightarrow \text{crit}(f)$  non-degen.,  $\text{index } f \in 2\mathbb{Z}$

If  $\text{crit}(f)$  discrete  $\Rightarrow f$  perfect Morse function.

In particular,  $H^{\text{odd}} = 0$  and  $\text{Tor } H^i = 0$ .

Pf:  $p \in \text{Crit}(f) = M^{S^1}$  fix point set.

$S^1 \curvearrowright T_p M$  as representation

Choose an  $S^1$ -inv. metric  $M$ , so  $S^1 \rightarrow \text{Isom}(M, g)$

$\left( S^1 \text{ irred rep.} : S^1 \xrightarrow{\text{trivial}} \mathbb{R} \text{ or } S^1 \xrightarrow{e^{2\pi i n \theta}} \mathbb{R}^2 = \mathbb{C} \leftrightarrow n \in \mathbb{Z} \setminus 0 \right)$

$T_p M = N_p \oplus E_1 \oplus \dots \oplus E_N$  (ortho. dec.)  
 $S^1$ -action: trivial  $\langle n, - \rangle$  ....

$S^1$  acts on geodesics  $\Rightarrow M^{S^1} \xrightarrow{\text{loc.}} N_p \Rightarrow M^{S^1}$  smooth

Furthermore:  $nbd(M^{S^1}) \xleftarrow{\sim} \text{Disk}(\tilde{E}_1 \oplus \dots \oplus \tilde{E}_N) \downarrow M^{S^1}$

Eg. Coadj. orbit  $f: M \subset \mathfrak{g}^* \xrightarrow{\langle X, - \rangle} \mathbb{R}$

(enough to assume  $X$  generates a cpt. gp. act. ✓).

$$\text{Eg. } M = S^2(a) \subset \mathbb{R}^3 = \underline{\text{so}}(3)^*$$

$$x^2 + y^2 + z^2 = a^2$$

$$\omega \triangleq x dy dz - y dx dz + z dx dy \in \Omega^2(\mathbb{R}^3)^{\underline{\text{so}}(3)}$$

$$d\omega = 3 dx \wedge dy \wedge dz$$

$\rightsquigarrow \omega|_{S^2(a)} : \text{SO}(3)\text{-inv. sympl. form.}$

In general, choose base  $x^1, \dots, x^m$  for  $\mathfrak{g}$

$$[x^i, x^j] = \sum C_{ijk} x^k$$

$$\rightsquigarrow x^i : \mathfrak{g}^* \rightarrow \mathbb{R}$$

$$\omega := \frac{1}{2} C_{ijk} x^k dx^i \wedge dx^j \in \Omega^2(\mathfrak{g}^*)^G$$

then  $\omega|_{\text{Any coadj. orbit}} : G\text{-inv. symp. form}$

Claim:  $S^1 \leq \text{SO}(3) \curvearrowright S^2(a)$  rotate about  $z$ -axis

$\Rightarrow$  moment map  $f = -a^2 z$

Pf.  $X = x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x}$  ( $\sim$  rotate  $z$ -axis)

$$i_X \omega = x^2 dz - xz dx + y^2 dz - yz dy$$

$$= (x^2 + y^2) dz - z d(x^2 + y^2)/2$$

$$= a^2 dz \quad (\because x^2 + y^2 = a^2 - z^2)$$

§ Exact stationary phase for moment maps

$$f : M^{2n} \rightarrow \mathbb{R}$$

Prop 1 Stationary phase approximation.

$$\int_M e^{-2\pi i f t} d\nu \underset{t \rightarrow \infty}{\sim} \sum_{df(p)=0} \frac{1}{t^n} \frac{e^{-2\pi i f(p)t - \frac{\pi}{4} i \text{sign H(f)}}}{|\det H(f)_p|^{1/2}}$$

$\swarrow$  Hessian

Prop 2 If  $f$  is moment map,

$$\int_M e^{-tf} \frac{\omega^n}{n!} = \frac{1}{t^n} \sum_{df(p)=0} \frac{e^{-t f(p)}}{m_p}, \quad \left( m_p = (-1)^{\frac{1}{2} \text{Morse Ind.}} |\det H(f)_p|^{1/2} \right)$$

Eg:  $f = -z : S^2 \rightarrow \mathbb{R}$  (moment map ✓)

$$\frac{1}{4\pi} \int_{S^2} e^{tz} \omega \stackrel{\text{Prop 2}}{=} \frac{1}{t} \left( \frac{e^t}{2} - \frac{e^{-t}}{2} \right) = \frac{\sinh t}{2}$$

Explicit calculation: In cylindrical coord.  $(r, \theta, z)$

$$\omega = r^2 d\theta dz + z r dr d\theta$$

$$= (r^2 + z^2) d\theta dz = d\theta dz \quad (\because r^2 + z^2 = 1 \text{ on } S^2)$$

$$X = \frac{\partial}{\partial \theta} \text{ and } \mathcal{L}_X \omega = df \implies f = -z$$

$$\int_{S^2} e^{-tz} \omega = \int_{z=-1}^1 \int_{\theta=0}^{2\pi} e^{tz} d\theta dz = 2\pi \frac{1}{t} [e^{tz}]_{z=-1}^1 = \text{same}$$

Pf. of Prop 1.

Consider distribution  $\varphi \in C^\infty(M)$

$$Z(\varphi) := \int_M e^{2\pi i f t} \varphi \frac{\omega^n}{n!}$$

Lemma:  $\text{Supp}(\varphi) \cap \text{Crit}(f) = \emptyset$

$\Rightarrow Z(\varphi) \rightarrow 0$  faster than any power of  $t$

$$\text{i.e. } |Z(\varphi)| \leq \frac{C_n(\varphi)}{t^n}$$

Pf. of lemma: On  $\text{Supp}(\varphi)$ ,  $\exists$  v.f.  $Y$  s.t.  $2\pi Y(f) = 1$

$$0 \underset{(2\pi=1)}{=} \int \mathcal{L}_Y [e^{ift} \varphi \frac{\omega^n}{n!}]$$

$$= \int it \underbrace{Y(f)}_1 e^{ift} \varphi \frac{\omega^n}{n!} + \int e^{ift} \mathcal{L}_Y \varphi \frac{\omega^n}{n!}$$

$$\Rightarrow |t| \left| \int e^{ift} \varphi \right| \leq \int |\mathcal{L}_Y \varphi| = C \quad \text{indep of } t$$

$$\text{i.e. } |Z(\varphi)| \leq C/t$$

lemma  $\Rightarrow$  reduce to local contributions  
near critical points.

Near critical point  $o \in \mathbb{R}$ ,  $f = \frac{x^2}{2} + \text{h.o.t.}$

$$\cdot \int_{\mathbb{R}} e^{-x^2} \frac{dx}{\sqrt{\pi}} = 1$$

$$\cdot \int_{\mathbb{R}} e^{-ax^2} \frac{dx}{\sqrt{\pi}} = \frac{1}{\sqrt{a}} \quad \text{if } \operatorname{Re} a > 0$$

$$\cdot \int_{\mathbb{R}} e^{-iax^2} \frac{dx}{\sqrt{\pi}} = \frac{1}{\sqrt{a}} e^{-i\frac{\pi}{4}} = \frac{1}{\sqrt{a}} e^{-i\frac{\pi}{4} \operatorname{sign}(a)}$$

$$\cdot Q \in S^2 \mathbb{R}^{n*} \text{ non-degen.}$$

$$\int_{\mathbb{R}^n} e^{-iQ(x)} \frac{dx_1}{\sqrt{\pi}} \frac{dx_2}{\sqrt{\pi}} \dots \frac{dx_n}{\sqrt{\pi}} = \frac{1}{|\det Q|^{1/2}} e^{-i\frac{\pi}{4} \operatorname{sign} Q}$$

Hence prop. 1.

QED

Proof of prop. 2 (skip).

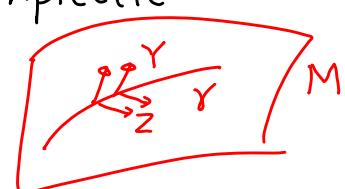
(reason: Equivar. Darboux  $\Rightarrow f = ax^2$  exactly wrt std vol.)

E.g.  $f = \langle X, - \rangle : M \subset \underset{\text{coadj. orbit}}{O^*} \rightarrow \mathbb{R}$

$$\int_M e^{2\pi i f t} \frac{\omega^n}{n!} \xrightarrow{\text{distribut}^2} \frac{1}{t^n} \sum_p a_p \delta_p.$$

$\infty$  dim "eg."  $(M, g) \Rightarrow \mathcal{L}M$  symplectic

$$\omega(Y)(Y, Z) := \int_{S^1} \langle \nabla_Y Y, Z \rangle$$



$$d\omega = 0, \quad \omega \text{ (mildly degen.)}$$

$$S^1 \curvearrowright (\mathcal{L}M, \omega) \xrightarrow{E} \mathbb{R}$$

moment map is  $E(Y) = \frac{1}{2} \int |dy|^2$  energy.

$$(\mathcal{L}M)^{S^1} = M \text{ const. loops.}$$

$$\int_{\mathcal{L}M} e^{2\pi i t E} \frac{\omega^{n-\infty}}{n!} = \int_M \hat{A}(M) = \text{Index } \mathcal{D}$$

Dirac operator

## § Atiyah-Bott's Lefschetz fix pt. formula

$$(M, \omega) \xrightarrow[\text{sympl. mfd}]{} \begin{array}{c} \text{Quantization} \\ (\text{need } [\omega]/\mathbb{Z}) \end{array} \mathcal{H} \quad \begin{array}{c} \mathcal{H} \\ \text{Hilbert space} \end{array}$$

e.g.  $\mathcal{H} = H^0_{\bar{\partial}}(M, L)$  w/  $c_1(L) = [\omega]$   
 (use complex polarization).

$$G \curvearrowright (M, \omega) \implies G \curvearrowright \mathcal{H}$$

Conversely, representations should be realized as quantization of coadjoint orbits.

Eg. Representations of  $G = SU(2)$

$$\text{Std. repr. } SU(2) \curvearrowright \mathbb{C}^2 = V$$

$\{S^n V\}_{n=1}^\infty$  is a complete list of irred.  $G$ -repr.

Compact group  $\sim$  Finite group  
 Rep.  $G \xrightarrow{\rho} V$  is determined by character  
 $\chi_v: G \rightarrow \mathbb{C}, \chi_v(g) = \text{Tr}_v \rho(g)$  (class fu.).  
 Again, determined by  $\chi_v|_T$  (by conjugation thm).  
 So  $\iota_T^*: \text{Rep } G \hookrightarrow \text{Rep } T$ .

For  $(z z^{-1}) \in T \leqslant SU(2) \curvearrowright V = \mathbb{C}^2$  std.

$$\chi_v(z z^{-1}) = z + z^{-1}. \quad \text{Write } \iota_T^* V = L \oplus L^{-1}$$

$$\Rightarrow \iota_T^*(S^2 V) = S^2(L \oplus L^{-1}) = L^2 + \mathbb{C} + L^{-2}$$

$$\chi_{S^2 V} = z^2 + 1 + z^{-2}$$

$$\text{Similarly, } \chi_{S^n V} = z^n + z^{n-2} + \dots + z^{-n}$$

How do these come from quantization?

Coadj. orbits of  $SU(2) \longleftrightarrow S^2(a) \subset \mathbb{R}^3 = \underline{\text{su}}(2) \text{ w/ } a > 0$

$$[\omega|_{S^2(a)}] = a \in H^2(S^2(a)) = \mathbb{R}$$

quantized condition:  $a = n \in \mathbb{Z}_{\geq 0}$   
 $\Rightarrow \exists L \text{ s.t. } c_1(L) = [\omega|_{S^2(n)}], \begin{matrix} L \\ \downarrow \\ SU(2) \end{matrix} \rightsquigarrow S^2(n)$

Choose compat. cpx. str. (i.e. cpx. polarization)

$$\Rightarrow (S^2(n), L) = (\mathbb{C}\mathbb{P}^1, O(n)) \quad (\text{unless } n=0)$$

$$\rightsquigarrow SU(2) \rightsquigarrow \mathcal{H} = H^0_{\bar{\partial}}(\mathbb{C}\mathbb{P}^1, O(n)) = S^n \vee$$

$$H^{>0}_{\bar{\partial}}(\_, \_) = 0$$

(Should really use virtual repr.  $\sum_i (-1)^i H^i(M, L)$ )

Note:  $G \xrightarrow{\quad L \quad} M \rightsquigarrow G \xrightarrow{\quad P \quad} H^*(M, L)$

$\rightsquigarrow$  character  $\chi : G \longrightarrow \mathbb{R}$

$$\chi(g) = \sum_i (-1)^i \text{Tr}_{H^i} P(g)$$

Claim:  $\chi$ : can be computed by fix pt. formula.

In general,  $\forall$  holo.  $L \xrightarrow{\hat{\varphi}} M \xrightarrow{\varphi} M$

$$\rightsquigarrow \varphi^* : H^i(M, L) \rightarrow H^i(M, M)$$

Lefschetz number

$$L(\varphi) \triangleq \sum_i (-1)^i \text{Tr}_{H^i} \varphi^* \stackrel{\text{Thm}}{=} \sum_{\varphi(p)=p} \frac{\text{Tr } \hat{\varphi}(p)}{\det(1 - \partial \varphi_p)}$$

Recall  $\varphi(p) = p \Rightarrow \hat{\varphi}(p) : L_p \rightarrow L_{\varphi(p)=p} \Rightarrow \text{Tr} \quad \checkmark$   
 $\partial \varphi_p : T_p^{1,0} M \rightarrow T_p^{1,0} M$

Recall: Classical Lefschetz fix point formula.

$$\varphi : M \longrightarrow M \quad \text{s.t. } \text{Graph}(\varphi) \pitchfork \Delta \subset M \times M$$

$$L(\varphi) \triangleq \#\text{fix pt.} = \sum_{\varphi(p)=p} (\pm 1) = \#\text{Graph}(\varphi) \cap \Delta$$

$$\text{Thm. } L(\varphi) = \sum_i (-1)^i \text{Tr} \varphi^* \Big|_{H^i(M, \mathbb{R})}$$

In the special case  $\varphi = 1_M$

$$\#\text{fix pt} = \#M = \chi(M) = \sum (-1)^i b_i = \sum (-1)^i \text{Tr} 1 \Big|_{H^i}$$

"Reason": 1° Finite set  $M$

$$\begin{aligned} \varphi : M \longrightarrow M &\rightsquigarrow \varphi^* : C(M) \xrightarrow{\text{basis } \delta_{x_i}'s} C(M) (= \Omega^*(M)) \\ &\Rightarrow \text{Tr } \varphi^* = \#\text{Fix}(\varphi) \end{aligned}$$

$$\text{Note: } \varphi^* f(x) = \sum_{y \in X} \underbrace{\delta(y - \varphi(x))}_{K(x, y)} f(y)$$

$K(x, y)$  matrix/kernel

$$\text{Tr } \varphi^* = \sum_{x \in X} K(x, x)$$

2° Smooth manifold  $M$

On  $\Omega^*(M)$ , as above

$$\begin{aligned} \text{Tr}_{\Omega^*} \varphi^* &= \int_X K(x, x) dx \\ &= \int_X \underbrace{\delta(x - \varphi(x))}_u du \quad (du = (I - d\varphi) dx) \\ &= \int_X \delta(u) \frac{du}{1 - d\varphi} = \sum_{u \in \text{Fix}(\varphi)} \frac{1}{|1 - d\varphi(u)|} \end{aligned}$$

Similarly,

$$\text{Tr}_{\Omega^i} \varphi^* = \sum_{u \in \text{Fix}(\varphi)} \frac{\text{Tr } \varphi^* |_{\wedge^i T_u^*}}{|\det(1 - d\varphi(u))|}$$

Finite dim. cpx.  $(\Omega^\bullet, d) \xrightarrow{\varphi^*}$  chain map

$$\Rightarrow \text{Tr } \varphi^*|_{\Omega^\bullet} = \text{Tr } \varphi^*|_{H^\bullet}$$

Back to manifold case,

$$\text{Tr } \varphi^*|_{H^\bullet} = \text{Tr } \varphi^*|_{\Omega^\bullet}$$

$$= \sum_{u \in \text{Fix}(\varphi)} \frac{\sum (-1)^i \text{Tr } \Lambda^i \dot{\varphi}(u)}{|\det(1 + \dot{\varphi}(u))|}$$

$$= \sum_{\text{Fix}(\varphi)} \frac{\det(1 + \dot{\varphi}(u))}{|\det(1 + \dot{\varphi}(u))|} = \sum_{\text{Fix}(\varphi)} (\pm 1)$$

$$(\text{Det}(I - A) = \sum (-1)^i \text{Tr } \Lambda^i A)$$

$$(\text{If } A \in O(2n) \Rightarrow \sqrt{\text{Det}(I - A)} = \Delta^+(A) - \Delta^-(A))$$

3° Holomorphic setting

$$\begin{array}{ccc} \mathbb{C}^r & \xrightarrow{\hat{\varphi}} & E \\ \downarrow & \curvearrowright & \downarrow \\ M & \xrightarrow{\varphi} & M \end{array}$$

$$L(\varphi) \triangleq \text{Tr } \varphi^*|_{H^{0,\bullet}(M, E)}$$

$$= \text{Tr } \varphi^*|_{\Omega^{0,\bullet}(M, E)}$$

$$= \sum_{\text{Fix}(\varphi)} \frac{(\sum (-1)^i \text{Tr } \Lambda^i \varphi'') \text{Tr}_E \hat{\varphi}^*}{\det(1 - \varphi') \cdot \det(1 - \varphi'')}$$

$$= \sum_{\text{Fix}(\varphi)} \frac{\text{Tr}_E \hat{\varphi}^*}{\det(1 - \varphi')}$$

4° Elliptic complex  $0 \rightarrow \Gamma(E_0) \xrightarrow{D} \Gamma(E_1) \xrightarrow{D} \dots$

$D^2 = 0$ , symbol seq. is exact.

(Atiyah-Bott fix point formula)

$$\text{Tr } \varphi^*|_{H^\bullet(E)} = \sum_{\text{Fix}(\varphi)} \frac{\sum (-1)^i \text{Tr } \varphi|_{E_i}}{|\det(1 - \varphi)|}$$

Back to  $G \curvearrowright M \subset \mathfrak{g}^*$   $\xrightarrow{\text{coadj. orbit}} G \curvearrowright H = H^*(M, L)$   
 For simplicity,  $M = G/T$ .  $\chi: T \leq G \rightarrow \mathbb{C}$  ?  
 (i.e. generic)

Claim: Generic  $t \in T \rightsquigarrow \varphi_t: G/T \xrightarrow{L_t} G/T$

$$\text{Fix}(\varphi_t) \simeq W$$

$$\boxed{\text{Pf}: \begin{aligned} \varphi_t([g]) = [g] &\iff tgT = gT \\ \iff g^{-1}tg \in T &\iff g^{-1}Tg \in T \\ \iff [g] \in \frac{N(T)}{T} = W & \quad (\because t \text{ generic}) \end{aligned}}$$

$$\text{Eg. } z = e^{2\pi i \theta} \in S^1 \simeq T \leq SU(2) \curvearrowright S^n V = H^0(\mathbb{CP}^1, O(n))$$

$$\chi(z) \xrightarrow[\text{formula}]{\text{Fix pt.}} \sum_{w \in W} \left( \frac{z^n}{1-z^{-2}} \right) \cdot w = \frac{z^n}{1-z^{-2}} + \frac{z^{-n}}{1-z^2} = \frac{z^n - z^{-n-2}}{1-z^{-2}} \\ = z^n + z^{n-2} + \dots + z^{-n}$$

In general,  $\lambda: T \rightarrow \mathbb{C}^\times$

$$\chi(\lambda) \xrightarrow[\text{formula}]{\text{Fix pt.}} \sum_{w \in W} \left( \frac{\lambda}{\prod(1-\alpha)} \right) \cdot w \quad \left( \begin{array}{l} \alpha: T \rightarrow \mathbb{C}^\times \\ \text{negative root} \end{array} \right)$$

$P := \prod_{\alpha \in R^+} \alpha$  has a square root (i.e.  $G/T$  is Spin)

$$\chi(\lambda) = \sum_w \frac{\lambda \sqrt{P}}{\prod(1-\alpha)(\prod \alpha^{-1})^{1/2}} \cdot w = \frac{1}{\prod(\alpha^{-\frac{1}{2}} - \alpha^{\frac{1}{2}})} \sum_w (-1)^{\text{sgn } w} (\lambda \sqrt{P})^w$$

i.e. Weyl character formula.