

Subwavelength localized modes for acoustic waves

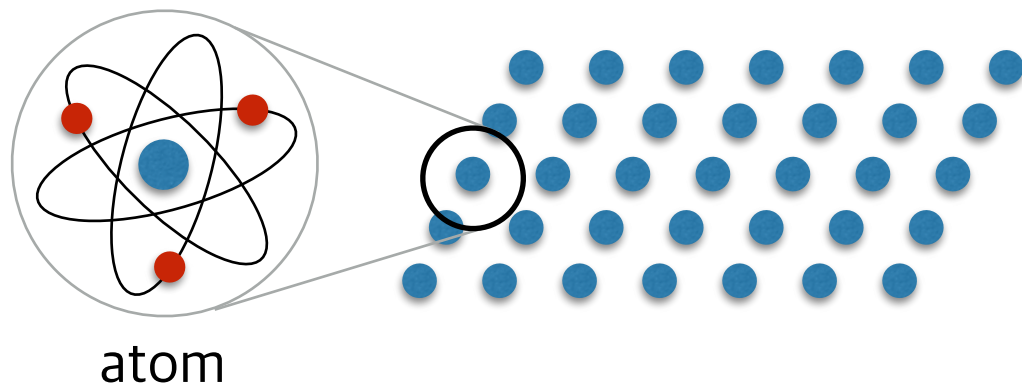
Sanghyeon Yu

Korea University

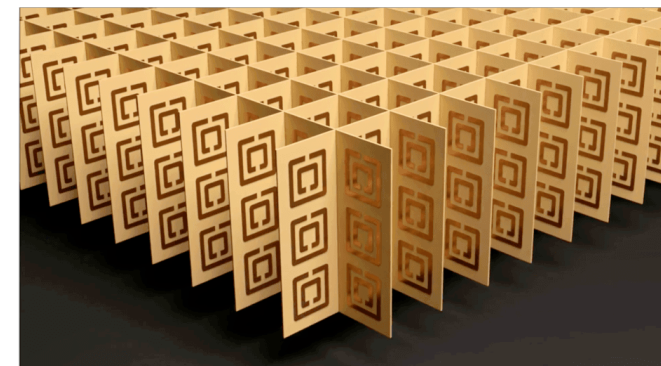
The 6th Young Scholar Symposium
East Asia Section of Inverse Problems International Association
March 26, 2023, CUHK

Meta-material

- ▶ A Material made of **artificially designed** atoms
- ▶ exhibit new material properties that **cannot be found in nature.**
(the Greek word 'meta' means beyond.)
- ▶ A new paradigm of materials sciences.



Ordinary Materials
(made of atoms)



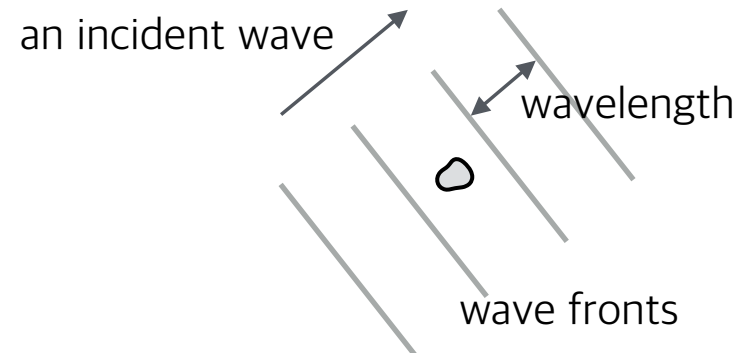
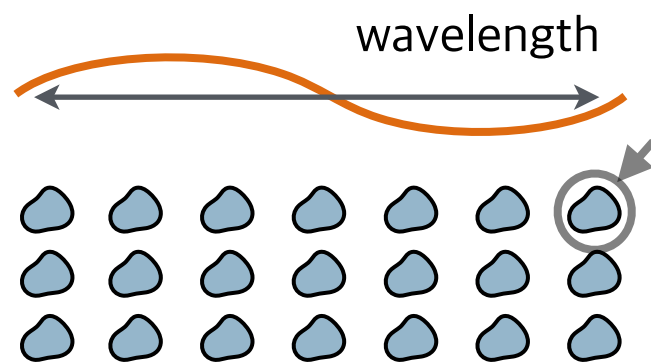
Meta-materials
(made of small **artificial** structures)

figures from wikipedia.org

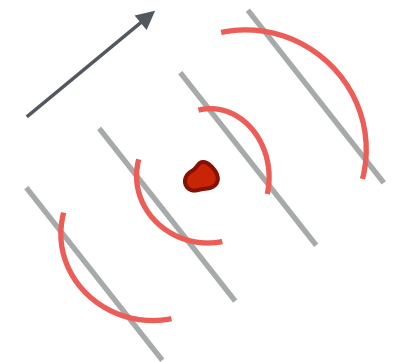
Designing Meta-materials

- ▶ Controlling **physical waves** in subwavelength scales overcoming the **diffraction limit**
(Electro-Magnetic, Acoustic, Elastic Waves)

- ▶ How? : use **sub-wavelength resonators**



a small ordinary scatterer
→ a negligible scattering



a **sub-wavelength resonator**
→ a strong scattering

A Sub-wavelength Resonance:

a resonance of a structure whose size is small compared to wavelength

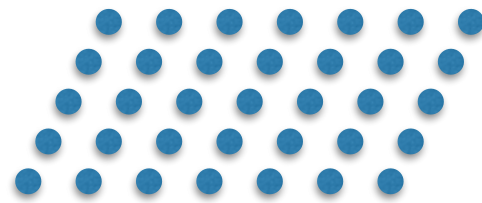
- ▶ Examples of meta-materials : negative refraction, cloaking, super-resolution, topological waveguides and so on...

Acoustic Metamaterials

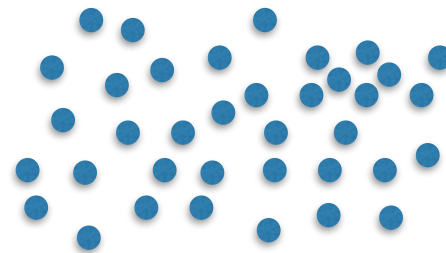
- ▶ Depending on the geometry of structures, meta-materials can have very different macroscopic behaviors



Ω : a finite system



Ω : periodic medium



Ω : random media

- ▶ A series of mathematical works on meta-materials

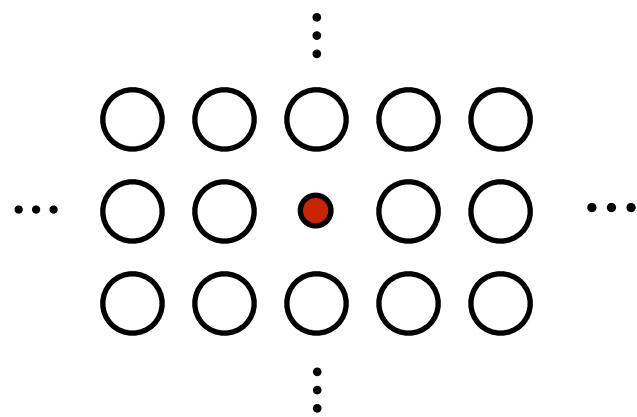
(by Habib Ammari, Bryn Davies, Brian Fitzpatrick, David Gontier, Erik O. Hiltunen, Hyundae Lee, SY, Hai Zhang)

Super-resolution imaging, Negative Refraction, High frequency homogenization,
Dirac Points in Honeycomb Crystals, Topological edge states,
Absorbing Metasurfaces, Exceptional points, Fano Resonances, etc..

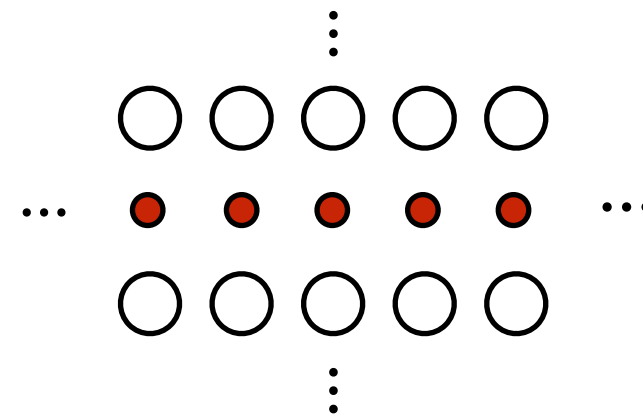
Localization of waves by defects

- ▶ In this talk, we focus on the **localization of waves by defects**

1. Point defect (SIAP 2018)



2. Line defect (JEMS 2022)



(joint works with H. Ammari, B. Fitzpatrick, E.O. Hiltunen)

- ▶ Previous works: A. Figotin, A. Krein, V. Goren, M.A. Hoefer, M.I. Weinstein, ...
 - > not valid for high-contrast coeff PDEs (hence not valid for metamaterials)
 - > mostly qualitative, quantitative results only for low-contrast coeffs PDE
- ▶ We develop an integral equation approach to characterize the defect modes motivated by the Fictitious Sources Superposition method (Wilcox et al, PRE 2005)
 - > valid for general-contrast coeff PDEs (including metamaterials)
 - > quantitative characterization

Acoustic Scattering by Bubbles

► Problem formulation

$$\begin{cases} \nabla \cdot \left(\frac{1}{\rho} \nabla u \right) + \omega^2 \frac{1}{\kappa} u = 0 & \text{in } \mathbb{R}^d \ (d = 2, 3), \\ \left| \left(\frac{d}{d|x|} - i\omega \right) (u - u^{in}) \right| = O(|x|^{-(d+1)/2}) & \text{as } |x| \rightarrow \infty. \end{cases}$$

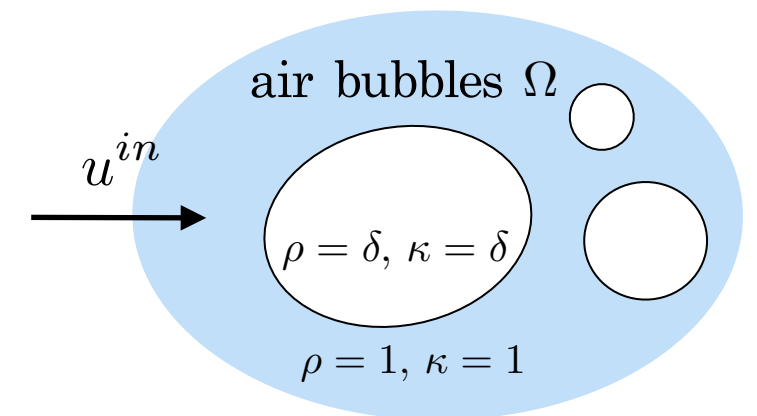
where

$$\rho = \begin{cases} \delta & \text{in } \Omega, \\ 1 & \text{in } \mathbb{R}^d \setminus \Omega. \end{cases}$$

mass density distribution

$$\kappa = \begin{cases} \delta & \text{in } \Omega, \\ 1 & \text{in } \mathbb{R}^d \setminus \Omega. \end{cases}$$

bulk modulus distribution



u : pressure field

ω : frequency

$$u(x, t) \sim u(x) e^{-i\omega t}$$

► Bubbles satisfy the **high-contrast condition** $\delta \ll 1$

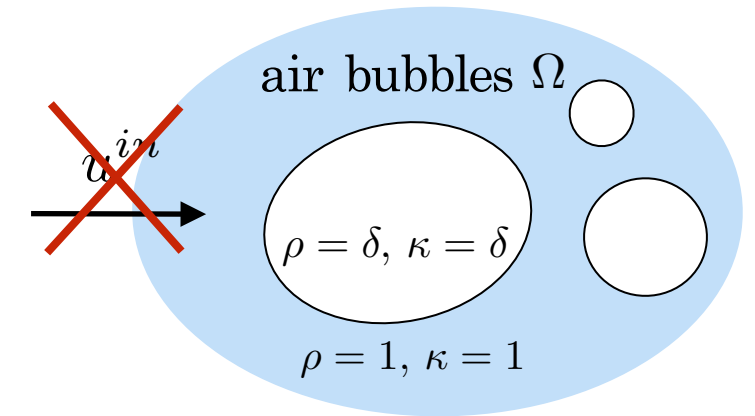
(breakdown of the ellipticity when $\delta \rightarrow 0$)

Resonances of Acoustic Bubbles

- ▶ **Resonances** : suppose that, for some frequency ω^* , there exists a **non-trivial solution** u^* to the scattering problem with **no incident wave**

$$\begin{cases} \nabla \cdot \left(\frac{1}{\rho} \nabla u^* \right) + (\omega^*)^2 \frac{1}{\kappa} u^* = 0 & \text{in } \mathbb{R}^d \ (d = 2, 3), \\ \left| \left(\frac{d}{d|x|} - i\omega^* \right) u^* \right| = O(|x|^{-(d+1)/2}) & \text{as } |x| \rightarrow \infty. \end{cases}$$

Then ω^* is called the **resonance frequency**
 u^* is called the **resonance mode**



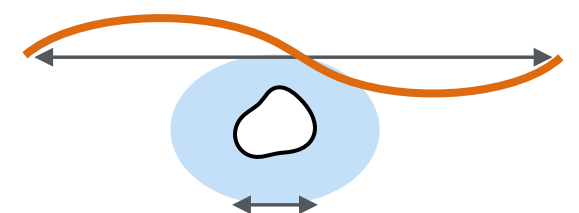
- ▶ it can be considered as the **eigenvalue problem** of the elliptic operator $-\kappa \nabla \cdot \frac{1}{\rho} \nabla$

$$\left(-\kappa \nabla \cdot \frac{1}{\rho} \nabla \right) u^* = (\omega^*)^2 u^*$$

- ▶ **sub-wavelength resonances**:

a resonance mode u^* with a **small** resonance frequency ω^*

small frequency $\omega \ll 1$
 or
 large wavelength $\lambda \gg 1$



Acoustic Scattering by Bubbles (integral equation)

► Integral Equation approach

- Green's function for (homogenous) Helmholtz equation: $(\Delta + \omega^2)G^\omega = \delta_0$

$$G^\omega(x) = -\frac{i}{4}H_0^{(1)}(\omega|x-y|) \quad (2D) \qquad G^\omega(x) = -\frac{e^{i\omega|x|}}{4\pi|x|} \quad (3D)$$

- Single layer potential

$$\mathcal{S}_\Omega^\omega[\varphi] := \int_{\partial\Omega} G^\omega(x-y)\varphi(y)d\sigma(y) \quad \text{for } \varphi \in L^2(\partial\Omega)$$

- The scattered field can be represented using the single layer potentials

$$u = \begin{cases} u^{in} + \mathcal{S}_\Omega^\omega[\psi] & \text{in } \mathbb{R}^d \setminus \bar{\Omega} \\ \mathcal{S}_\Omega^\omega[\varphi] & \text{in } \Omega \end{cases}$$

- How can we determine the source density functions (ψ, φ) ?

Acoustic Scattering by Bubbles (integral equation)

▶ Integral Equation

- The source density functions satisfy

$$\mathcal{A}_{\Omega}^{\delta}(\omega) \begin{pmatrix} \varphi \\ \psi \end{pmatrix} := \begin{pmatrix} \mathcal{S}_{\Omega}^{\omega} & -\mathcal{S}_{\Omega}^{\omega} \\ \partial_{\nu} \mathcal{S}_{\Omega}^{\omega}|_{-} & -\delta \partial_{\nu} \mathcal{S}_{\Omega}^{\omega}|_{+} \end{pmatrix} \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} u^{in}|_{\partial\Omega} \\ \partial_{\nu} u^{in}|_{\partial\Omega} \end{pmatrix}$$

▶ Resonances (integral equation formulation)

- We look for a frequency ω^* such that there exists a nontrivial solution (φ^*, ψ^*) to

$$\mathcal{A}_{\Omega}^{\delta}(\omega^*) \begin{pmatrix} \varphi^* \\ \psi^* \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

- ω^* is the **resonance frequency**
- (φ^*, ψ^*) gives the **resonance mode**

$$u^* = \begin{cases} 0 + \mathcal{S}_{\Omega}^{\omega^*}[\psi^*] & \text{in } \mathbb{R}^d \setminus \bar{\Omega} \\ \mathcal{S}_{\Omega}^{\omega^*}[\varphi^*] & \text{in } \Omega \end{cases}$$

Sub-wavelength Resonances of Bubbles

- ▶ It can be shown that a bubble can have a “sub-wavelength” resonance whose resonance frequency is small when the high contrast parameter δ is small

▶ a single bubble case

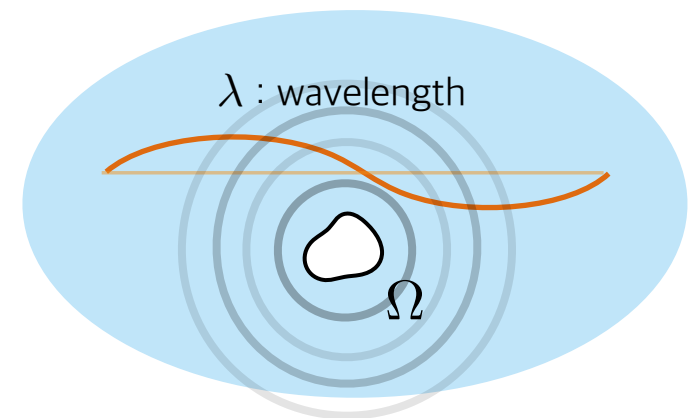
- sub-wavelength resonance frequency ω_δ

$$\omega_\delta = \sqrt{\frac{\text{Cap}_\Omega}{|\Omega|}} \delta^{\frac{1}{2}} - i \frac{\text{Cap}_\Omega^2}{8\pi|\Omega|} \delta + O(\delta^{\frac{3}{2}}) \quad \text{as } \delta \rightarrow 0$$

Cap_Ω : capacity associated to the domain Ω

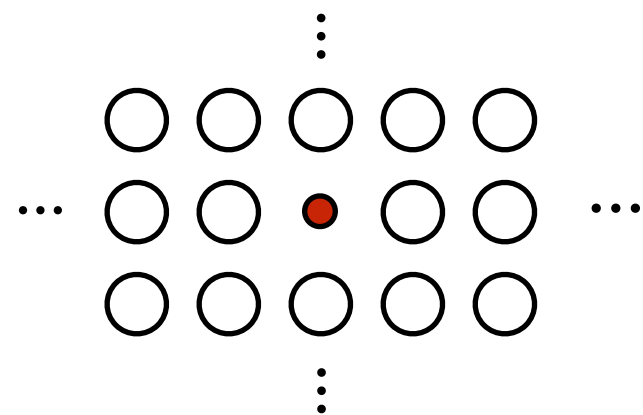
- A single bubble is a strong monopole scatterer

$$(u - u^{in})(x) \approx \frac{\text{Cap}_\Omega}{1 - \left(\frac{\omega_M}{\omega}\right) + i\gamma} u^{in}(0) G^\omega(x), \quad \text{for large } |x|. \quad \rightarrow \quad \text{“a bubble is a good sub-wavelength resonator”}$$

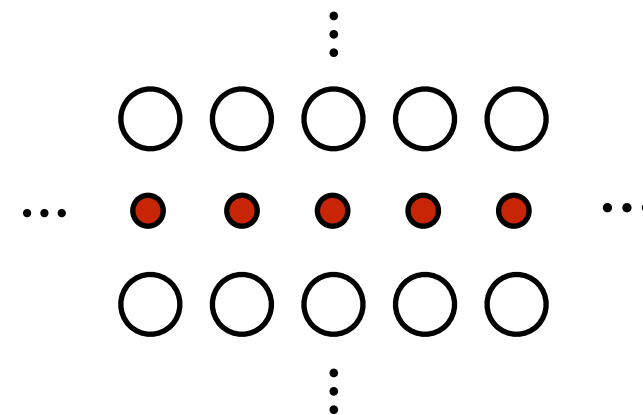


Subwavelength localized modes for acoustic waves

1. Point defect (SIAP 2018)



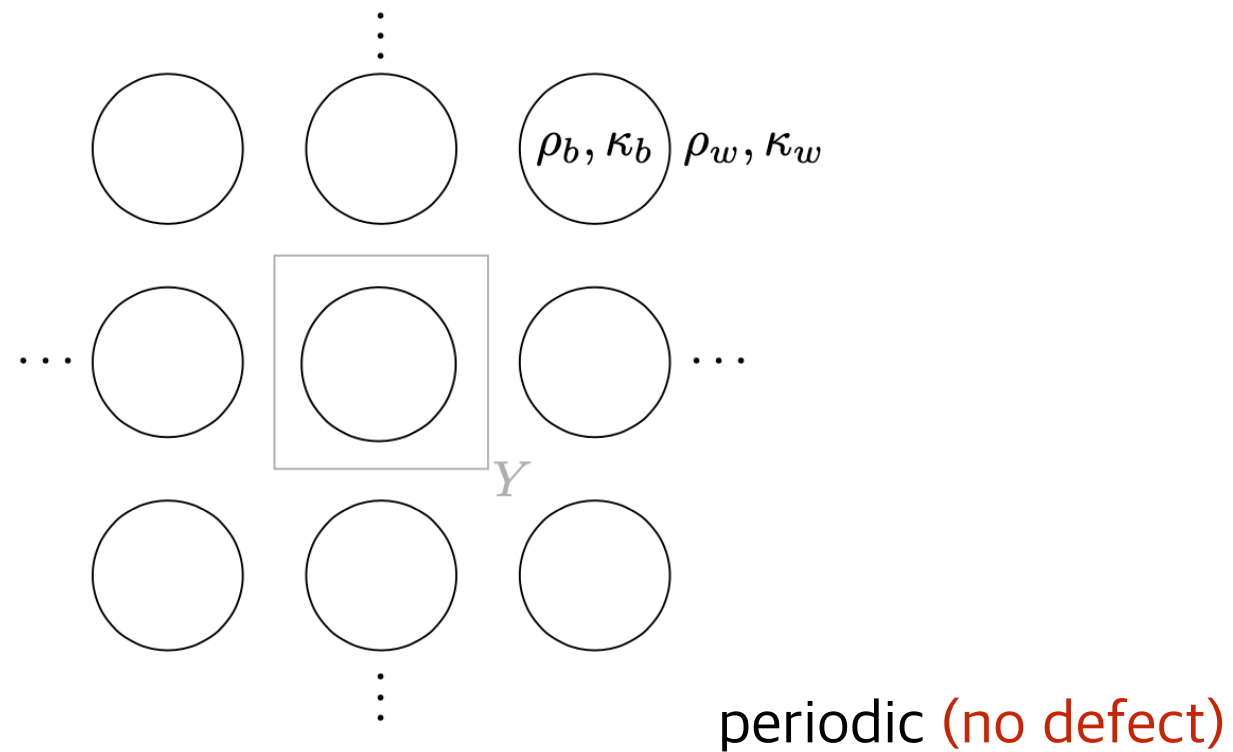
2. Line defect (JEMS 2022)



(joint works with H. Ammari, B. Fitzpatrick, E.O. Hiltunen)

Localized Modes by Defects

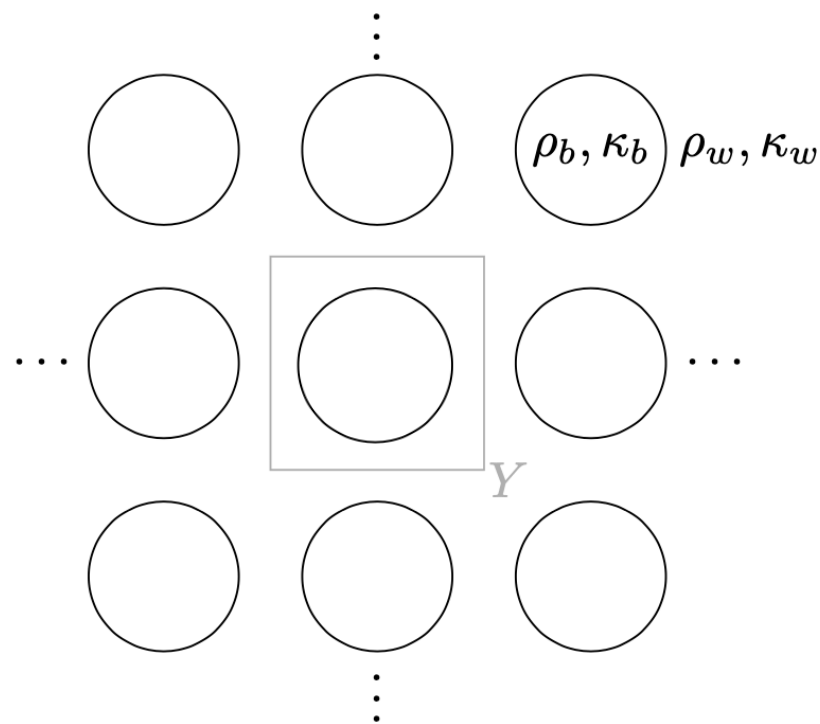
- ▶ Before considering the defects, let us briefly review wave propagation in the **perfectly periodic** structures



Localized Modes by Defects

- Point Defect Case

- ▶ a perfectly periodic structure



Bloch waves

$$v(x) = w(x)e^{i\alpha \cdot x}, \quad w : \text{periodic in } Y$$

α : Bloch momentum

$$\left\{ \begin{array}{l} \nabla \cdot \frac{1}{\rho_w} \nabla v + \frac{\omega^2}{\kappa_w} v = 0 \quad \text{in } Y \setminus \overline{D}, \\ \nabla \cdot \frac{1}{\rho_b} \nabla v + \frac{\omega^2}{\kappa_b} v = 0 \quad \text{in } D, \\ v|_+ - v|_- = 0 \quad \text{on } \partial D, \\ \frac{1}{\rho_w} \frac{\partial v}{\partial \nu} \Big|_+ - \frac{1}{\rho_b} \frac{\partial v}{\partial \nu} \Big|_- = 0 \quad \text{on } \partial D, \\ e^{-i\alpha \cdot x} v \text{ is periodic.} \end{array} \right.$$

- ▶ Integral equation formulation

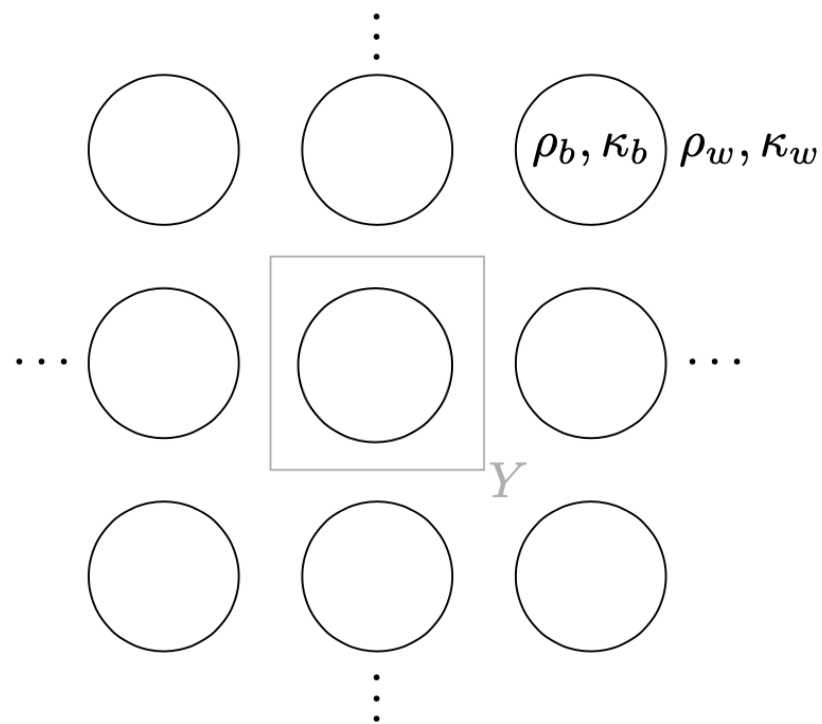
$$v = \begin{cases} \mathcal{S}_D^{\alpha, \omega}[\psi^\alpha] & \text{in } Y \setminus \overline{D} \\ \mathcal{S}_D^\omega[\varphi^\alpha] & \text{in } D \end{cases} \quad \mathcal{A}^\alpha(\omega) \begin{pmatrix} \varphi^\alpha \\ \psi^\alpha \end{pmatrix} := \begin{pmatrix} \mathcal{S}_D^\omega & -\mathcal{S}_D^{\alpha, \omega} \\ \partial_\nu \mathcal{S}_D^\omega|_- & -\delta \partial_\nu \mathcal{S}_D^{\alpha, \omega}|_+ \end{pmatrix} \begin{pmatrix} \varphi^\alpha \\ \psi^\alpha \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

Here, $\mathcal{S}_D^{\alpha, \omega}$ is the quasi-periodic single layer potential with the kernel $G^{\alpha, \omega}(x) = \sum_{n \in \mathbb{R}^2} G^\omega(x - n) e^{in \cdot \alpha}$

Localized Modes by Defects

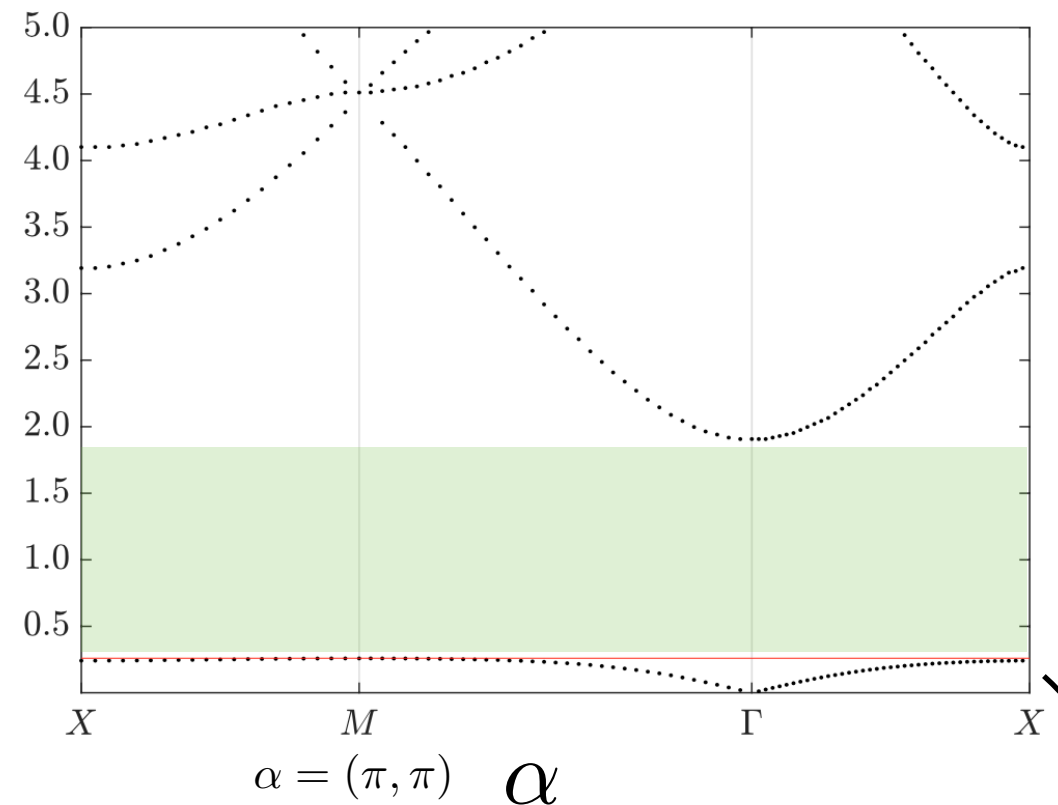
- Point Defect Case

- ▶ We begin with a perfectly periodic crystal



band-gap

3



ω_1^α

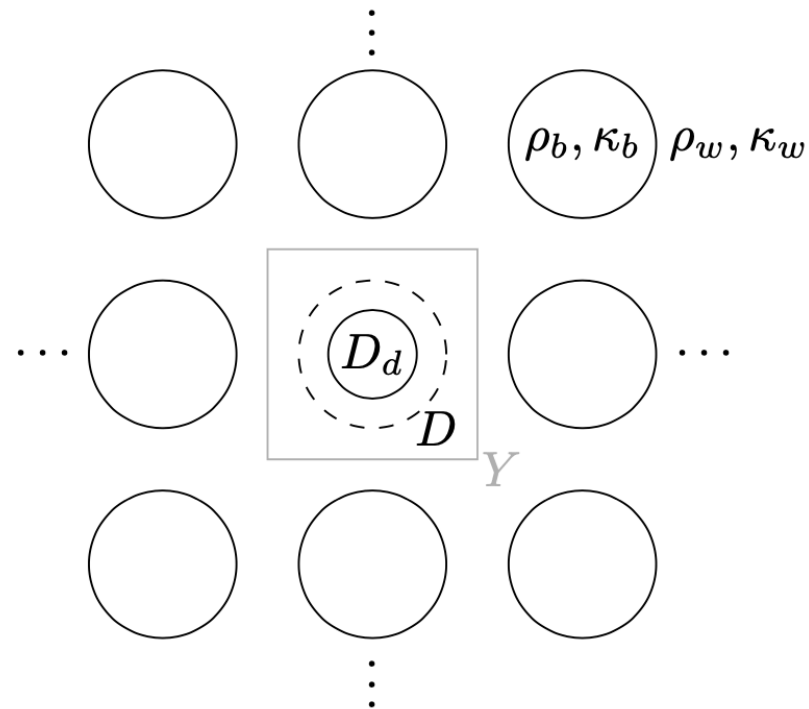
- ▶ We can prove that a band-gap opens in the sub-wavelength regime (in the band-gap, waves cannot propagate)

- ▶ the first sub-wavelength band (waves can propagate)

Localized Modes by Defects

- Point Defect Case

- ▶ We next introduce a **point defect** !

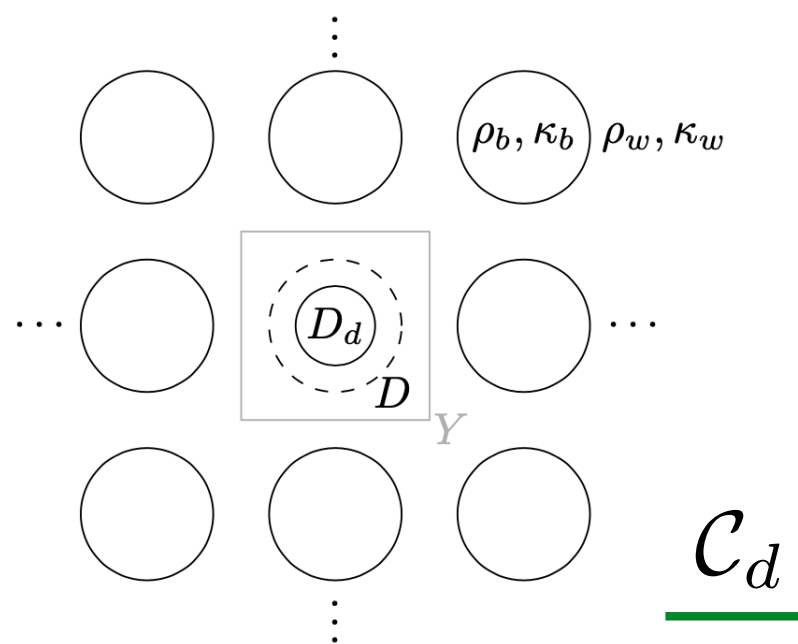


- ▶ No periodicity \rightarrow the Bloch wave analysis is not directly applicable
- ▶ We apply an integral equation approach to characterize the defect modes motivated by the **Fictitious Sources Superposition** method (Wilcox et al, PRE 2005)

Localized Modes by Defects

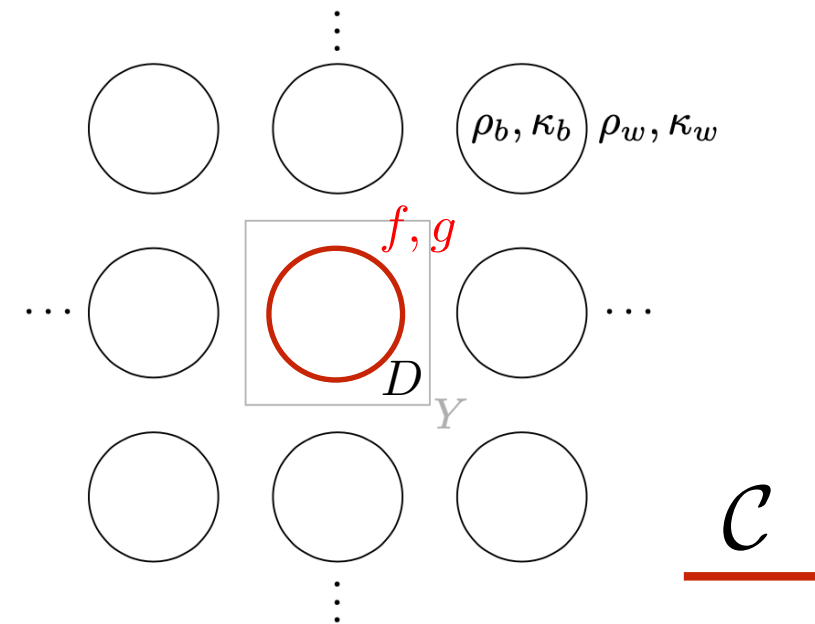
- Point Defect Case

▶ STEP 1 Fictitious Sources Characterization



$$\left\{ \begin{array}{l} \nabla \cdot \frac{1}{\rho_w} \nabla u + \frac{\omega^2}{\kappa_w} u = 0 \quad \text{in } \mathbb{R}^2 \setminus \mathcal{C}_d, \\ \nabla \cdot \frac{1}{\rho_b} \nabla u + \frac{\omega^2}{\kappa_b} u = 0 \quad \text{in } \mathcal{C}_d, \\ u|_+ - u|_- = 0 \quad \text{on } \partial \mathcal{C}_d, \\ \frac{1}{\rho_w} \frac{\partial u}{\partial \nu} \Big|_+ - \frac{1}{\rho_b} \frac{\partial u}{\partial \nu} \Big|_- = 0 \quad \text{on } \partial \mathcal{C}_d. \end{array} \right.$$

- geometry : non-periodic
- interface conditions: standard



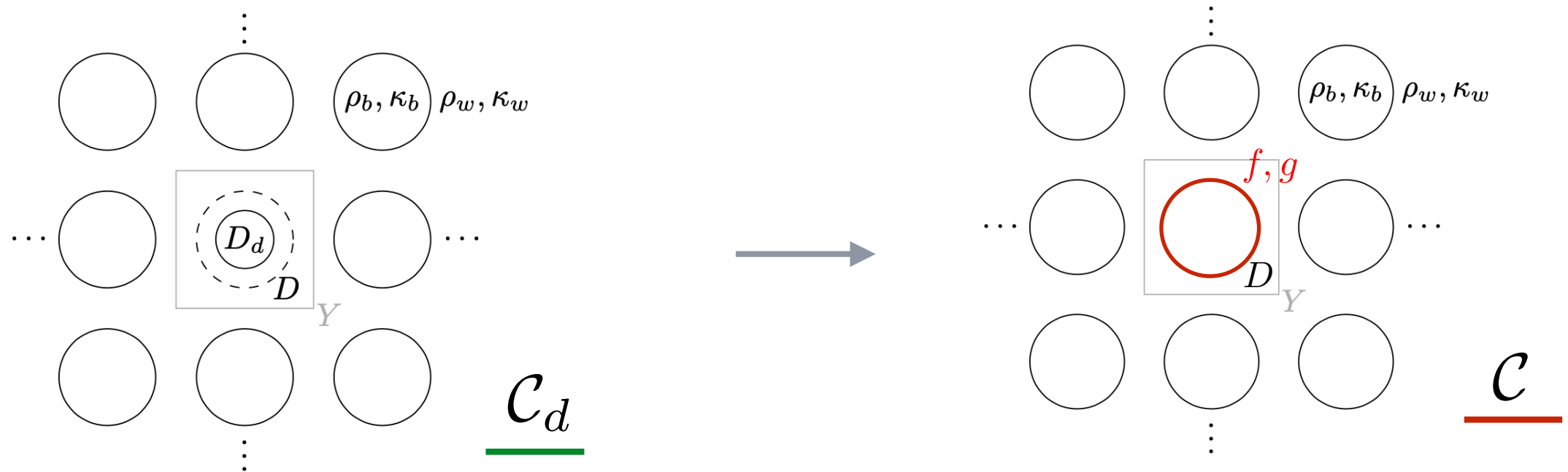
$$\left\{ \begin{array}{l} \nabla \cdot \frac{1}{\rho_w} \nabla \tilde{u} + \frac{\omega^2}{\kappa_w} \tilde{u} = 0 \quad \text{in } \mathbb{R}^2 \setminus \mathcal{C}, \\ \nabla \cdot \frac{1}{\rho_b} \nabla \tilde{u} + \frac{\omega^2}{\kappa_b} \tilde{u} = 0 \quad \text{in } \mathcal{C}, \\ \tilde{u}|_+ - \tilde{u}|_- = f \delta_{m,(0,0)} \quad \text{on } \partial D + m, \quad m \in \mathbb{Z}^2, \\ \frac{1}{\rho_w} \frac{\partial \tilde{u}}{\partial \nu} \Big|_+ - \frac{1}{\rho_b} \frac{\partial \tilde{u}}{\partial \nu} \Big|_- = g \delta_{m,(0,0)} \quad \text{on } \partial D + m, \quad m \in \mathbb{Z}^2. \end{array} \right.$$

- geometry : periodic
- interface conditions: fictitious sources at central bubble

Localized Modes by Defects

- Point Defect Case

▶ STEP 1 Fictitious Sources Characterization



$$u = \begin{cases} H + \mathcal{S}_{D_d}^\omega[\psi_d] & \text{in } Y \setminus \overline{D_d} \\ \mathcal{S}_{D_d}^\omega[\varphi_d] & \text{in } D_d \end{cases}$$

$$(\Delta + \omega^2)H = 0 \text{ in } Y$$

where

$$\mathcal{A}_{D_d} \begin{pmatrix} \varphi_d \\ \psi_d \end{pmatrix} = \begin{pmatrix} H|_{\partial D_d} \\ \partial_\nu H|_{\partial D_d} \end{pmatrix}$$

$$\mathcal{A}_{D_d} := \begin{pmatrix} \mathcal{S}_{D_d}^\omega & -\mathcal{S}_{D_d}^\omega \\ \partial_\nu \mathcal{S}_{D_d}^\omega|_- & -\delta \partial_\nu \mathcal{S}_{D_d}^\omega|_+ \end{pmatrix}$$

$$\tilde{u} = \begin{cases} H + \mathcal{S}_D^\omega[\psi] & \text{in } Y \setminus \overline{D} \\ \mathcal{S}_D^\omega[\varphi] & \text{in } D \end{cases}$$

$$(\Delta + \omega^2)H = 0 \text{ in } Y$$

where

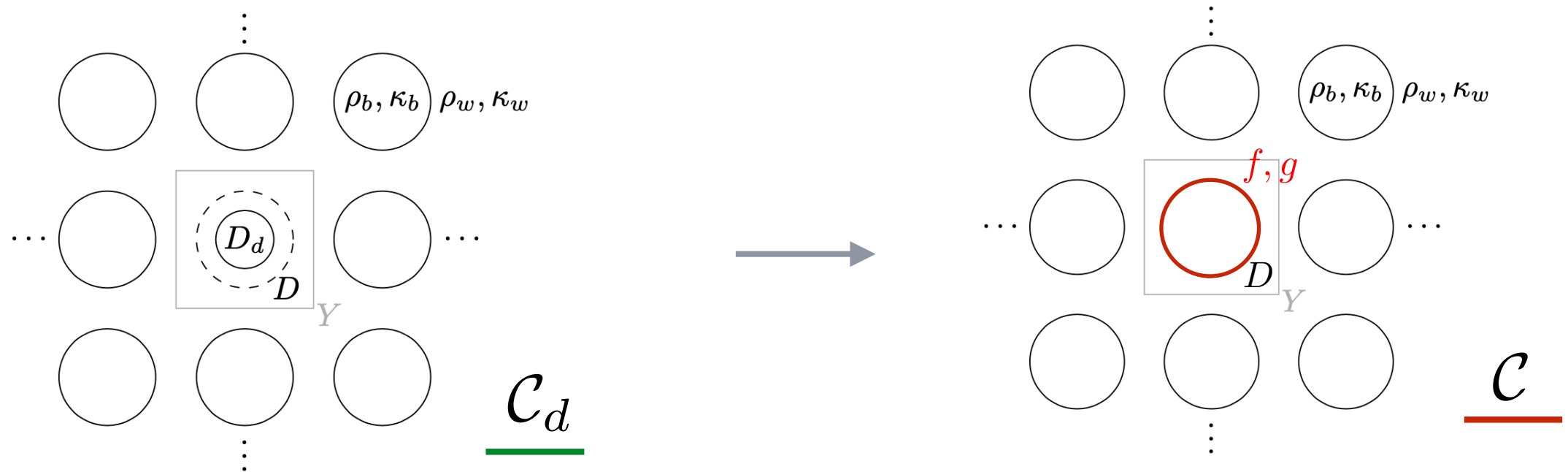
$$\mathcal{A}_D \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} H|_{\partial D} - f \\ \partial_\nu H|_{\partial D} - g \end{pmatrix}$$

$$\mathcal{A}_D := \begin{pmatrix} \mathcal{S}_D^\omega & -\mathcal{S}_D^\omega \\ \partial_\nu \mathcal{S}_D^\omega|_- & -\delta \partial_\nu \mathcal{S}_D^\omega|_+ \end{pmatrix}$$

Localized Modes by Defects

- Point Defect Case

▶ STEP 1 Fictitious Sources Characterization



$$u = \begin{cases} H + \mathcal{S}_{D_d}^\omega[\psi_d] & \text{in } Y \setminus \overline{D_d} \\ \mathcal{S}_{D_d}^\omega[\varphi_d] & \text{in } D_d \end{cases}$$

$$(\Delta + \omega^2)H = 0 \text{ in } Y$$

$$\tilde{u} = \begin{cases} H + \mathcal{S}_D^\omega[\psi] & \text{in } Y \setminus \overline{D} \\ \mathcal{S}_D^\omega[\varphi] & \text{in } D \end{cases}$$

$$(\Delta + \omega^2)H = 0 \text{ in } Y$$

where

$$\mathcal{A}_{D_d} \begin{pmatrix} \varphi_d \\ \psi_d \end{pmatrix} = \begin{pmatrix} H|_{\partial D_d} \\ \partial_\nu H|_{\partial D_d} \end{pmatrix}$$

$$\mathcal{A}_{D_d} := \begin{pmatrix} \mathcal{S}_{D_d}^\omega & -\mathcal{S}_{D_d}^\omega \\ \partial_\nu \mathcal{S}_{D_d}^\omega|_- & -\delta \partial_\nu \mathcal{S}_{D_d}^\omega|_+ \end{pmatrix}$$

In order to mimic the defect, we want to have

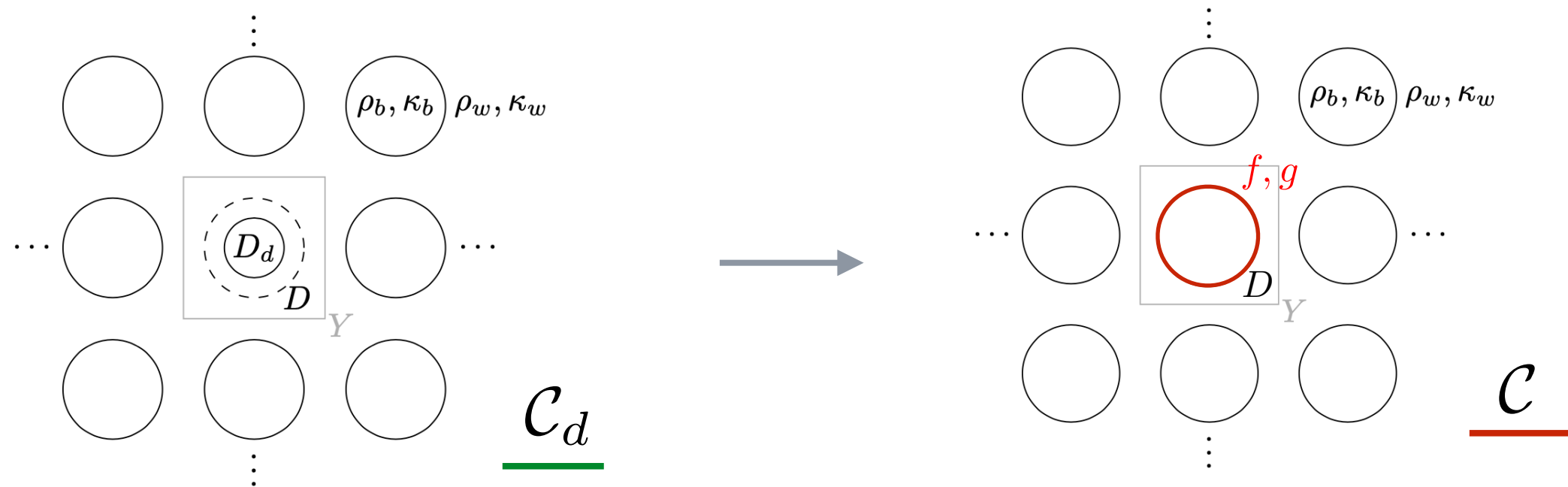
the same scattered waves $\mathcal{S}_{D_d}^\omega[\varphi_d] \equiv \mathcal{S}_D^\omega[\varphi]$ $\mathcal{S}_{D_d}^\omega[\psi_d] \equiv \mathcal{S}_D^\omega[\psi]$
for the same incident waves H

$$\mathcal{A}_D := \begin{pmatrix} \mathcal{S}_D^\omega & -\mathcal{S}_D^\omega \\ \partial_\nu \mathcal{S}_D^\omega|_- & -\delta \partial_\nu \mathcal{S}_D^\omega|_+ \end{pmatrix}$$

Localized Modes by Defects

- Point Defect Case

▶ STEP 1 Fictitious Sources Characterization



- It is possible to construct the operator \mathcal{A}_D^{fict} on the **unperturbed** bubble ∂D which **mimics** the behavior of the **defect** bubble operator \mathcal{A}_{D_d}

$$\mathcal{A}_{D_d} \begin{pmatrix} \varphi_d \\ \psi_d \end{pmatrix} = \begin{pmatrix} H|_{\partial D_d} \\ \partial_\nu H|_{\partial D_d} \end{pmatrix} \quad \mathcal{A}_D^{fict} \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} H|_{\partial D} \\ \partial_\nu H|_{\partial D} \end{pmatrix}$$

the same incident waves



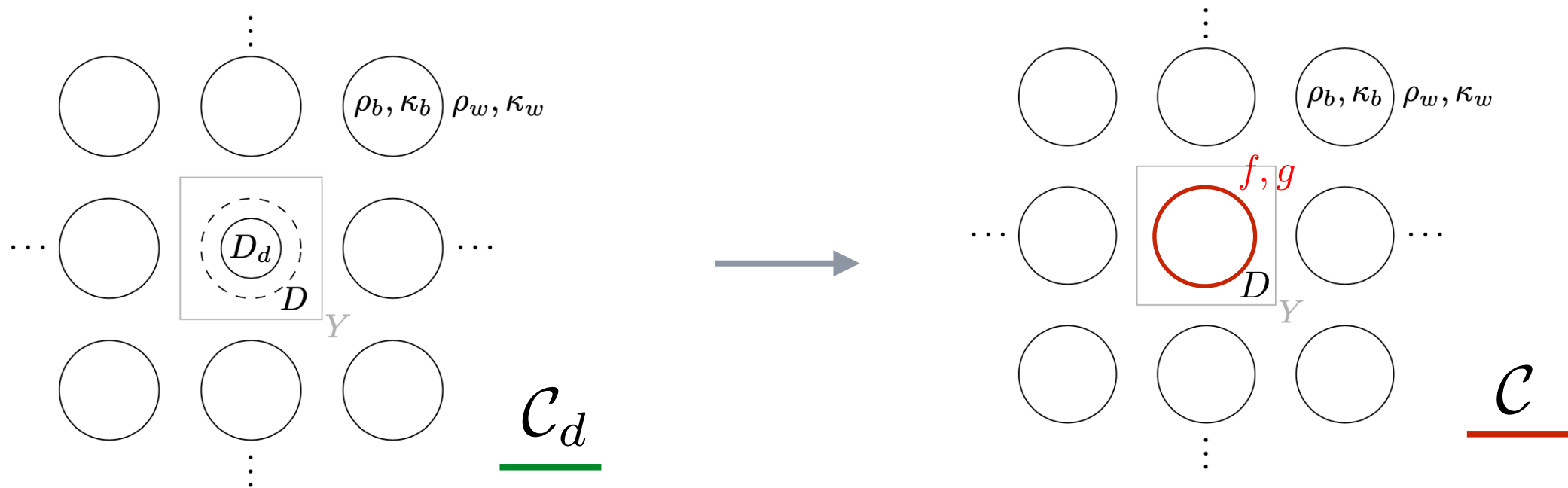
$$\begin{aligned} \mathcal{S}_{D_d}^\omega[\psi_d] &\equiv \mathcal{S}_D^\omega[\psi] && \text{in appropriate} \\ \mathcal{S}_{D_d}^\omega[\varphi_d] &\equiv \mathcal{S}_D^\omega[\varphi] && \text{regions} \end{aligned}$$

the same scattered waves

Localized Modes by Defects

- Point Defect Case

▶ STEP 1 Fictitious Sources Characterization



$$\mathcal{A}_D \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} H|_{\partial D} - f \\ \partial_\nu H|_{\partial D} - g \end{pmatrix}$$

and

$$\mathcal{A}_D^{fict} \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} H|_{\partial D} \\ \partial_\nu H|_{\partial D} \end{pmatrix}$$

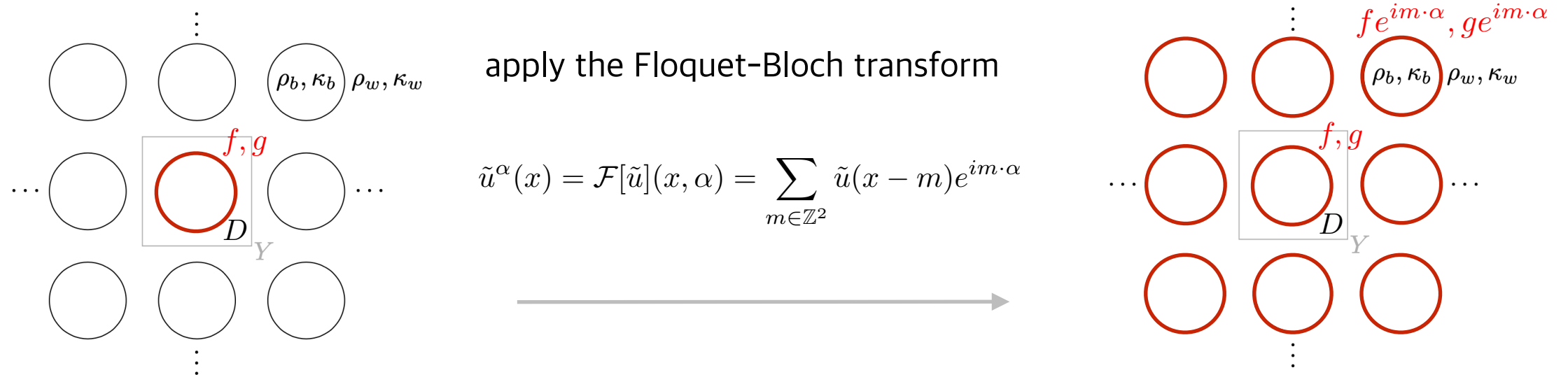
So the fictitious sources (f, g) should satisfy

$$(\mathcal{A}_D^{fict} - \mathcal{A}_D) \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} f \\ g \end{pmatrix}$$

Localized Modes by Defects

- Point Defect Case

▶ STEP 2 Quasi-periodization by Floquet-Bloch transform



apply the Floquet-Bloch transform

$$\tilde{u}^\alpha(x) = \mathcal{F}[\tilde{u}](x, \alpha) = \sum_{m \in \mathbb{Z}^2} \tilde{u}(x - m) e^{im \cdot \alpha}$$

$$\left\{ \begin{array}{l} \nabla \cdot \frac{1}{\rho_w} \nabla \tilde{u} + \frac{\omega^2}{\kappa_w} \tilde{u} = 0 \quad \text{in } \mathbb{R}^2 \setminus \mathcal{C}, \\ \nabla \cdot \frac{1}{\rho_b} \nabla \tilde{u} + \frac{\omega^2}{\kappa_b} \tilde{u} = 0 \quad \text{in } \mathcal{C}, \\ \tilde{u}|_+ - \tilde{u}|_- = f \delta_{m,(0,0)} \quad \text{on } \partial D + m, m \in \mathbb{Z}^2, \\ \frac{1}{\rho_w} \frac{\partial \tilde{u}}{\partial \nu} \Big|_+ - \frac{1}{\rho_b} \frac{\partial \tilde{u}}{\partial \nu} \Big|_- = g \delta_{m,(0,0)} \quad \text{on } \partial D + m, m \in \mathbb{Z}^2. \end{array} \right.$$

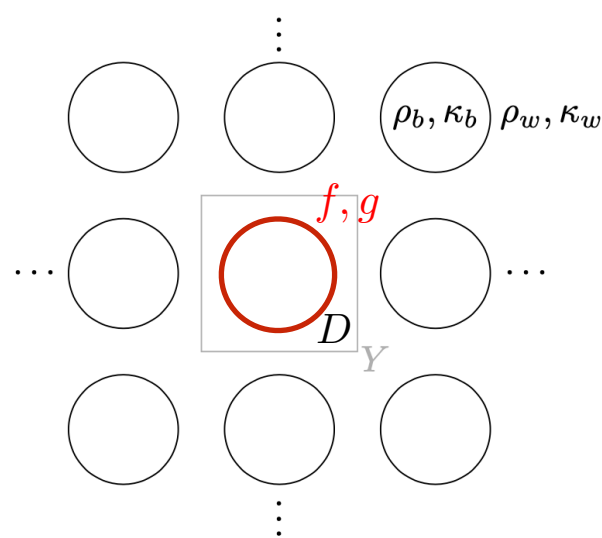
$$\left\{ \begin{array}{l} \nabla \cdot \frac{1}{\rho_w} \nabla u^\alpha + \frac{\omega^2}{\kappa_w} u^\alpha = 0 \quad \text{in } Y \setminus \bar{D}, \\ \nabla \cdot \frac{1}{\rho_b} \nabla u^\alpha + \frac{\omega^2}{\kappa_b} u^\alpha = 0 \quad \text{in } D, \\ u^\alpha|_+ - u^\alpha|_- = f \quad \text{on } \partial D, \\ \frac{1}{\rho_w} \frac{\partial u^\alpha}{\partial \nu} \Big|_+ - \frac{1}{\rho_b} \frac{\partial u^\alpha}{\partial \nu} \Big|_- = g \quad \text{on } \partial D, \\ e^{-i\alpha \cdot x} u^\alpha \text{ is periodic.} \end{array} \right.$$

→ a quasi-periodic problem!

Localized Modes by Defects

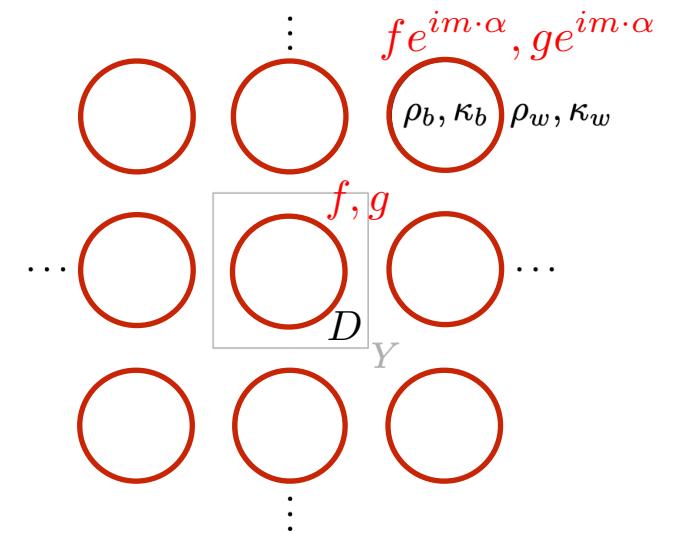
- Point Defect Case

▶ STEP 2 Quasi-periodization by Floquet-Bloch transform



apply the Floquet-Bloch transform

$$\tilde{u}^\alpha(x) = \mathcal{F}[\tilde{u}](x, \alpha) = \sum_{m \in \mathbb{Z}^2} \tilde{u}(x - m) e^{im \cdot \alpha}$$



$$\tilde{u} = \begin{cases} H + \mathcal{S}_D^\omega[\psi] & \text{in } Y \setminus \bar{D} \\ \mathcal{S}_D^\omega[\varphi] & \text{in } D \end{cases}$$

$$\tilde{u}^\alpha = \begin{cases} \mathcal{S}_D^{\alpha, \omega}[\psi^\alpha] & \text{in } Y \setminus \bar{D} \\ \mathcal{S}_D^\omega[\varphi^\alpha] & \text{in } D \end{cases}$$

$$\begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \frac{1}{(2\pi)^2} \int_{BZ} (\mathcal{A}^\alpha)^{-1} \begin{pmatrix} f \\ g \end{pmatrix} d\alpha$$

$$\begin{pmatrix} \varphi^\alpha \\ \psi^\alpha \end{pmatrix} = (\mathcal{A}^\alpha)^{-1} \begin{pmatrix} -f \\ -g \end{pmatrix}$$

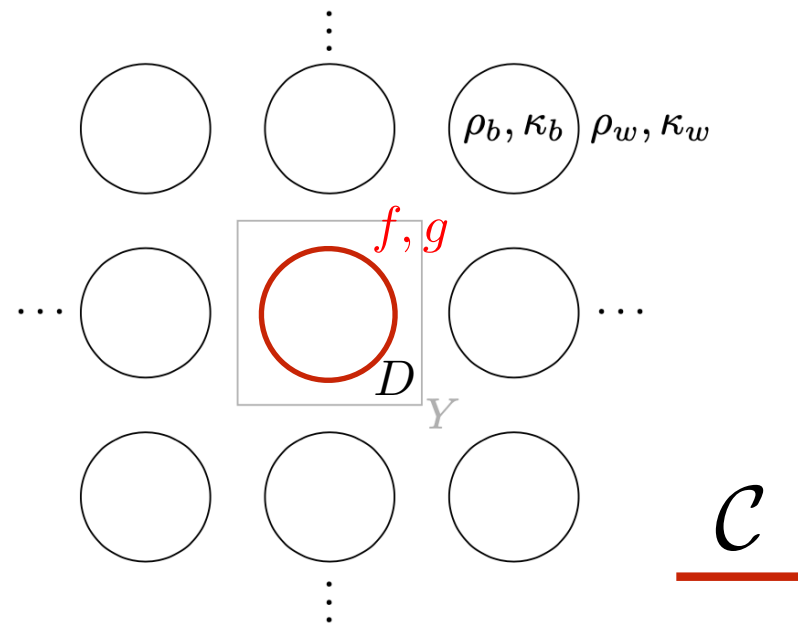
$$\mathcal{F}^{-1}[\tilde{u}^\alpha](x) = \frac{1}{(2\pi)^2} \int_{BZ} \tilde{u}^\alpha(x, \alpha) d\alpha$$

apply the inverse transform

Localized Modes by Defects

- Point Defect Case

▶ STEP 3 Integral equation for fictitious sources



STEP 1

$$(\mathcal{A}_D^{fict} - \mathcal{A}_D) \begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \begin{pmatrix} f \\ g \end{pmatrix}$$

integral equation for fictitious sources

STEP 2

$$\begin{pmatrix} \varphi \\ \psi \end{pmatrix} = \frac{1}{(2\pi)^2} \int_{BZ} (\mathcal{A}^\alpha)^{-1} \begin{pmatrix} f \\ g \end{pmatrix} d\alpha$$



$$\left(I + (\mathcal{A}_D^{fict} - \mathcal{A}_D) \frac{1}{(2\pi)^2} \int_{BZ} (\mathcal{A}^\alpha)^{-1} d\alpha \right) \begin{pmatrix} f \\ g \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

Gohberg-Sigal theory can be applied

Localized Modes by Defects

- Point Defect Case

Main results 1 (H. Ammari, B. Fitzpatrick, E. Hiltunen & S.Y., SIAP 2018)

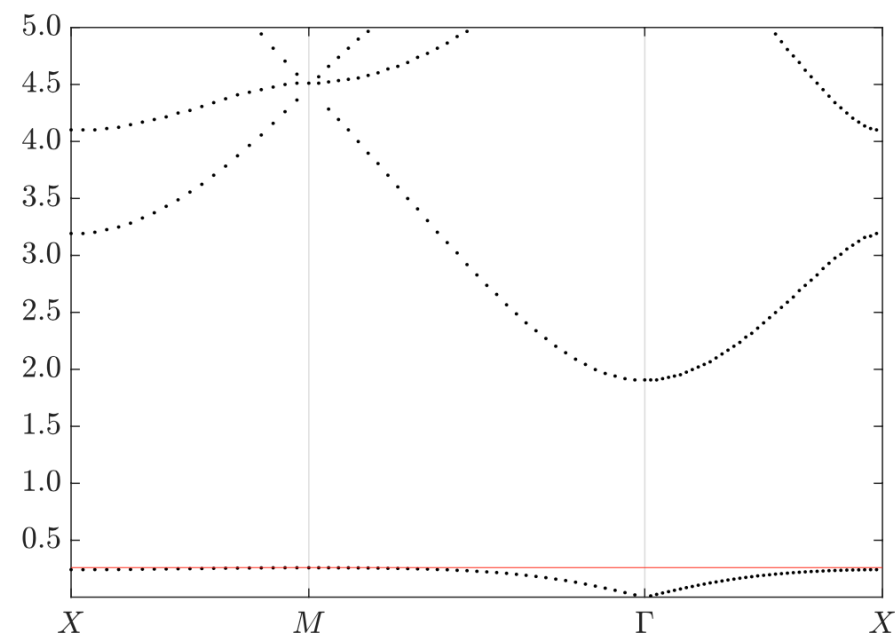
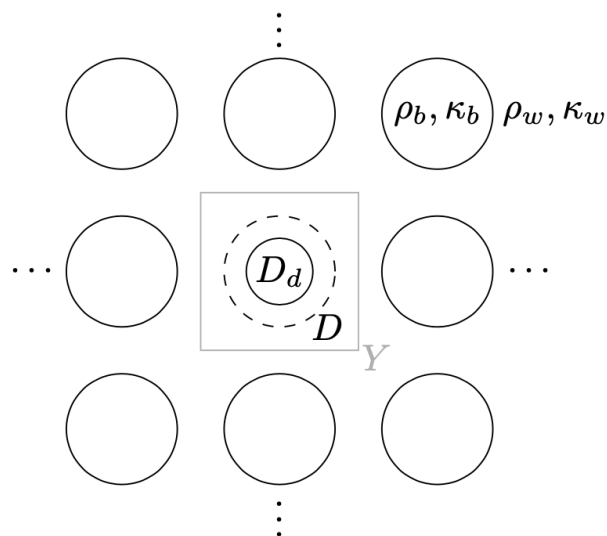
- the existence of the the defect localized mode:

1. dilute case: the defect should be smaller
2. non-dilute case: the defect should be larger

- the quantitative asymptotic formula:

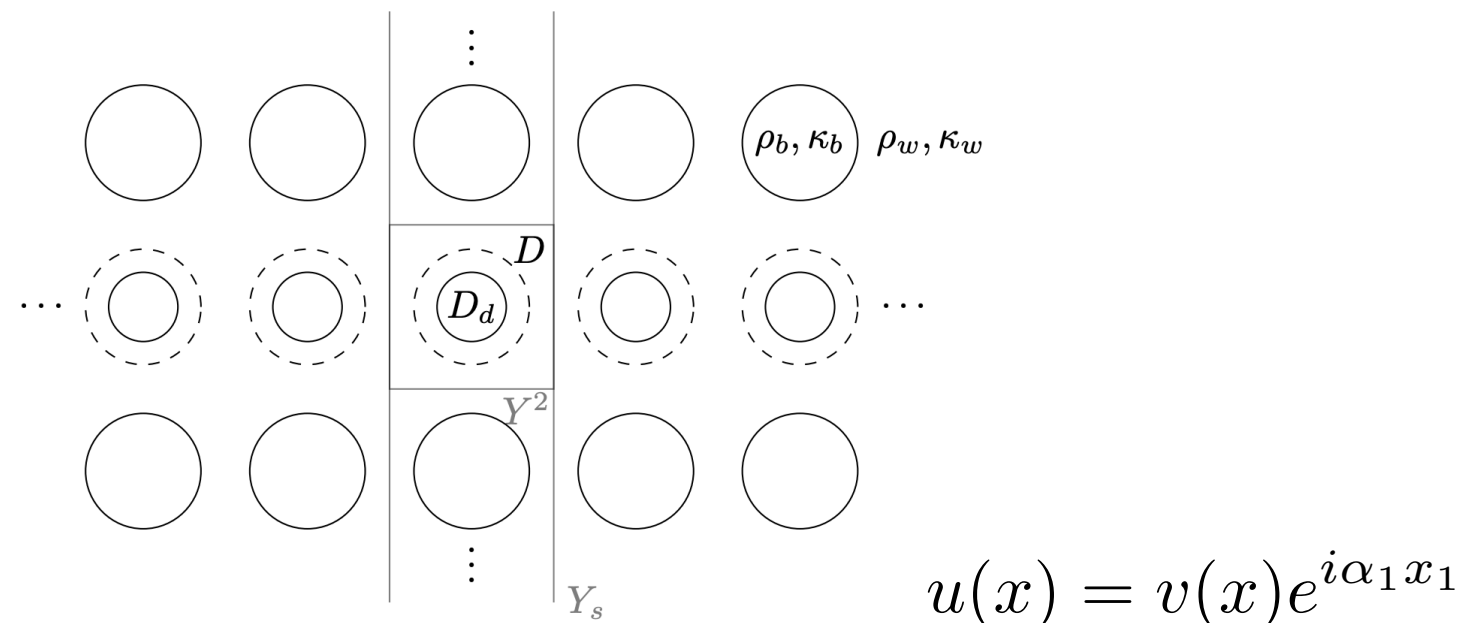
$$\omega^d - \omega^* \sim \exp\left(-c_R \frac{4\pi^2 R^3 \omega^*}{\delta(R_d - R)}\right) \quad \text{as } \delta \rightarrow 0$$

where $\omega^* := \max_{\alpha} \omega_1^{\alpha}$



Localized Modes by Defects

- Line Defect Case



- ▶ periodic in the x_1 -direction → the Bloch wave decomposition w.r.t. α_1
→ reduced to the problem on the single strip Y_s

- ▶ On the single strip Y_s , the defect bubble D_d is a **point defect**
→ the fictitious sources method can be applied

$$\left(I + \left(\frac{1}{2\pi} \int_{Y^*} \mathcal{A}^\alpha(\omega, \delta)^{-1} d\alpha_2 \right) (\mathcal{A}_D^\varepsilon(\omega, \delta) - \mathcal{A}_D(\omega, \delta)) \right) \begin{pmatrix} \phi \\ \psi \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

- ▶ the defect mode **propagates** in the x_1 -direction
and **localized** in the x_2 -direction → the guided modes along the line defect

Localized Modes by Defects

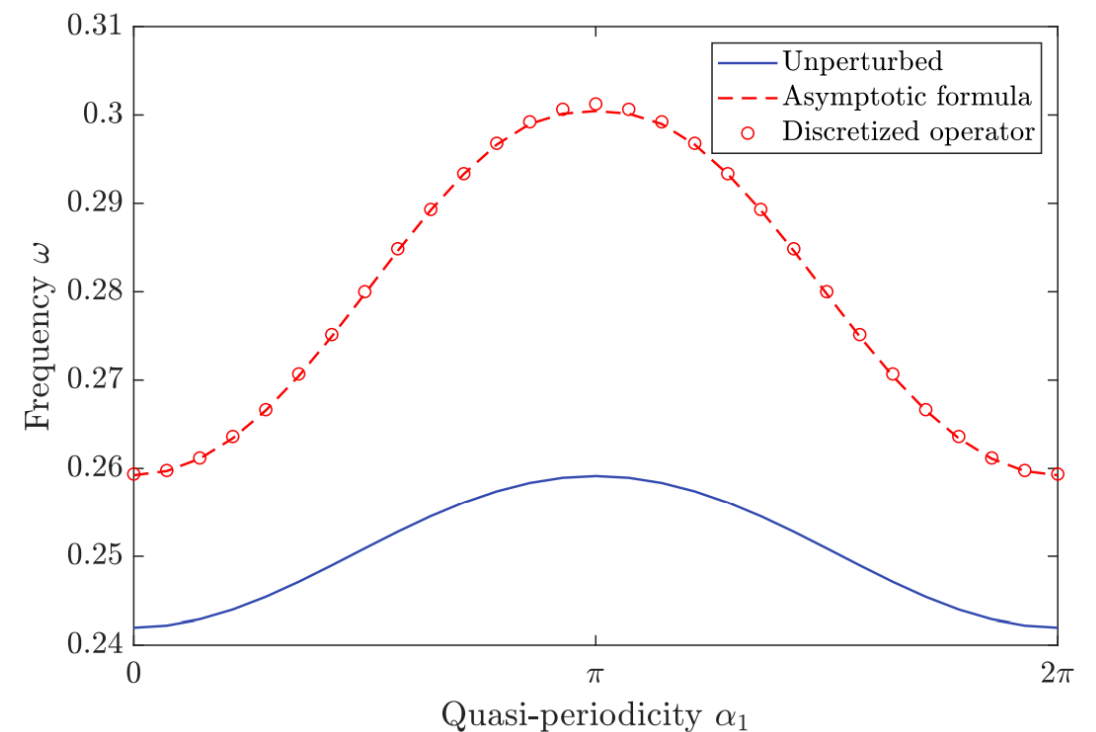
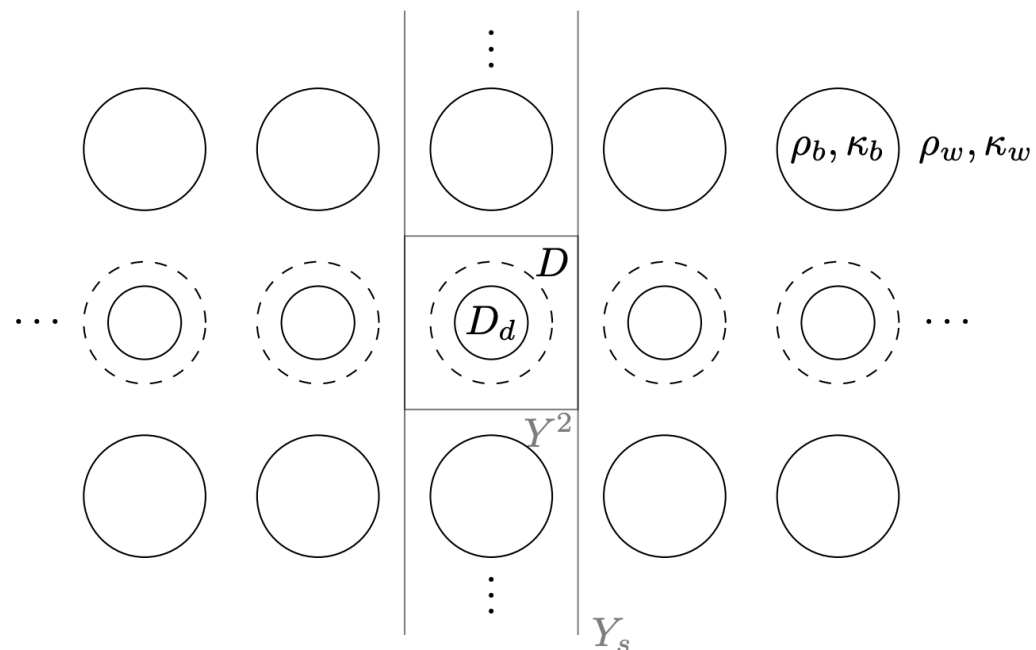
- Line Defect Case

Main result 2 (H. Ammari, E. Hiltunen & S.Y., JEMS 2022)

- the existence of the line defect guided mode
- the quantitative asymptotic formula for the dispersion relation:

$$\omega^d(\alpha_1) \sim \omega^*(\alpha_1) + \frac{1}{2C_\delta(\alpha_1)} \left(\frac{\delta(R_d - R)}{\omega^*(\alpha_1)R^3} \right)^2 \quad \text{as } \delta \rightarrow 0$$

where $\omega^*(\alpha_1) := \omega_1^{(\alpha_1, \pi)}$



Conclusion

1. We quantitatively characterized the defect modes (point defect & line defect) at deep sub-wavelength scales
2. asymptotic formulas are useful for optimal geometry design of defect modes
3. numerical illustrations validating theoretical results
4. future works: topologically protected guided modes

Thank you